

Soft Interactions

ICHEP 2000, Osaka

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DESY



- **Photon Structure**
- **low-x Physics**
- **Diffraction**
- **Spin Structure**

QCD is right: (previous talk)

ℓp : partons, scaling (violations)

e^+e^- : gluons, α_s , ...

$p\bar{p}$: small distance QCD

→ **QCD is predictive for hard interactions**

QCD is difficult:

$\Lambda_{QCD} \gtrsim m_{u,d,s}$, gluon self-coupling

→ α_s large

low scales: perturbative QCD not applicable:

hardly any predictive power for:

- **confinement, hadron structure, photon structure**
- **fragmentation**
- **total hadron-hadron cross sections, elastic scattering**

Important theoretical progress:

- new factorisation theorems:
 - unintegrated parton densities
 - new QCD dynamics
- skewed parton densities
- proof of factorisation in diffraction

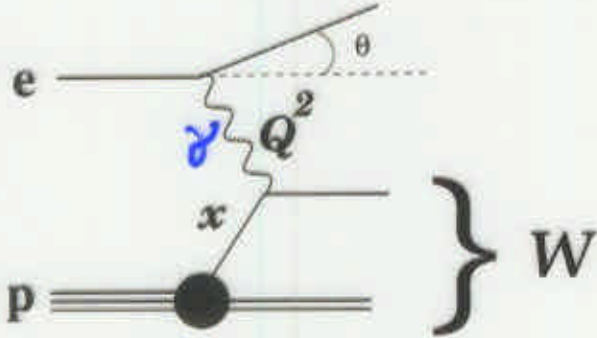
Experimental progress:

- high gluon density \leftarrow low-x proton
(HERA \rightarrow LHC)
- hard \rightarrow soft transition: photon structure
(LEP, HERA)
- *hard* diffraction (Tevatron, HERA)
- hard scale processes for confinement

colliders dominate field of soft processes

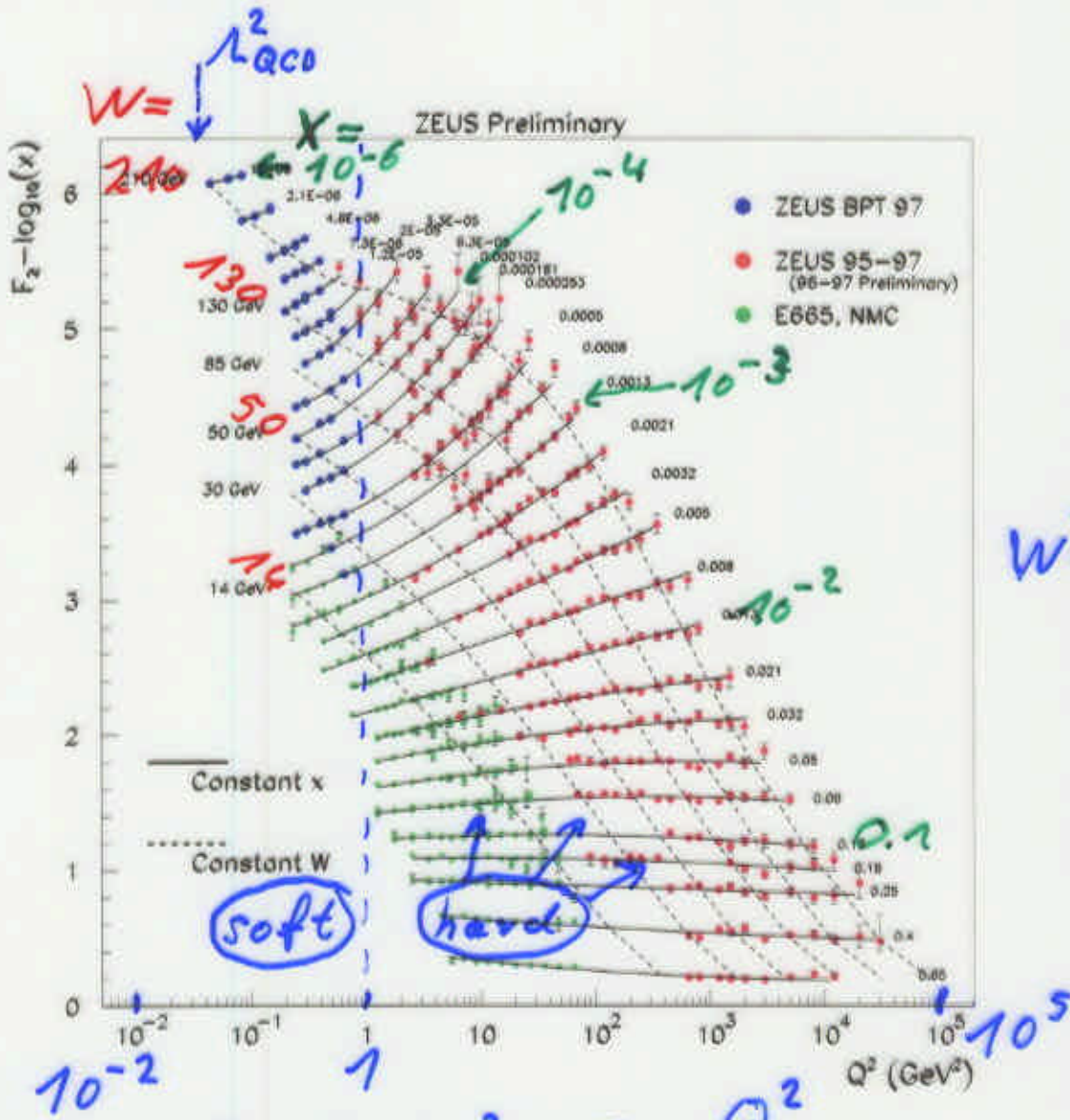
Major field of activity in QCD:

Example: $\gamma^* p \rightarrow X$



- $Q^2 = \gamma$ -virtuality
- $x = E_{\text{parton}} / E_p$
- $W = E_{\text{cm}}^{\gamma-p}$

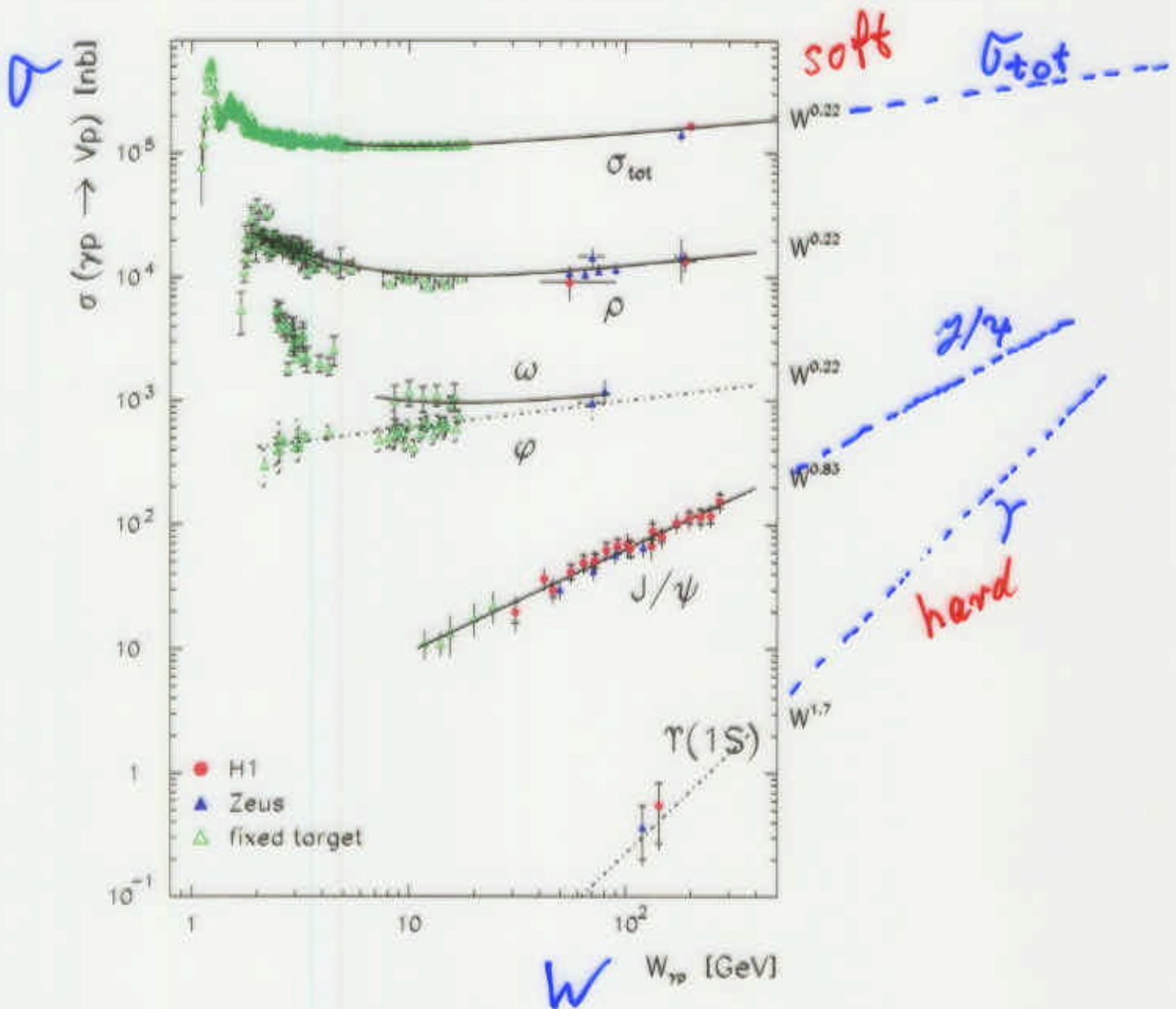
F_2
-log x



high energy limit: $W^2 \gg Q^2$

W high energy \leftrightarrow small x for same Q^2

Example: Vectormesons at HERA



Factorisation in QCD

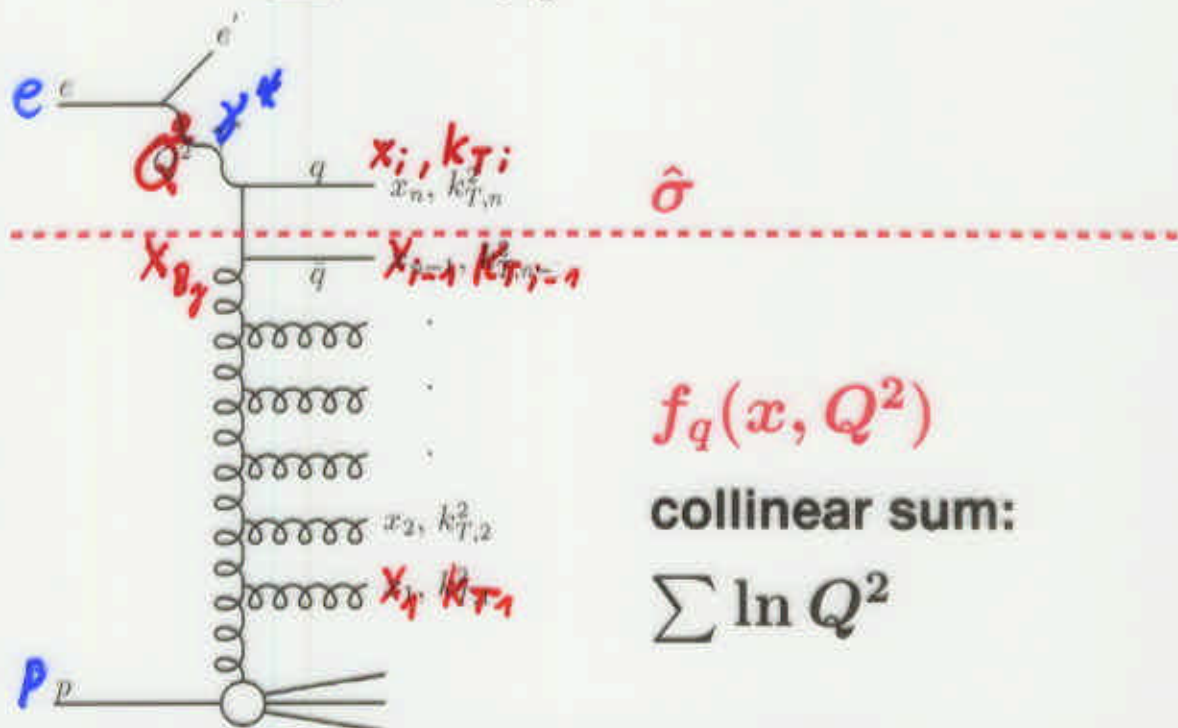
1) Altarelli-Paresi approximation (DGLAP)

Assume: strong k_T ordering:

$$Q^2 \gg k_{T,i} \gg k_{T,i-1} \dots$$

→ factorisation of hard and soft component:

$$\sigma = \sum \hat{\sigma} \times f_q$$



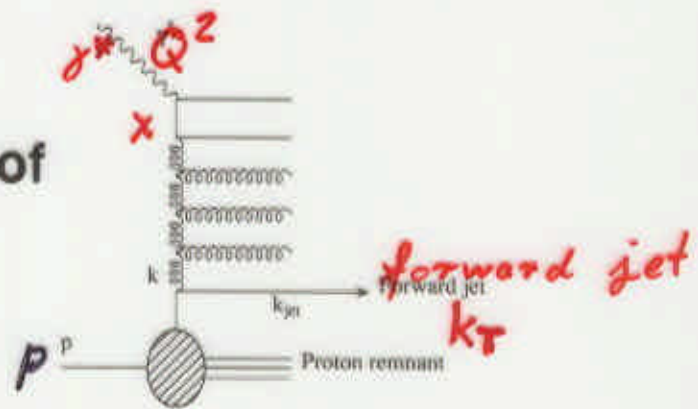
- DGLAP basis for 'standard' QCD (α_s, \dots)
 - **DGLAP must fail when:**
 - Q^2 too small (how small ?)
 - x too small (phasespace!)
- (long parton ladder → no k_T ordering)

Factorisation in QCD

e.g.: forward jets:

no clear ordering of

Q^2 and k_T^2



Better: **angular ordering**

Unintegrated Parton Distributions:

$f(x, k_T^2, Q^2)$

	DGLAP	BFKL	CCFM
ordering	k_T	x	angle small x : NO k_T large x : $\approx k_T$
pdf	$f(x, Q^2)$	$f(x, k_T^2)$	$f(x, k_T^2, Q^2)$
Σ	$\ln Q^2$	$\ln 1/x$	$\ln Q^2, \ln 1/x$
valid	Q^2 large x not small $k_T \gg Q^2$ $k_T \ll Q^2$	$k_T^2 \approx Q^2$ x small	x small (only P_{gg})

Outline of the Talk

- **Proton structure at low Q^2 and low- x**
gluon at low- x : HERA \rightarrow LHC
transition $Q^2 \rightarrow 0$
- **Photon structure**
 F_2 , heavy flavour from LEP
jets and gluon content from HERA
- **QCD dynamics:**
virtual resolved photon
jets, charm, HERA, TEVATRON
 $\gamma^* \gamma^*$ from LEP
- **Diffraction**
jets, vectormesons
HERA –TEVATRON
- **Spin**
Transversity (short)

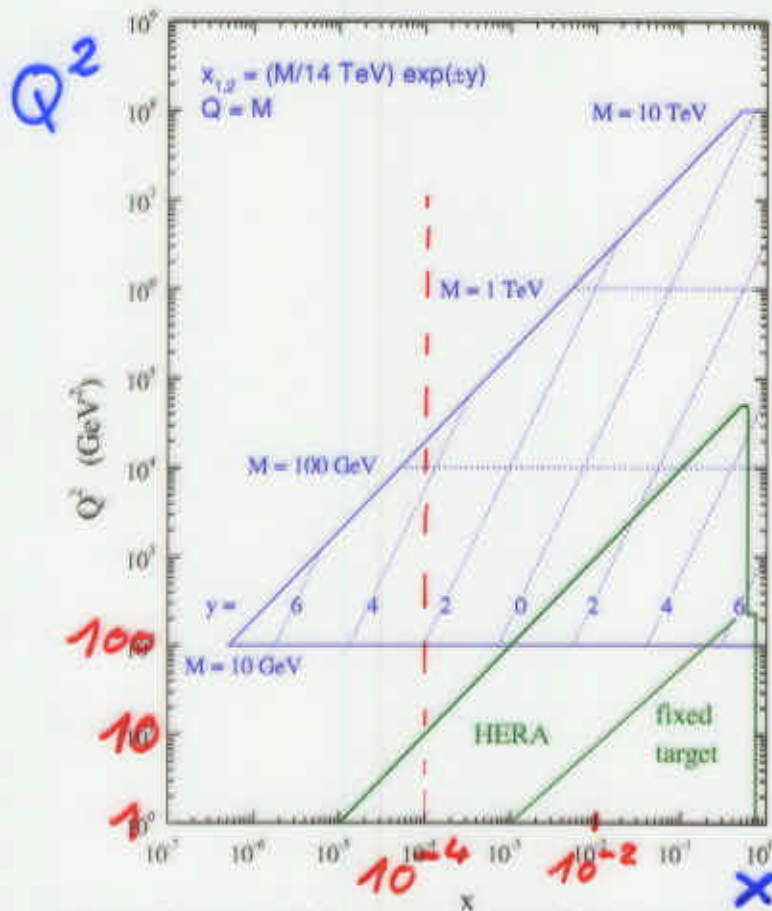
*emphasis on QCD interpretation of the data
very little on phenomenology*

Proton Structure at low- x

Motivation: 1) QCD dynamics (see above)

2) Parton densities

LHC parton kinematics



showcase:

Higgs at LHC

$M_H > 108 \text{ GeV} \dots$

requires gluon density at and below

$$x = 10^{-3}$$

only process: Deep inelastic scattering at

HERA: $x \lesssim 10^{-4}$ implies $Q^2 \lesssim 1 \text{ GeV}^2$

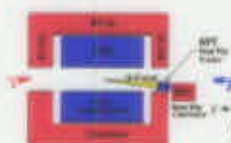
Proton Structure at low- x

New data sets with upgraded detectors:

H1: silicon tr. + spaghetti calor.

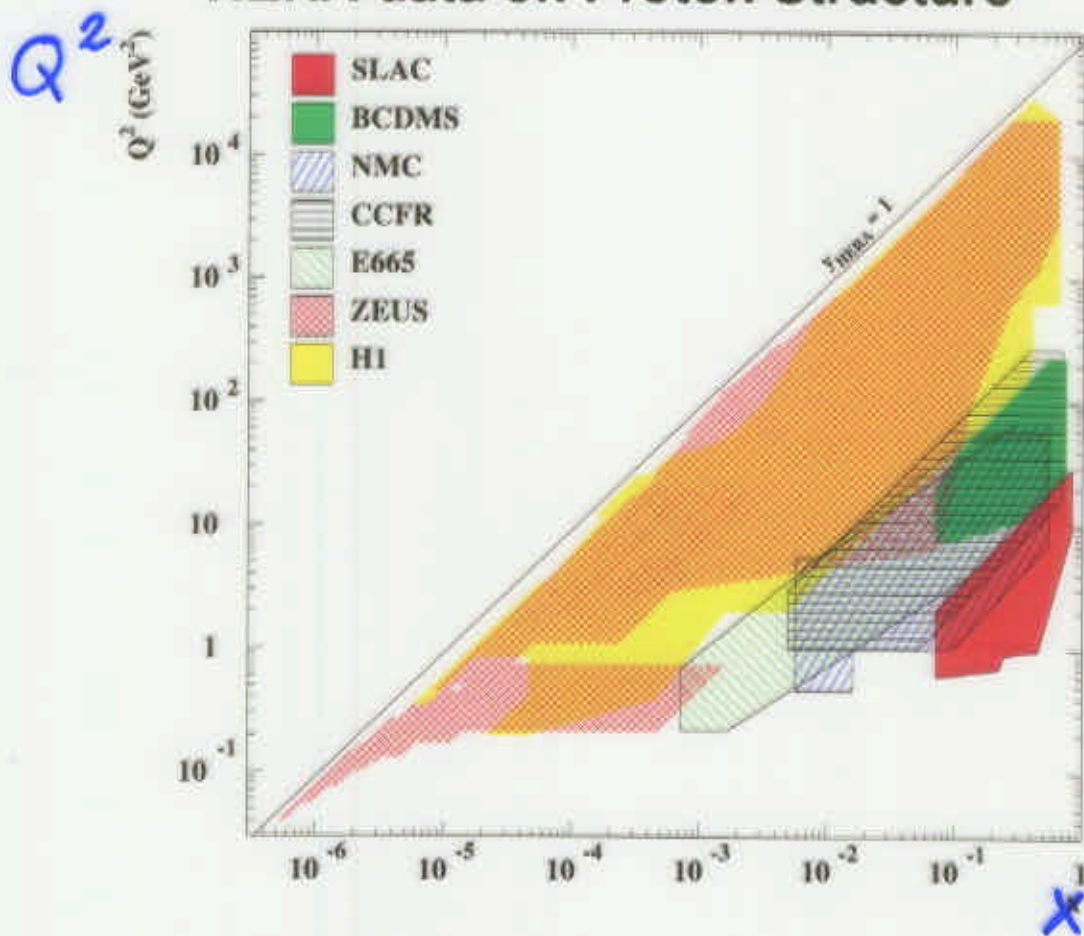
$$Q^2 > 1.5\text{GeV}^2$$

ZEUS: silicon tr. + calor. close to beam



$$0.6 > Q^2 > 0.045\text{GeV}^2$$

HERA data on Proton Structure



Proton Structure at low- x

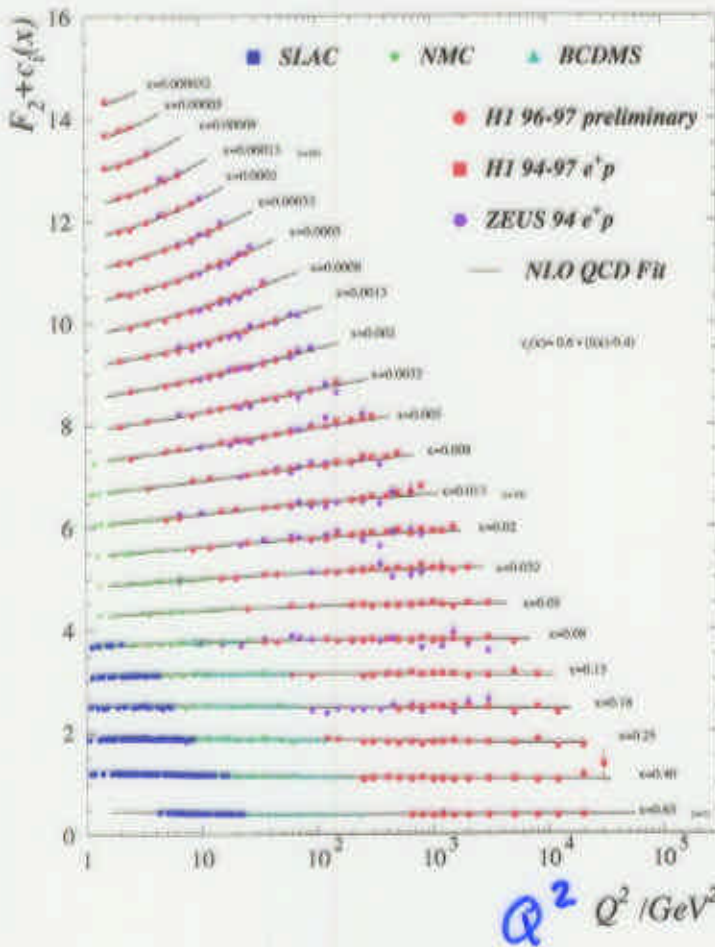
$ep \rightarrow eX$ at low Q^2 :

$$\frac{d^2\sigma}{dx dQ^2} = \frac{2\pi\alpha^2}{xQ^4} [Y_+ \cdot F_2 - y^2 F_L]$$

with $Q^2 = xys, Y_+ = 1 + (1 - y)^2$

to first approx: $F_2(x, Q^2) = \sum_{q\bar{q}} e_q^2 f_q(x, Q^2)$
 and $F_L(x, Q^2)$ contribution is typically small.

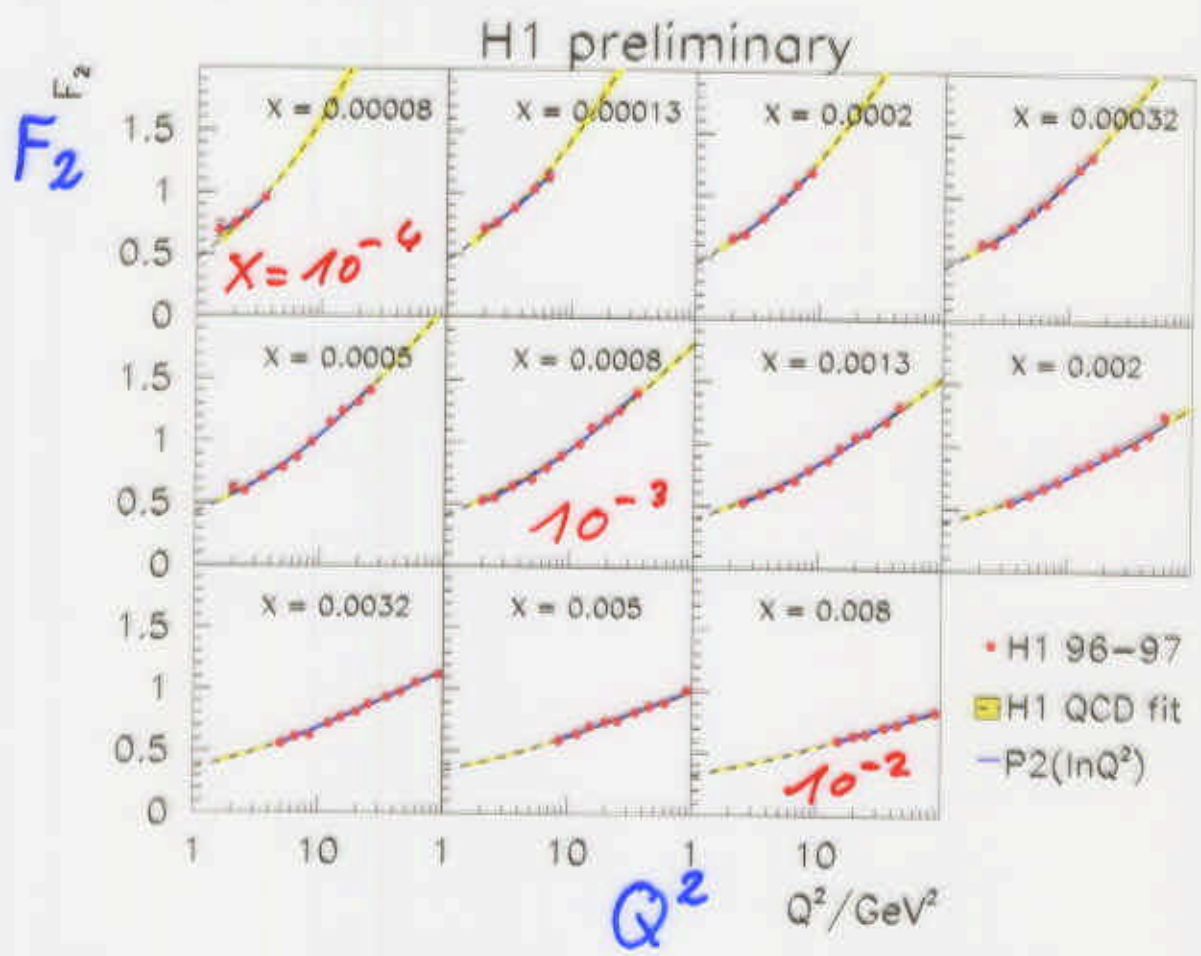
F_2



New quality step: 1% stat., 2-3 % syst. errors

H1 analysis of Gluon density at low-x

- Very detailed NLO QCD fit (DGLAP)
- only H1 data, no corrections for fixed target data needed

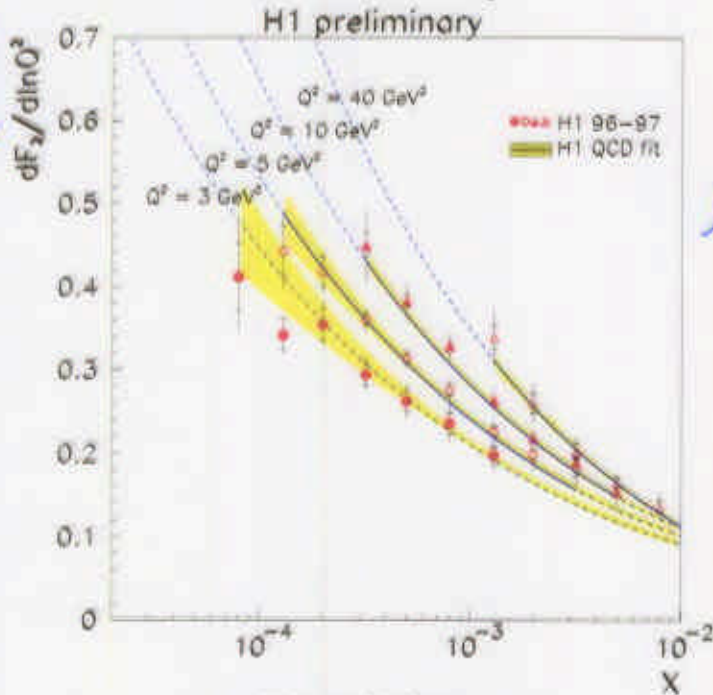


F_2 rises with Q^2 : $g \rightarrow gg, q\bar{q}$ splitting
 slope $dF_2/d \ln Q^2|_x \approx \alpha_s xg \leftarrow$ gluon

Slopes of F2

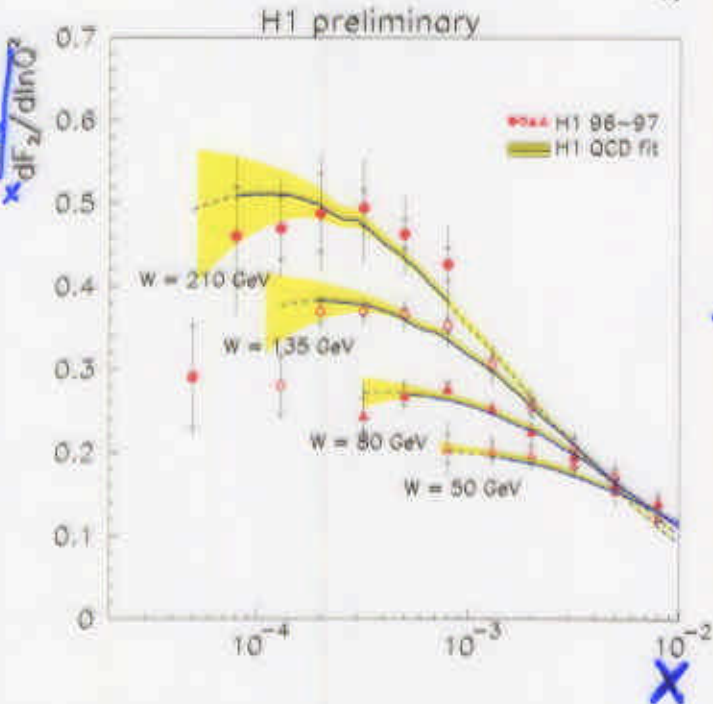
expectation: slope $dF_2/d \ln Q^2|_x$ becomes smaller for small Q^2

$$\frac{dF_2}{d \ln Q^2}|_x$$



lines of fixed Q^2

$$\frac{dF_2}{d \ln Q^2} \times \frac{dF_2/d \ln Q^2}{x}$$



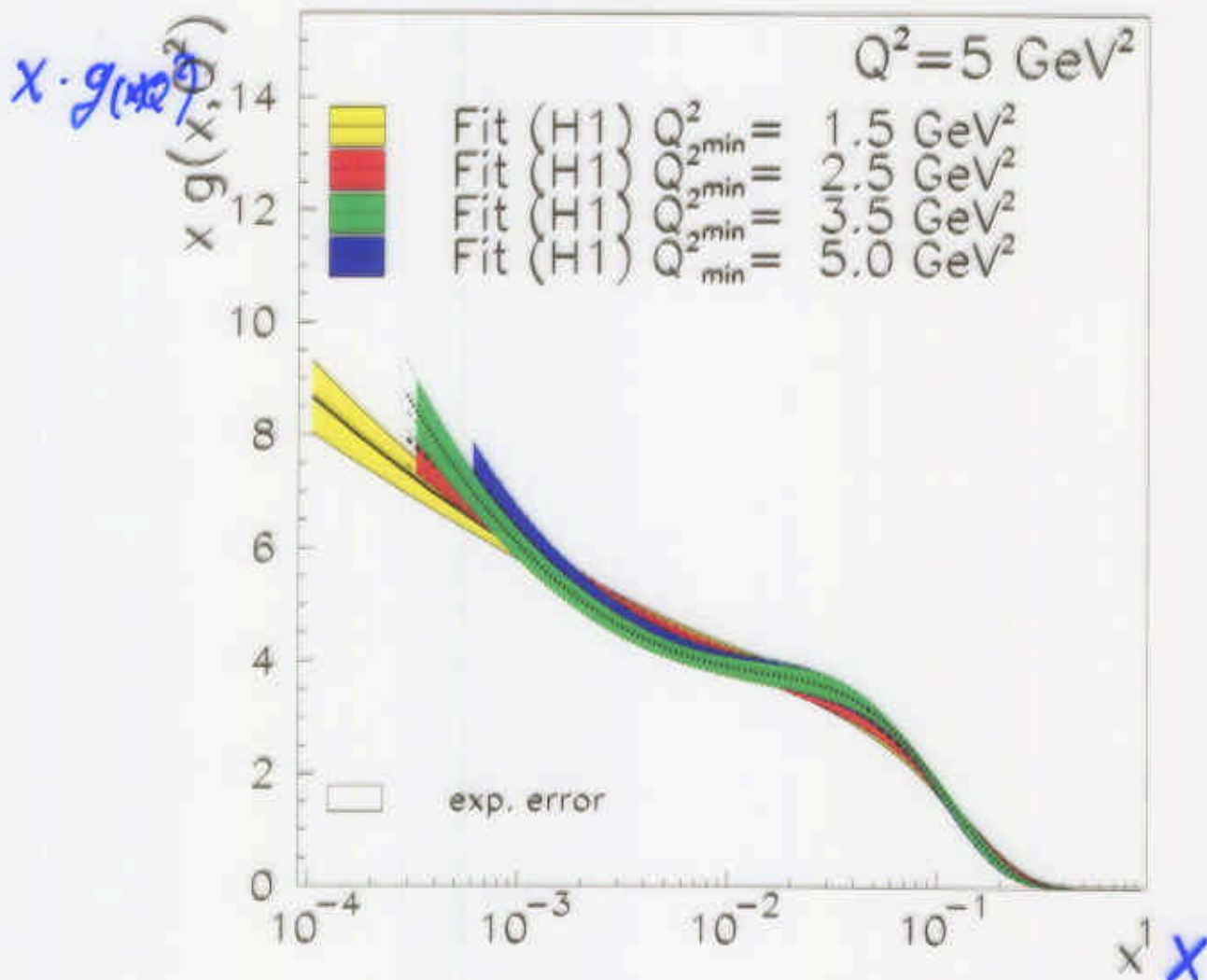
lines of fixed W

QCD fit works down to $Q^2 \approx 1 \text{ GeV}^2$.

Fit result dependence on Q_{min}^2

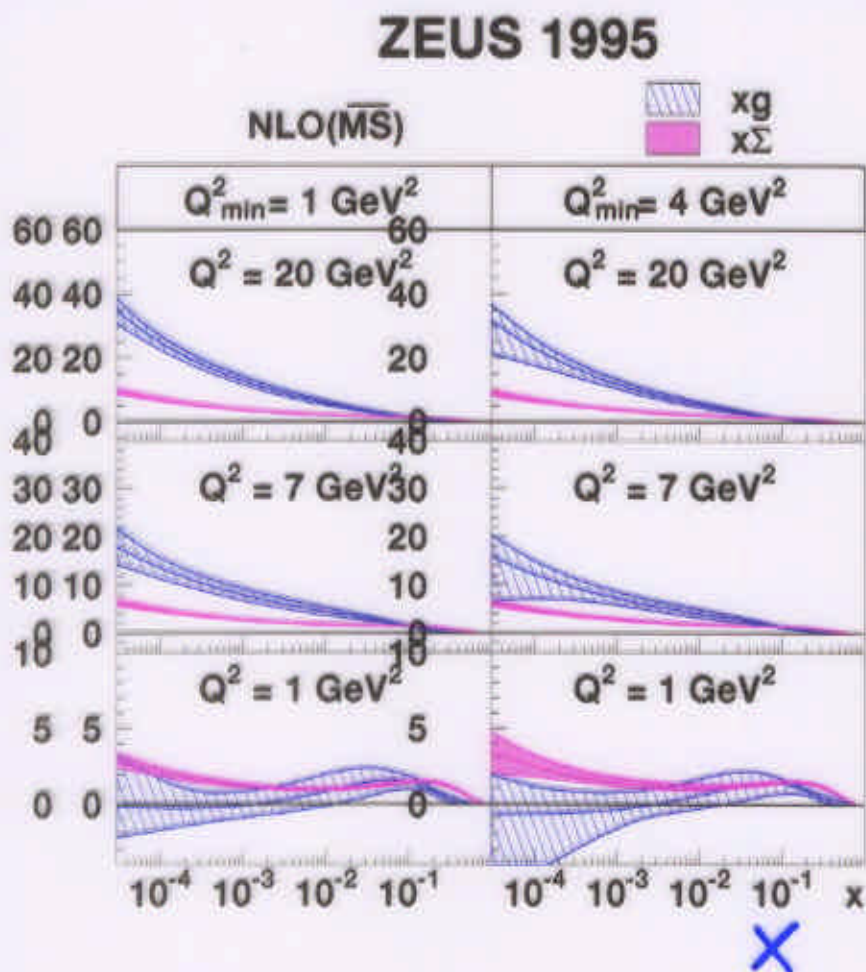
H1 analysis of low- x Gluon density

H1 preliminary



- $10^{-1} > x > 10^{-3}$: gluons constrained from H1 data alone to $\sim 15\%$ precision
- $10^{-3} > x > 10^{-4}$: QCD fits works, but solution for $xg(x, Q^2)$ more uncertain

Gluon density for $Q^2 \rightarrow 1\text{GeV}^2$



- $xg(x, Q^2)$ becomes 'valence like'
(drops much faster than quark density)
- physics or artefact of the fits ?
- NNLO estimates show very large corrections to $xg(x, Q^2)$, much less for observable cross sections.

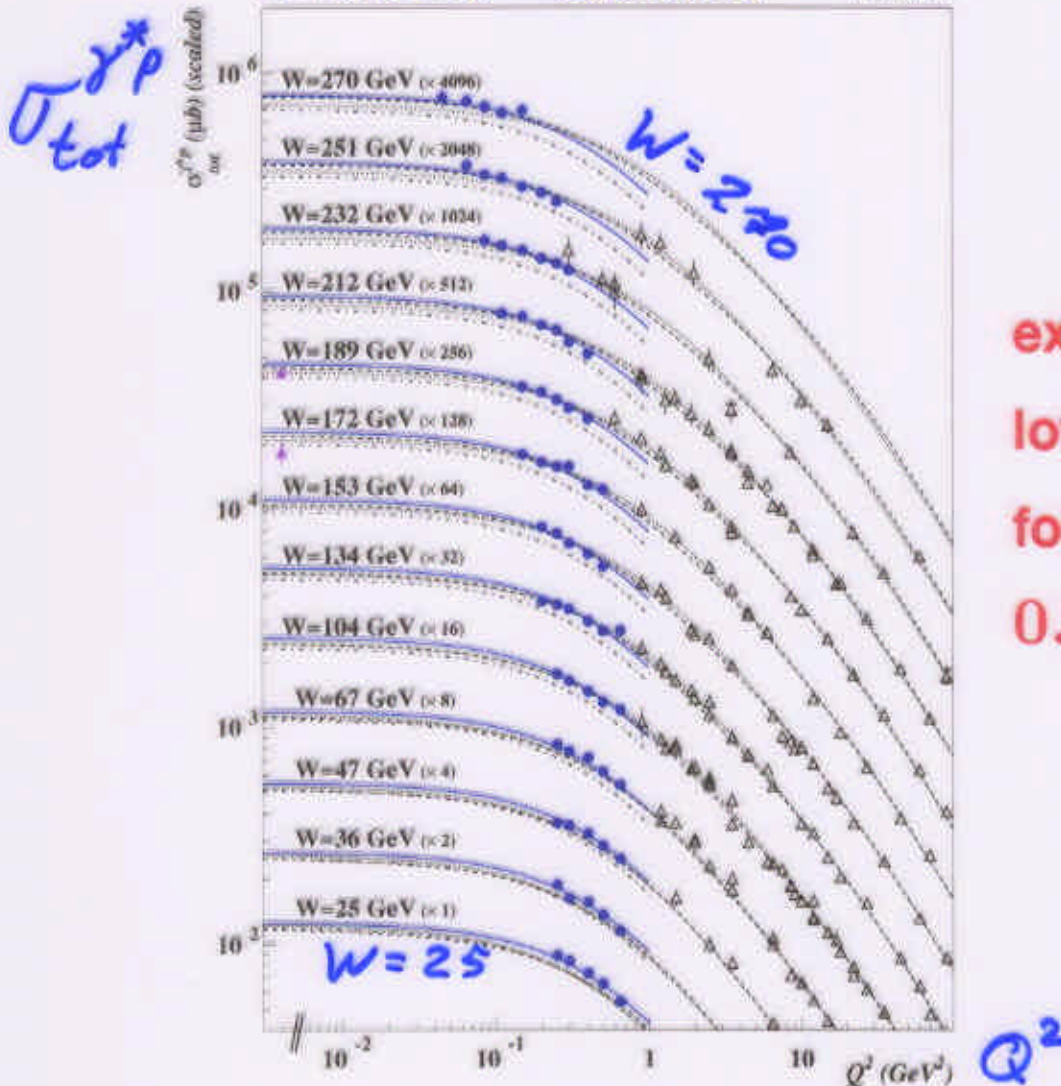
Transition to Photoproduction

$$Q^2 \rightarrow 0$$

ZEUS beam-pipe calorimeter/tracker data

ZEUS 1997 (Preliminary)

- Δ ZEUS+H1 94-95
- \star ZEUS+H1 γp
- \bullet ZEUS BPT 1997
- ZEUS REGGE 97
- DL
- DL98
- ALLM97



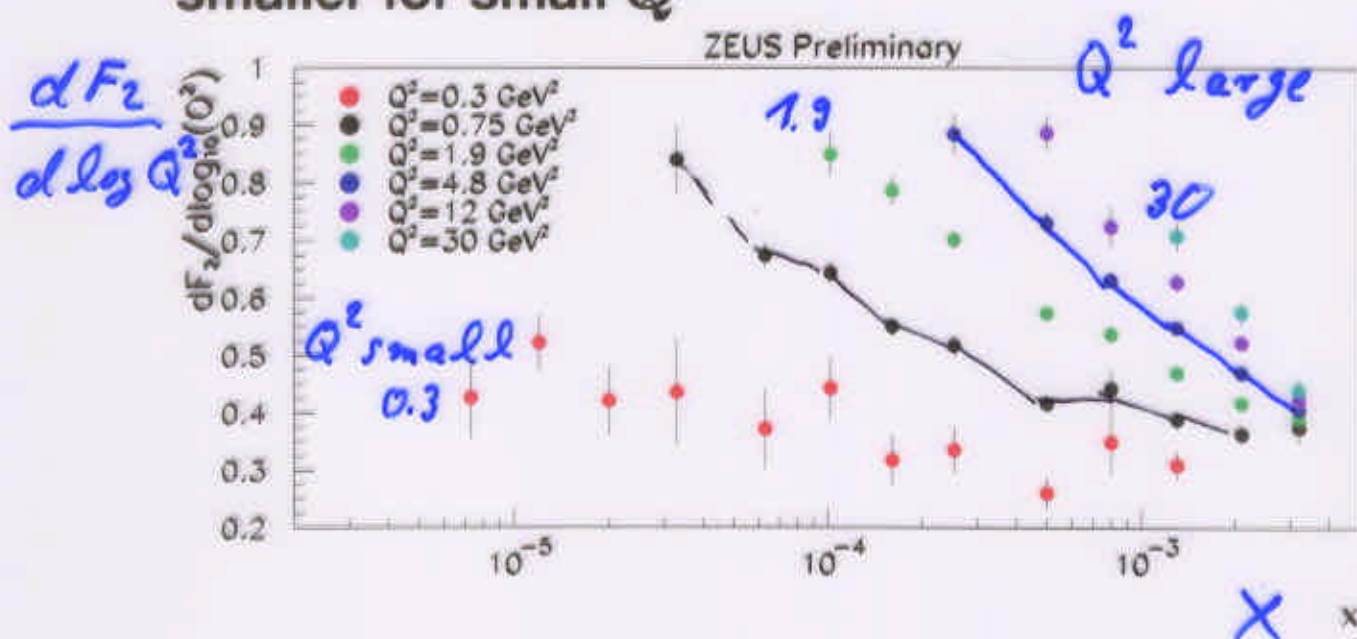
extremely
low x : 10^{-6}
for $Q^2 = 0.045 \text{ GeV}^2$

soft: σ independent of Q^2 as $Q^2 \rightarrow 0$

Transition to Photoproduction

$$Q^2 \rightarrow 0$$

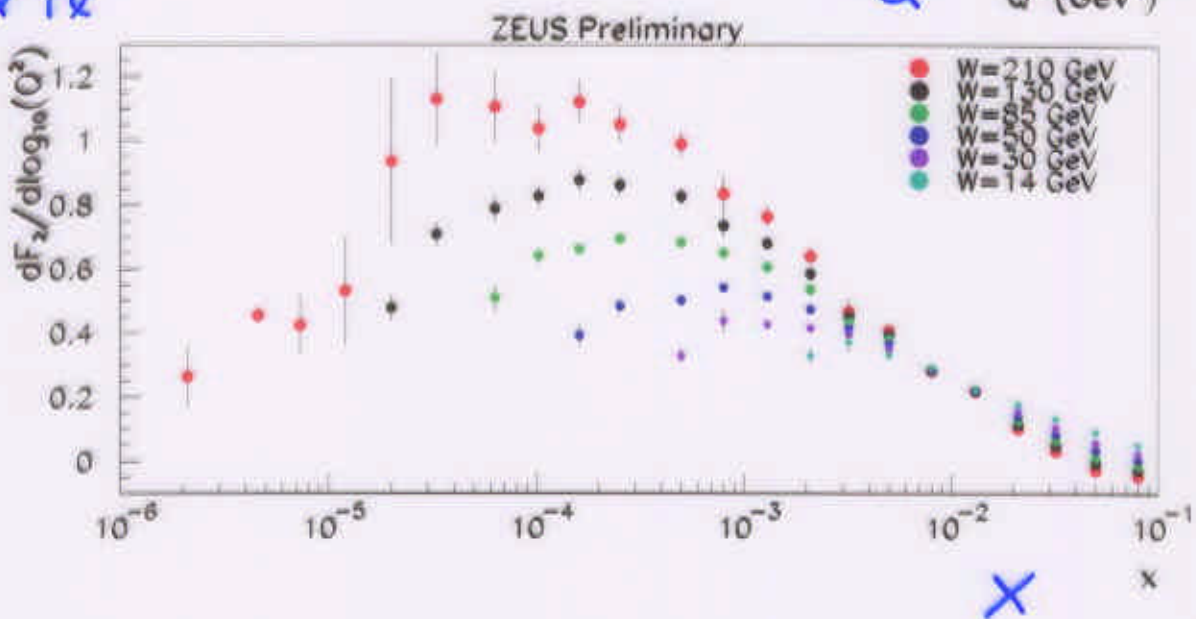
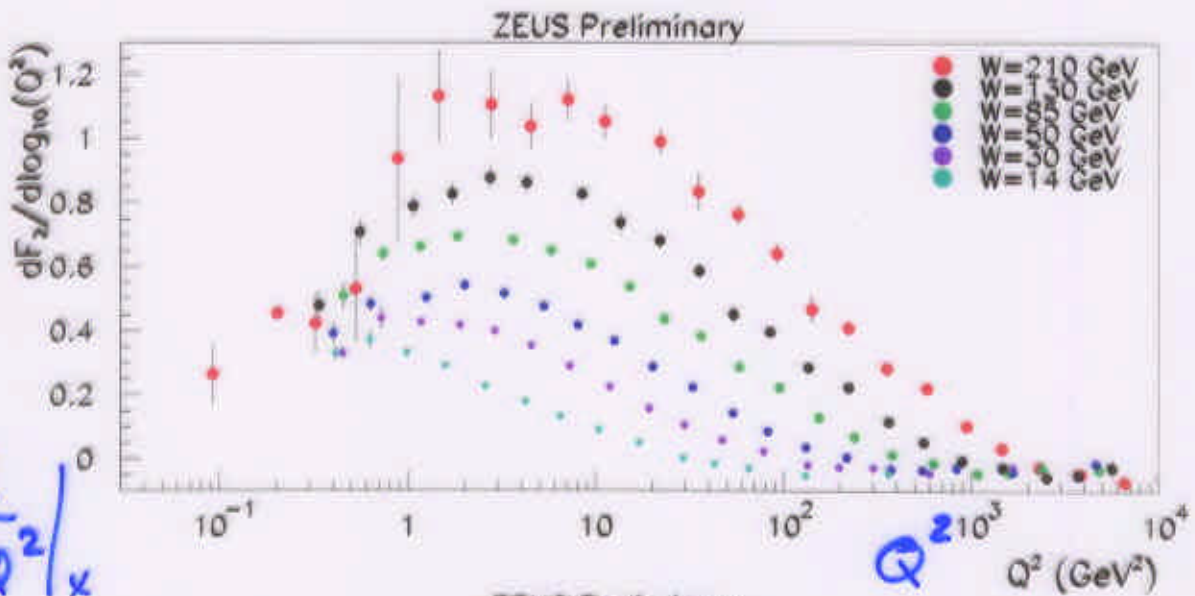
expectation: slope $dF_2/d \ln Q^2|_x$ becomes smaller for small Q^2



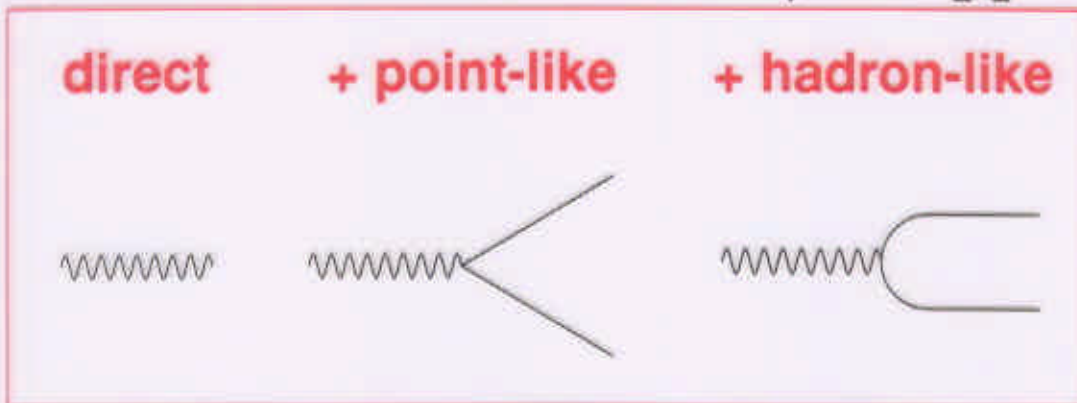
- observation of **transition to photoproduction at / below 1 GeV^2**
- no change of x -dependence at any fixed Q^2
- Saturation of gluon density ?
 $Q^2 > 1 \text{ GeV}^2$: not observed
 $Q^2 < 1 \text{ GeV}^2$: difficult (if possible at all) to disentangle low- x from low Q^2 effects.

Transition to Photoproduction

$$Q^2 \rightarrow 0$$



Quantum fluctuations of real γ into $q\bar{q}$



- direct and point-like part predicted
- hadron-like part needs to be measured

LEP: $ee \rightarrow e^+e^-\gamma^*\gamma \rightarrow eeX$

γ^* measured via electron: Q^2

hadrons (X) used to obtain x_γ

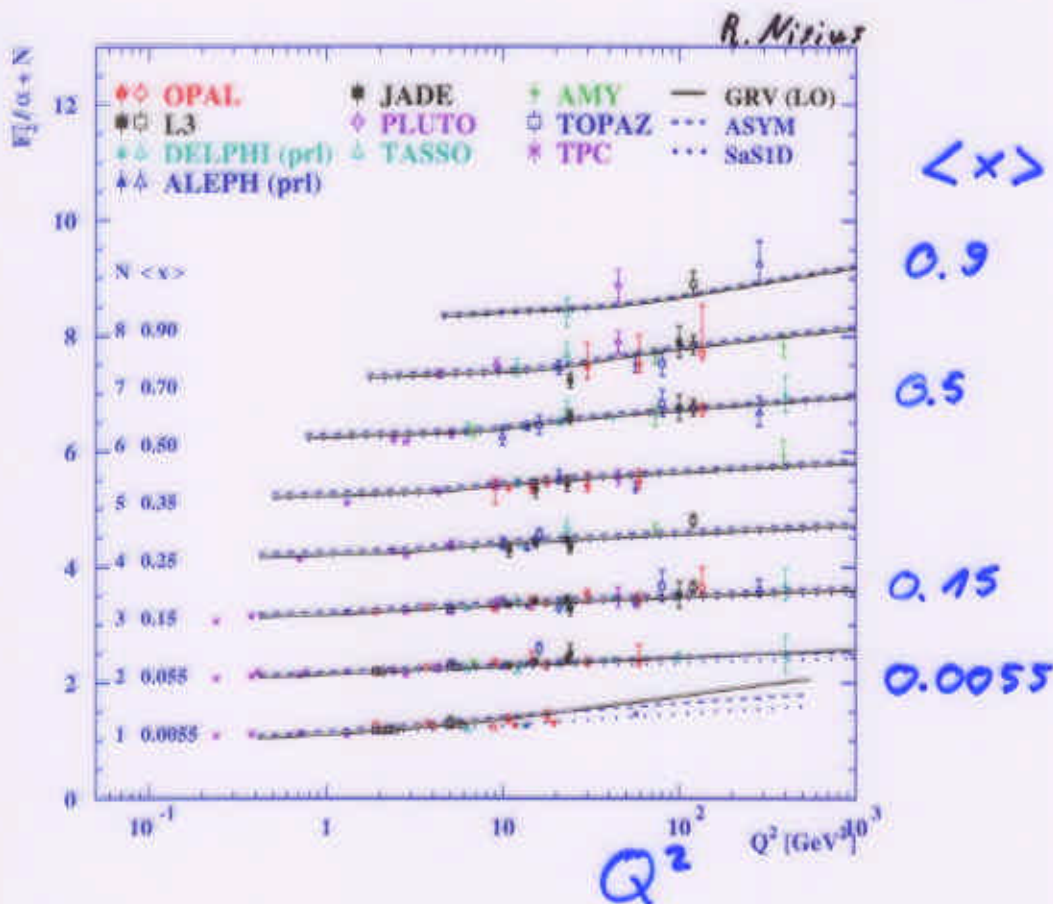
- Previously: large uncertainties due to insufficient simulations
- Now: Major improvements: event generators, 2-dim unfolding in x_γ and 2nd variable, detector response for hadrons close to beam, kinematic constraints \rightarrow major improvement in systematics, better consistency between experiments

Photon Structure from LEP

Expectations for F_2^γ :

- rise for all x_γ with Q^2 due to $\gamma \rightarrow q\bar{q}$
- dominant point-like part at high x_γ
- exceed point-like component at small x_γ
- rise at very small x_γ due to gluons
(hadronic component like proton)

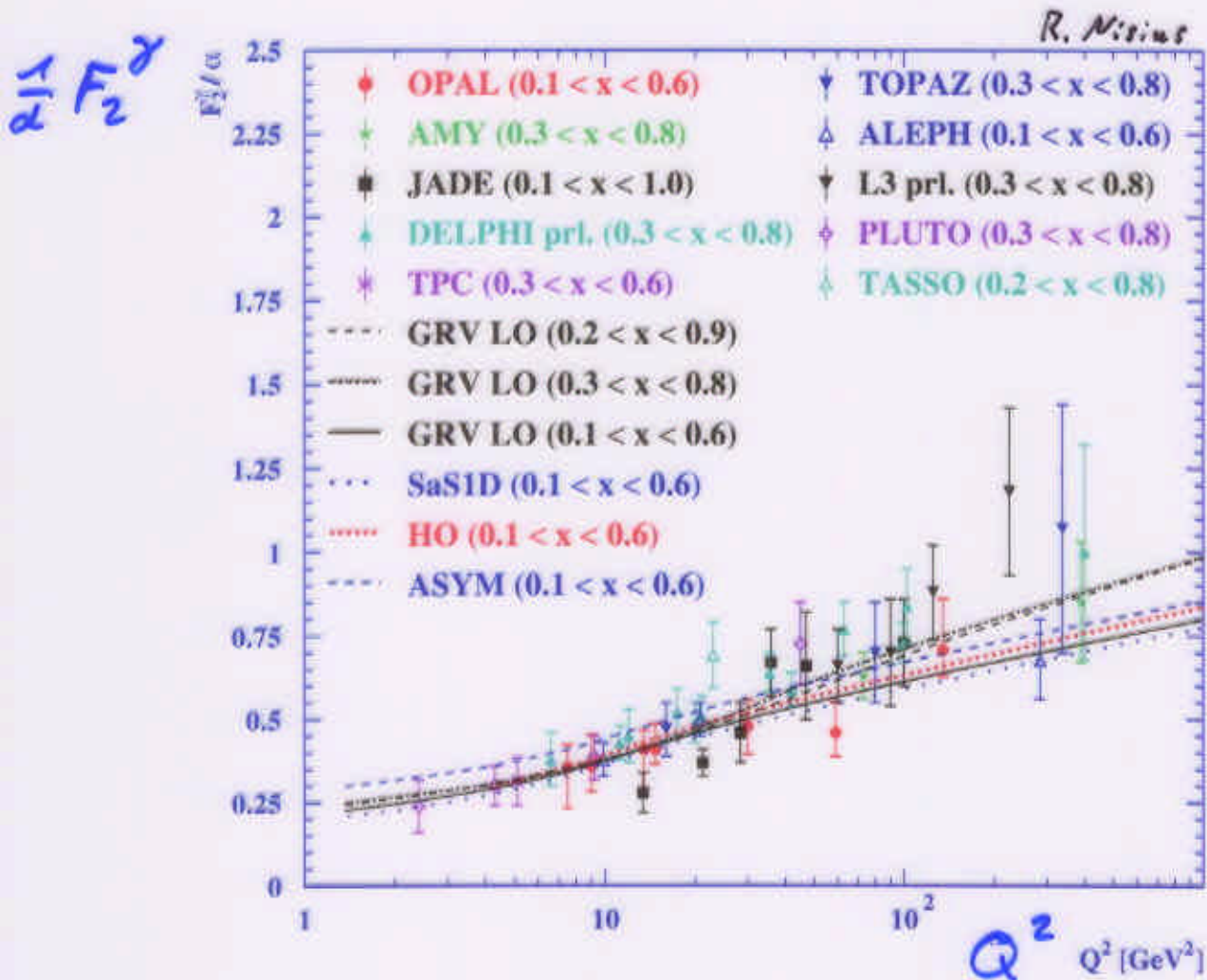
$\alpha \frac{1}{2} F_2^\gamma$



- LEP2: extended kinematic coverage
- consistent results between experiments

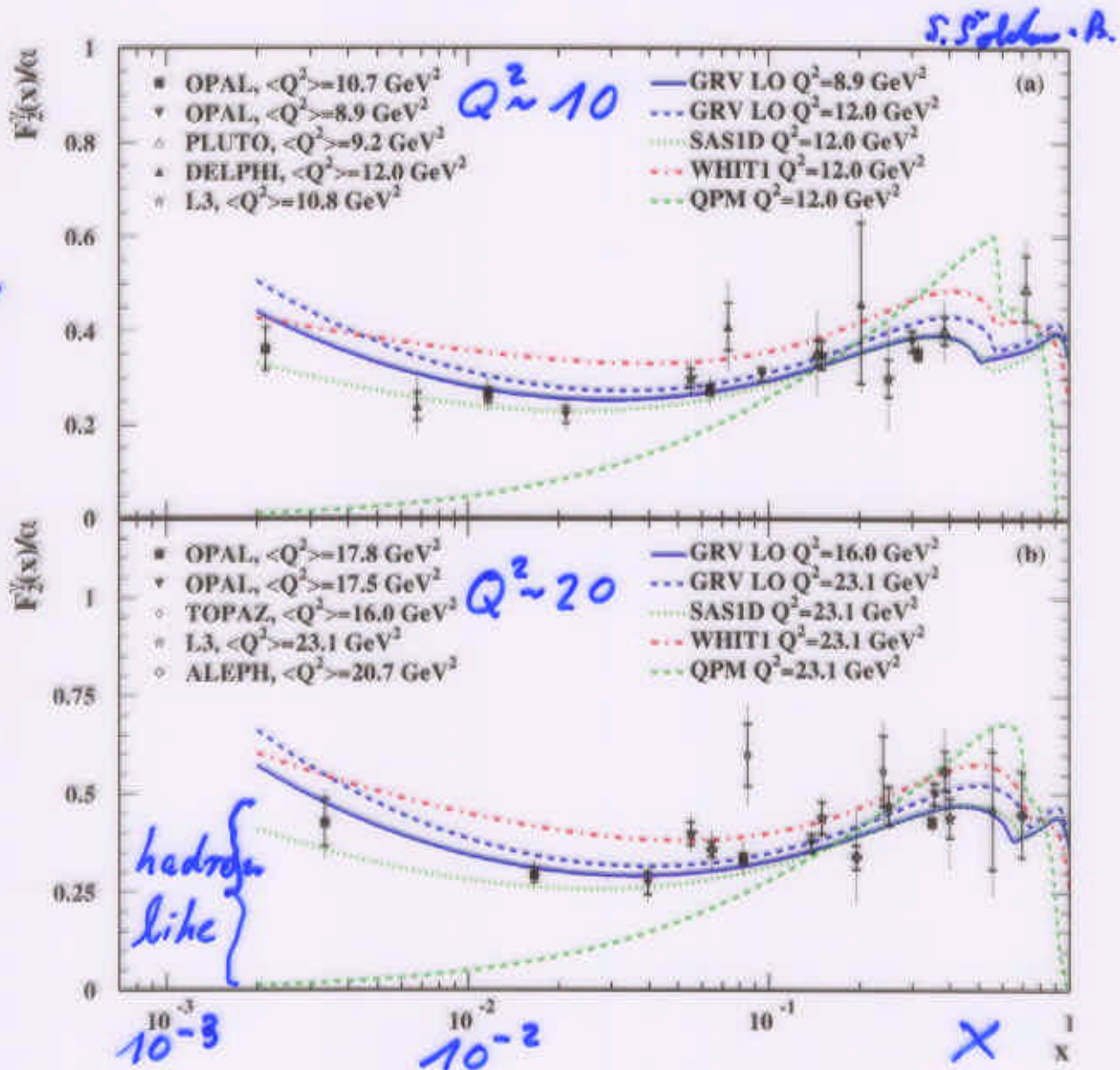
Photon Structure from LEP

large x_γ



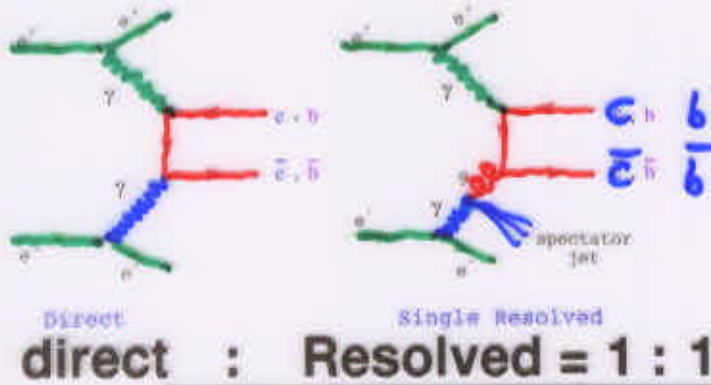
clear rise of F_2^γ with Q^2 also at high $x \rightarrow$
point-like component

Photon Structure from LEP

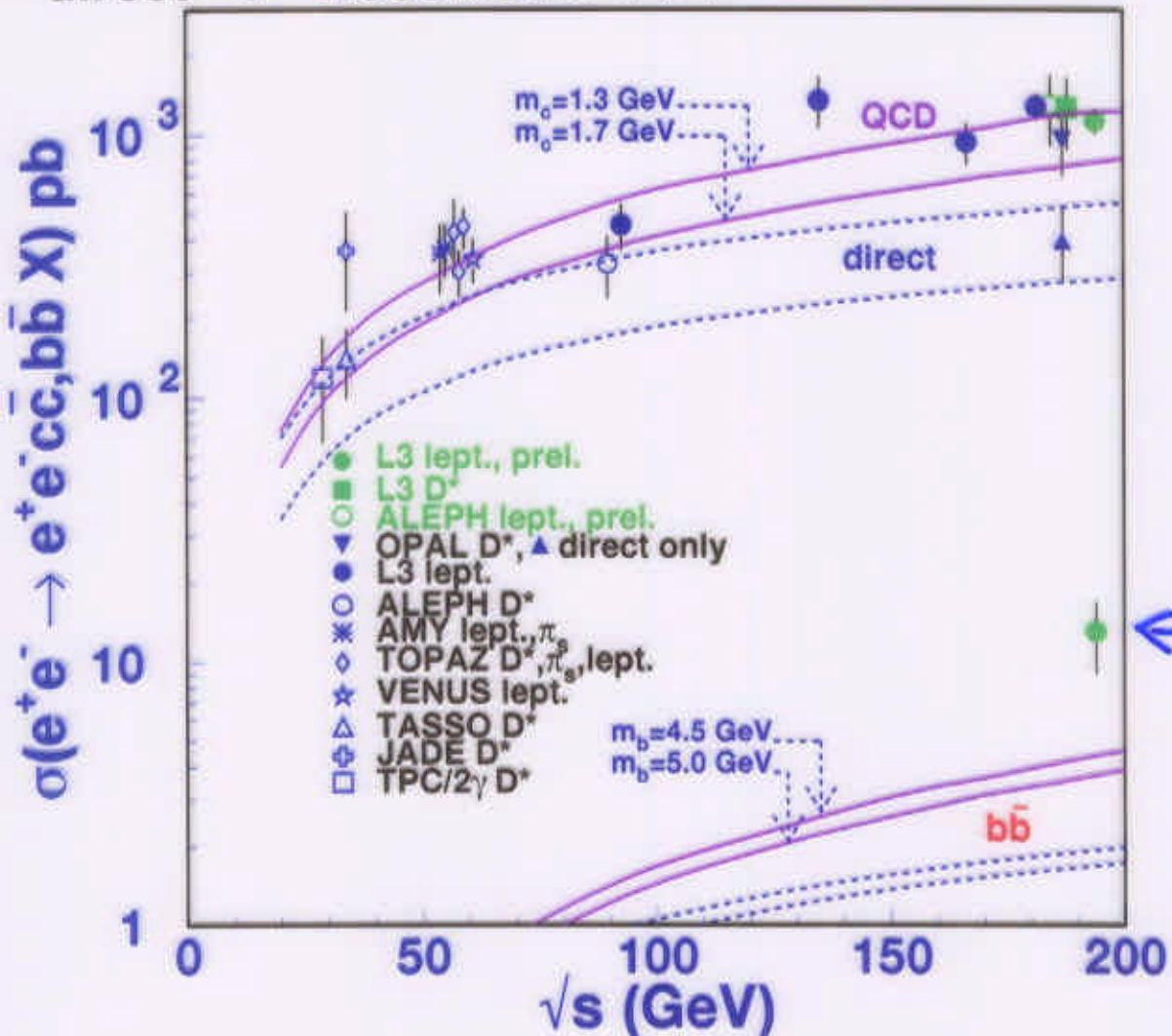


- hadronic component seen, but not yet rise of F_2^γ at very low x .
- data consistent with e.g. GRV(LO)

Heavy Flavour in $\gamma^* \gamma$ coll. from LEP

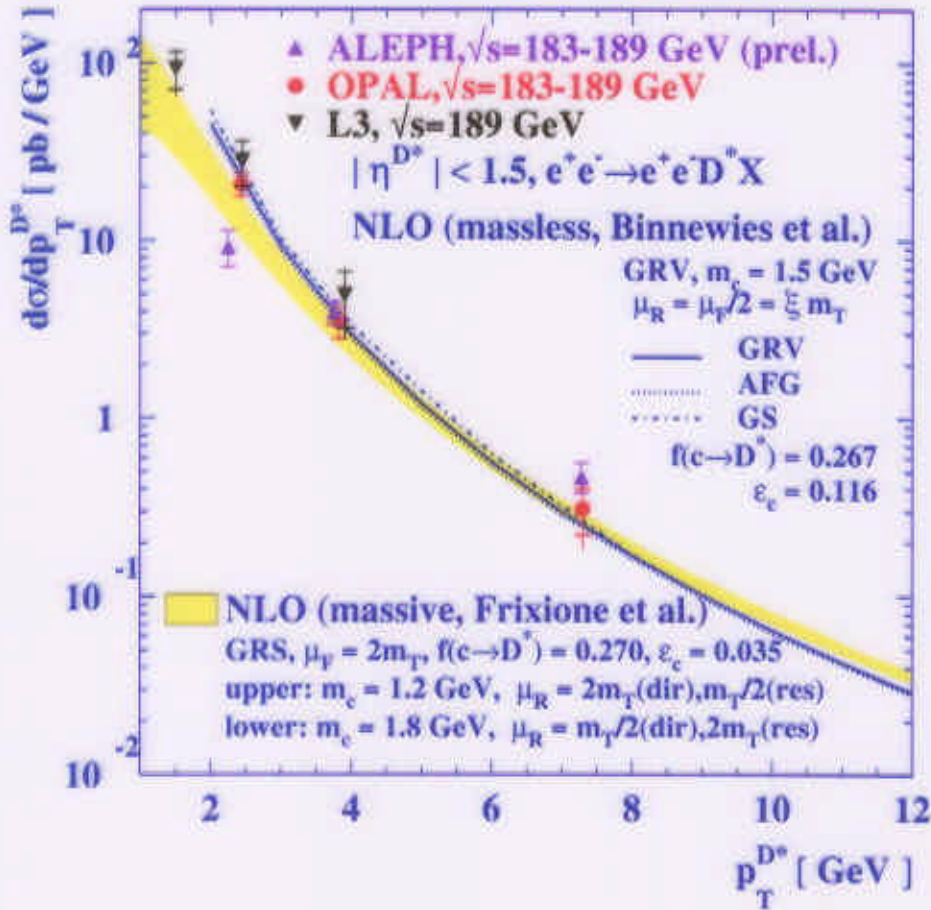


direct handle on
gluon content for
 $x_\gamma \gtrsim 0.1!$



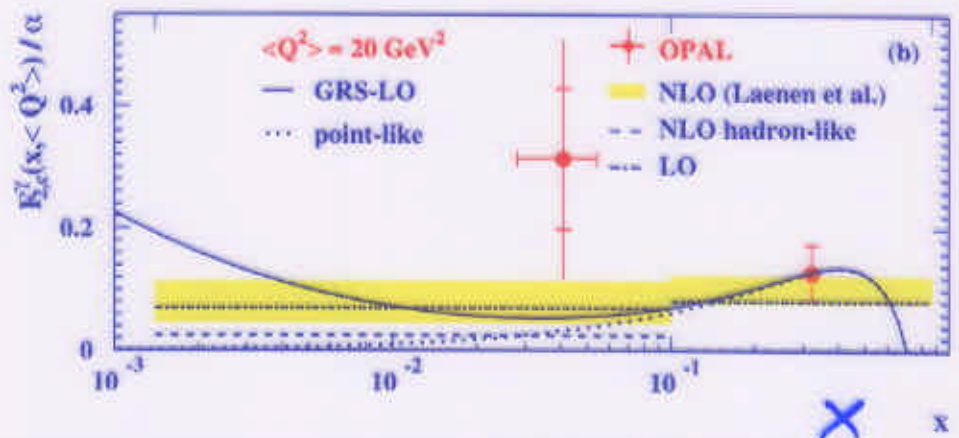
nice agreement for charm, bottom too high ?

F_2^{charm} in $\gamma^*\gamma$ coll. from LEP



good agreement with GRV (NLO)

first F_2^{charm} !



Photon Structure from HERA

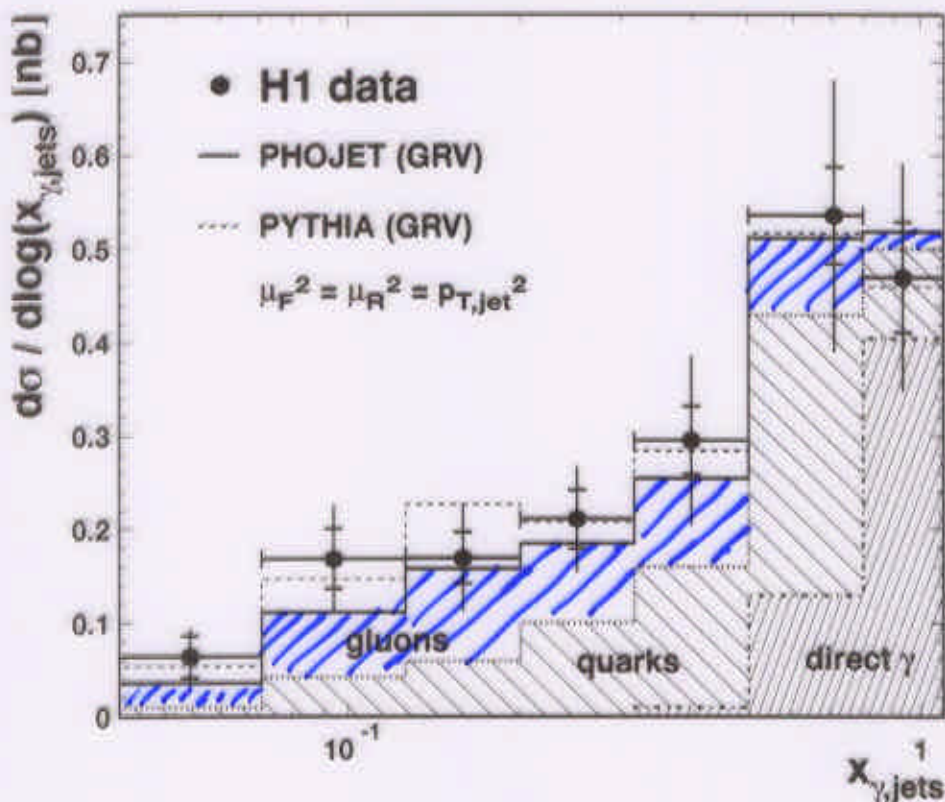
Use dijet events to probe γ structure

- low $x_\gamma \rightarrow$ low $E_{T,j} \leftarrow$ underl. event
 - high $x_\gamma \rightarrow$ high $E_{T,j} \leftarrow$ cleaner
- see talk by R. Nania

Here: **H1 analysis at $E_{T,j} > 6\text{GeV}$**

\rightarrow low x to see rise of parton density

after subtraction of underlying event:

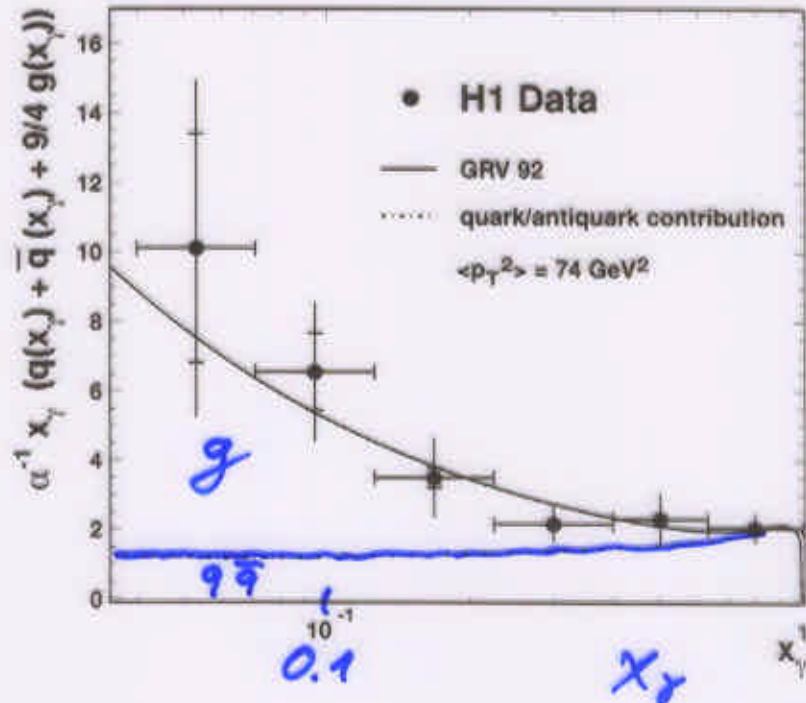


large sensitivity to gluons at small x

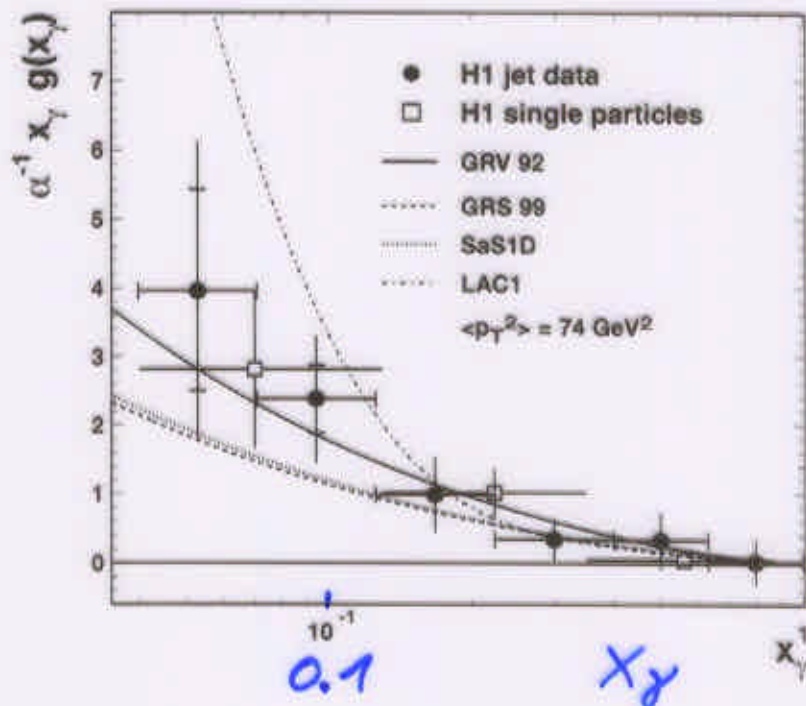
H1 low- x Photon Structure analysis

effective parton density

$$(q + g)$$



gluon density (quarks subtracted)



Clear proton-like rise of gluon density visible.
Hadronic structure ! Limited by knowledge of underlying event.

Conclusion on Photon Structure

New and very decisive datasets available
 NOW for a big step in the determination and
 QCD interpretation of the photon structure.

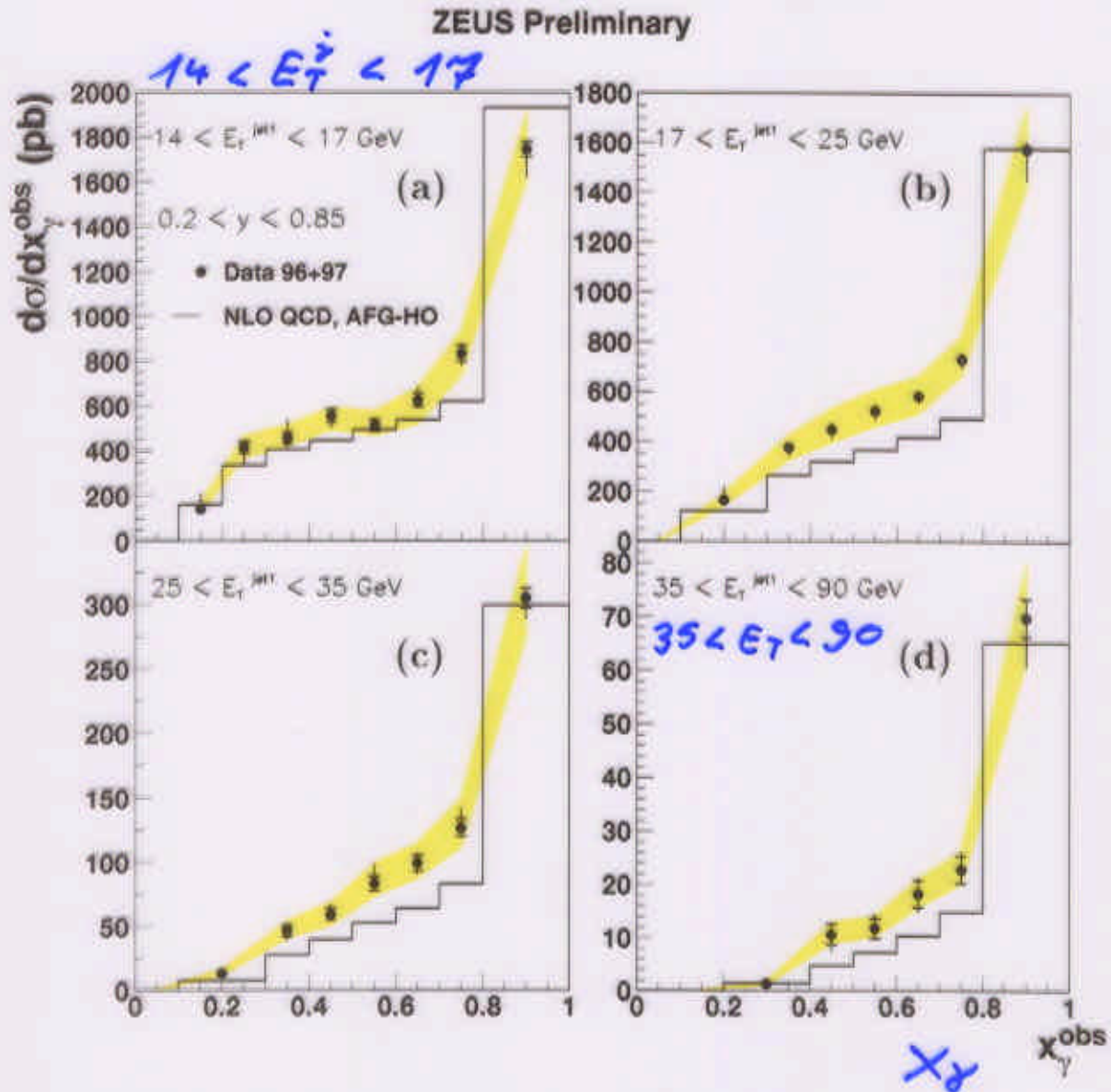
- **LEP**
 - F_2^γ for quarks, charm + jets for gluons
- **HERA**
 - jets at high/low E_T and x_γ (q+g)

Note:

$x_\gamma \lesssim 0.2$	F_2^γ	q	\approx GRV
	HERA jets	q + g	\approx GRV
$x_\gamma \gtrsim 0.2$	HERA / LEP jets	q + g	$>$ GRV
	F_2^γ	q	\approx GRV
	F_2^{charm}	g	\approx GRV

Is this consistent ? Calls for an
OVERALL NLO QCD fit to all data.

Jets in $\gamma - p$ from HERA



significant lower than standard parton
 density at
 largest E_T and $0.3 < x_\gamma < 0.8$

QCD dynamics at low- x

- **Unintegrated Parton Densities**
- **BFKL, CCFM**

theoretical predictions not always a story of success:

- incomplete, predictions in LO failed, NLO corrections huge, range of application limited, signatures not as striking as thought, difficult !

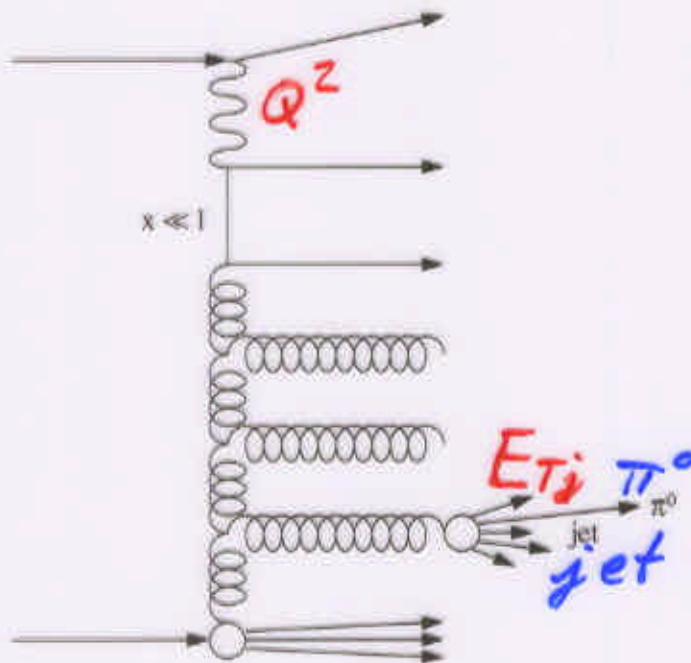
recent progress:

- **BFKL:** NLO corrections much reduced (kinematic constraints)
- **CCFM:** full P_{gg} splitting function, first calculations appeared, event generators

Here: new experimental results:

- **F2, forward jets, charm production, jets in diffraction, $\gamma^*\gamma^*$ from LEP**

QCD dynamics: Forward Jets



select:

- **small- x**
- **forward jet**
(π^0)
- **$Q^2 \approx E_{T,jet}^2$**

no k_T order

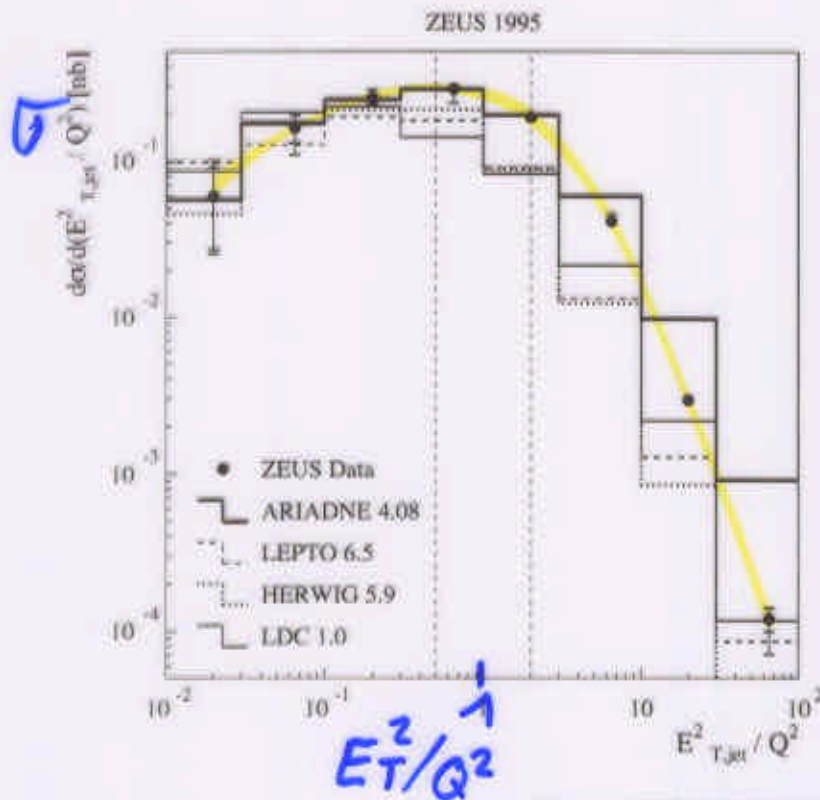
- no large scale difference: DGLAP should fail
- BFKL CCFM test case
- approximation to non k_T ordering:
resolved virtual γ

assume virtual photon has structure

which is probed by largest scale $E_{T,jet}^2$:

2 DGLAP evolution chains.

QCD dynamics: Forward Jets



DGLAP:

fails for

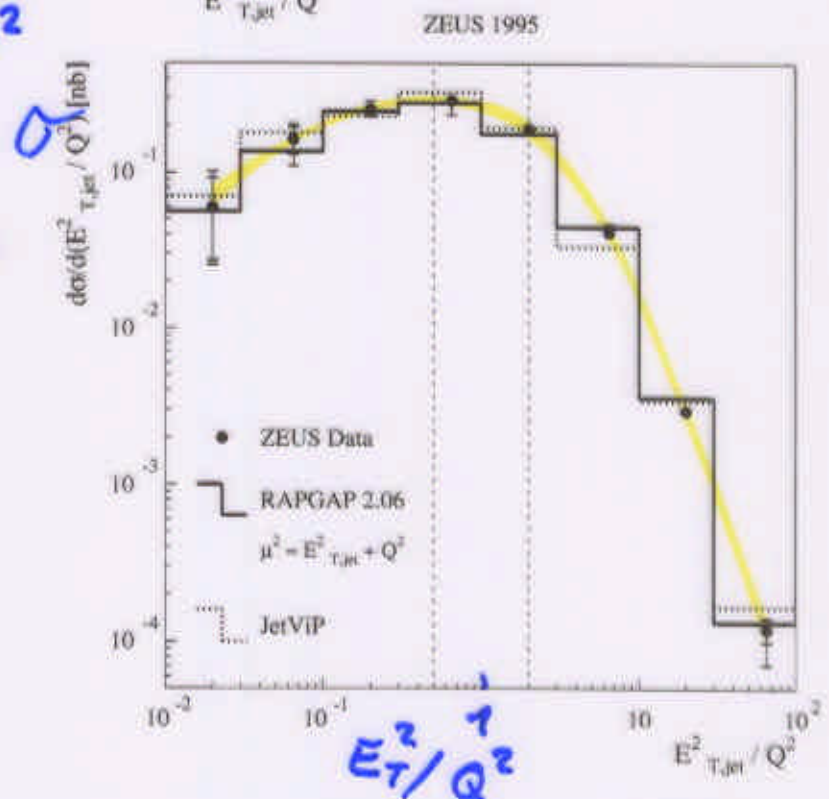
$$Q^2 \lesssim E_{T,jet}^2$$

resolved virtual

γ works:

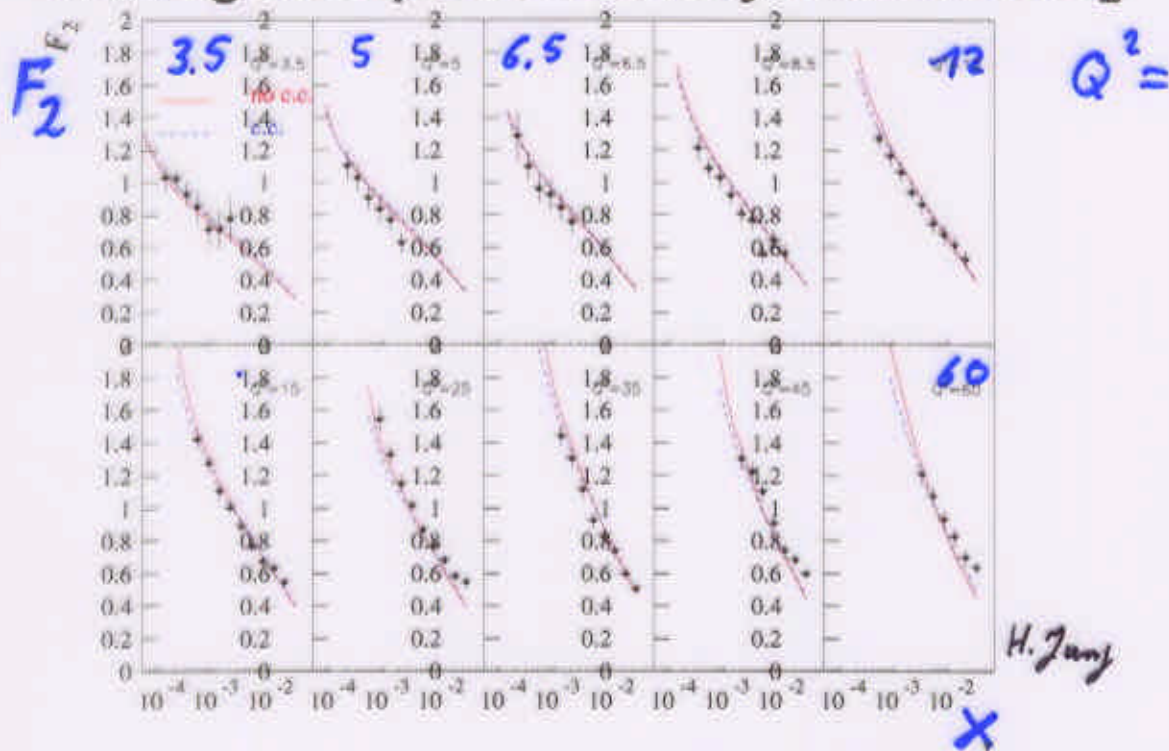
sign for

non- k_T order

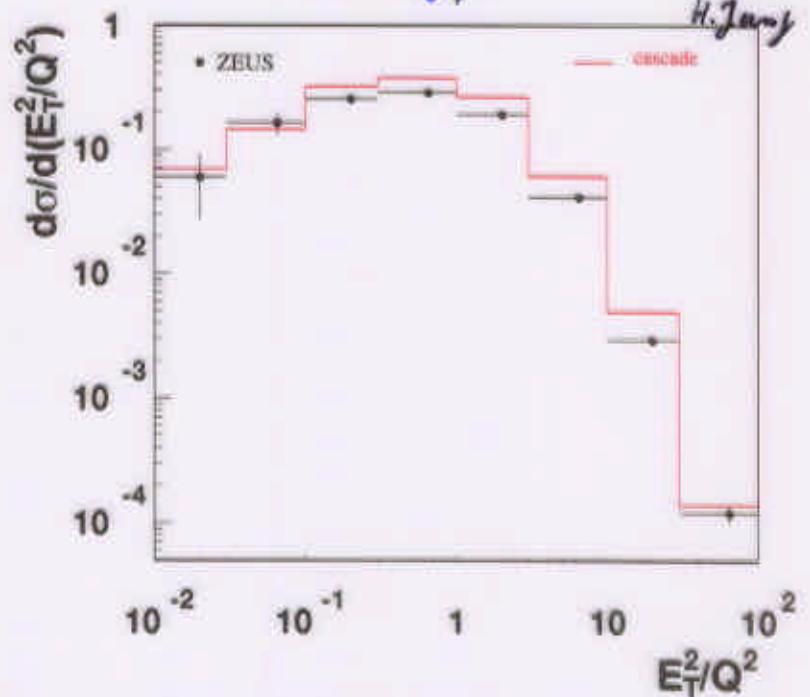


QCD dynamics: Forward Jets with CCFM

unintegrated parton density from fit to F_2

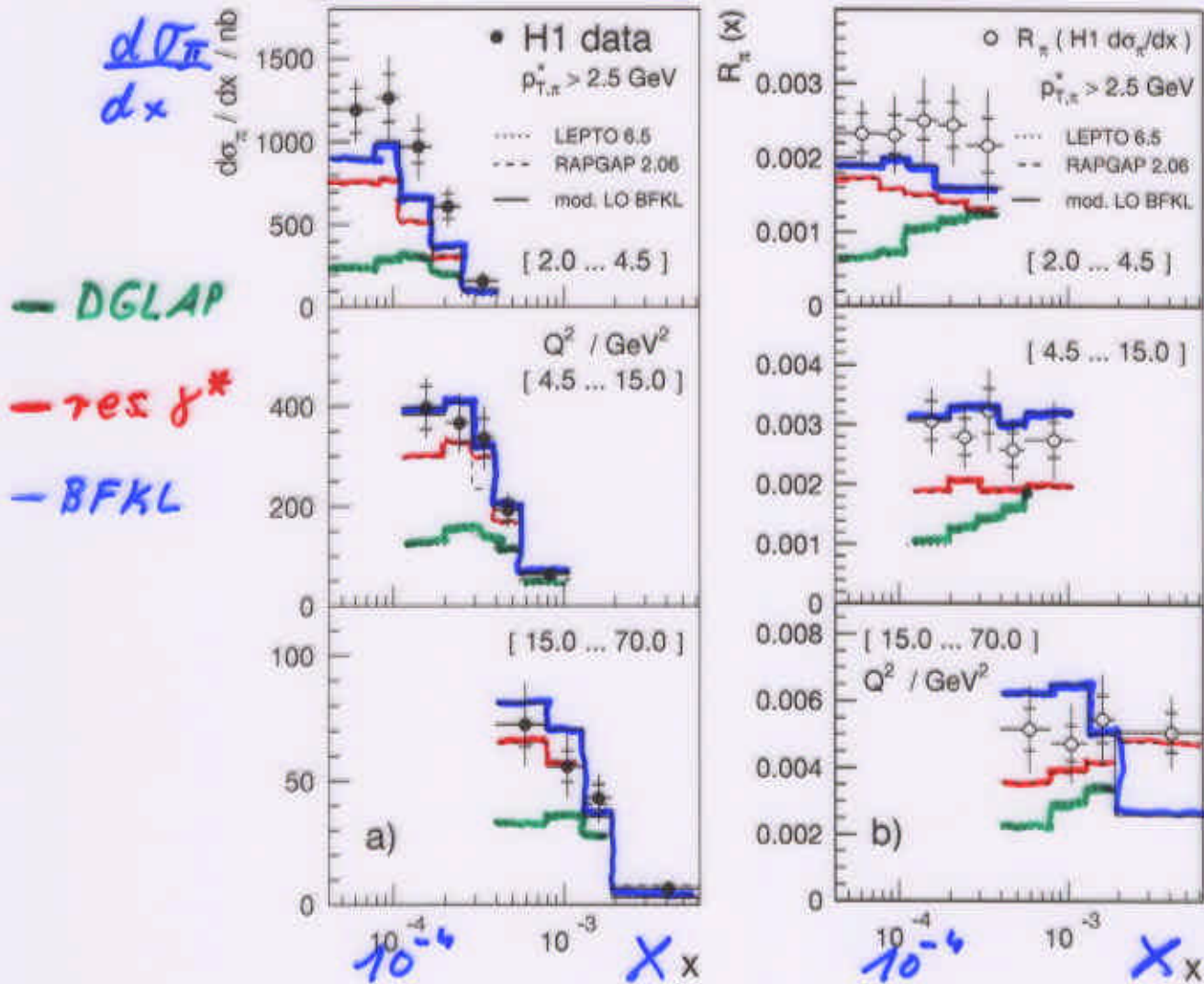


use CCFM
evolution to
describe jets



QCD dynamics: Forward π^0

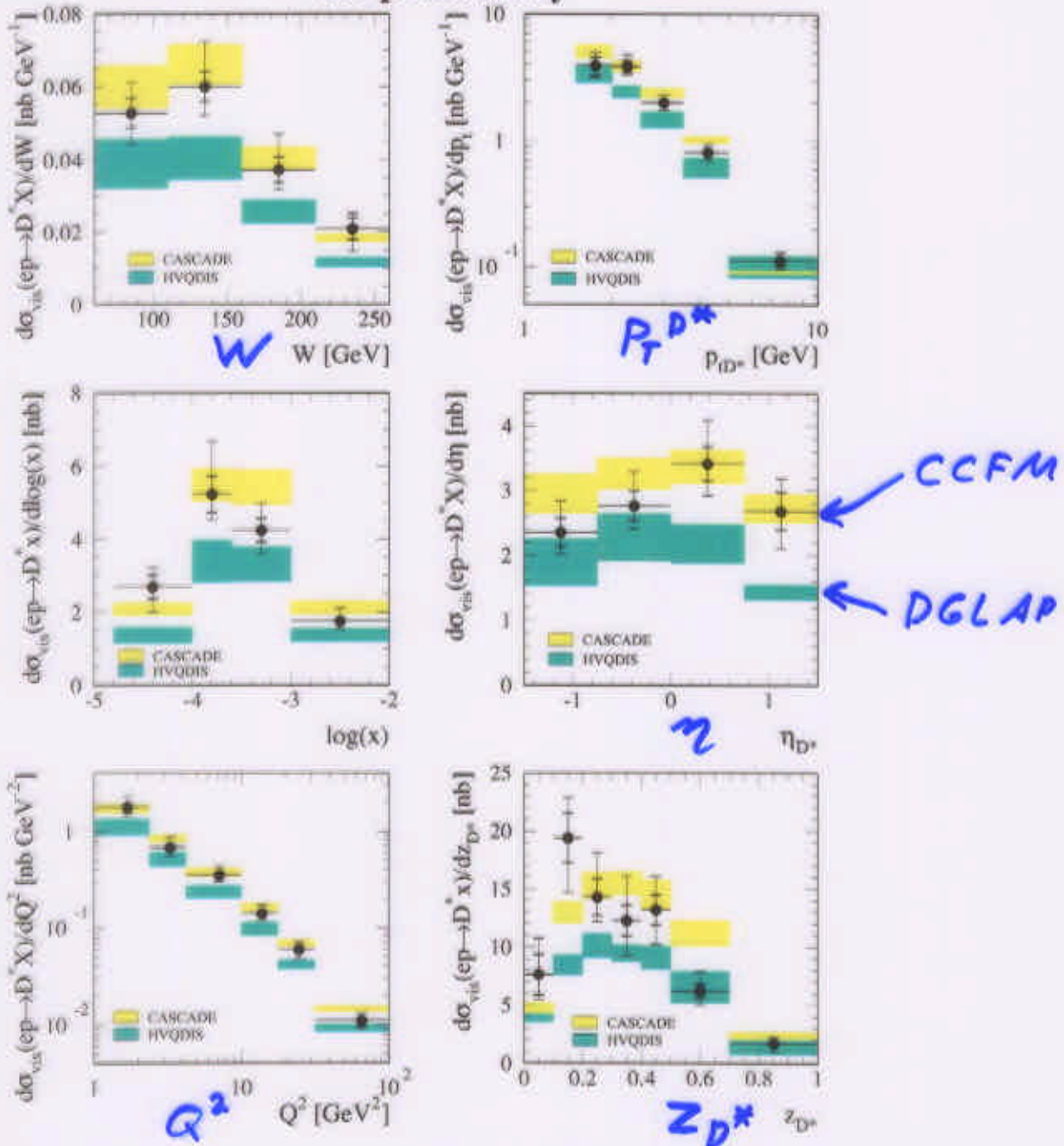
high $E_T \pi^0$ in very forward direction



only hint on dynamics beyond
'resolved virtual photon'

QCD dynamics: Charm with CCFM

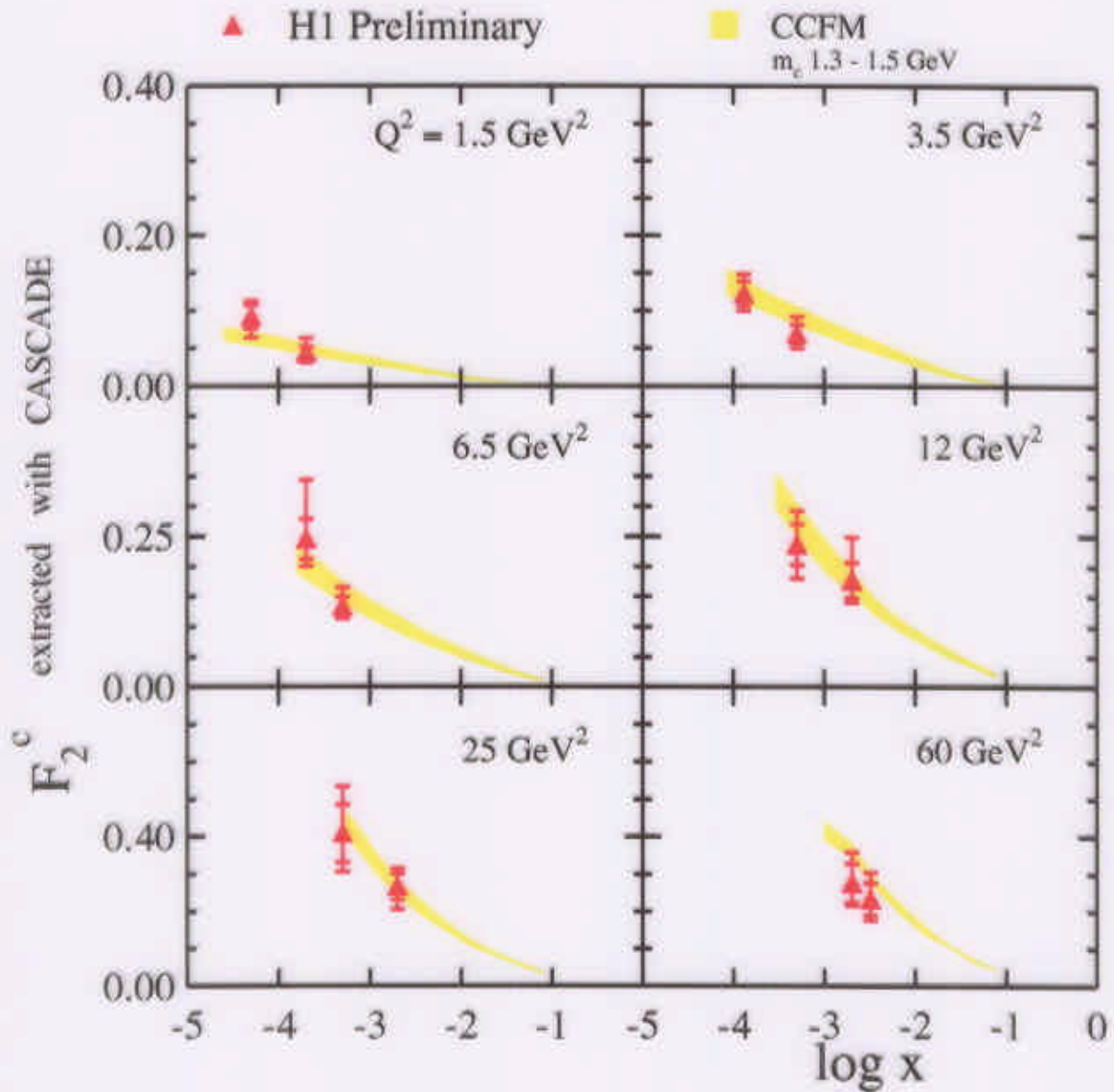
$\gamma^* g \rightarrow c\bar{c}$: charm mass is 2nd hard scale
H1 preliminary



CCFM slightly better than DGLAP

also: 1/4 at Tevatron

QCD dynamics: Charm with CCFM

 F_2^{charm}
 F_2^c in the CCFM scheme


Conclusion on QCD dynamics

- **need contributions beyond k_T - ordered chains (DGLAP)**
- resolved γ^* works astonishing well
- BFKL: weak evidence only, NLO corrections huge ($\gamma^* \gamma^*$)
- **unintegrated parton densities and CCFM: very promising first results (also for heavy flavour prod. at Tevatron)**
- needs completion of CCFM formalism to do rigorous tests
- and event generators
- **or NNLO DGLAP ..?**

Diffraction

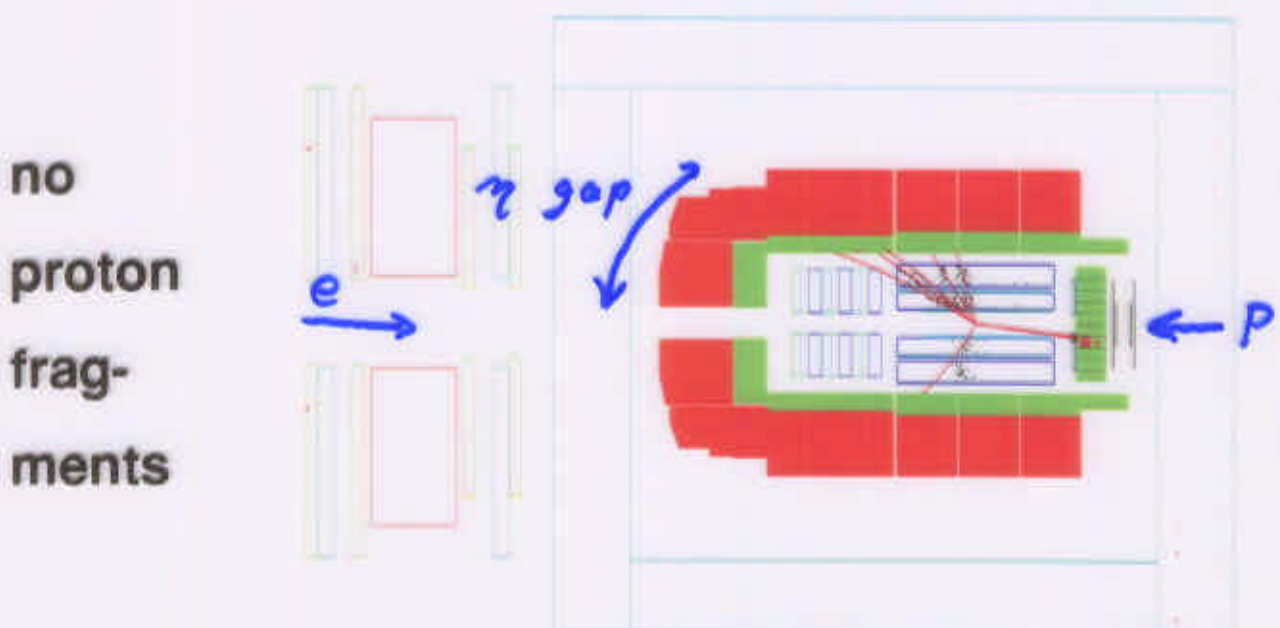
elastic scattering: large part of total σ_{pp}^{tot}

QCD: scattering via quark/gluon exchange

→ colour exchange → **confinement** →
proton fragments

What happens in elastic scattering ?

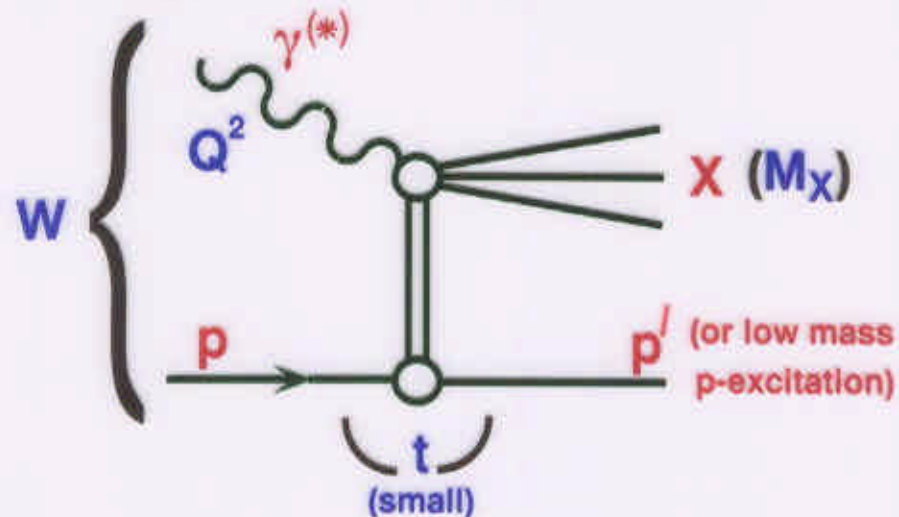
- soft peripheral scattering: ← difficult
- **hard diffractive scattering:**
 - jet production with elastic scattered p
 - $\gamma^* p \rightarrow X p$
 - γ^* hits parton but p survives



not rare: 10% of all events at HERA

Diffraction

Aim: Identify the partonic **colour-singlet** system exchanged between p and hard



process.

Structure functions at HERA and Tevatron:

Factorisation: proof by J.Collins also for diffractive *lepton-p* scattering.

Puts hard diffraction onto solid theoretical ground in QCD.

$$F_2^{D(4)}(\beta, Q^2, x_P, t)$$

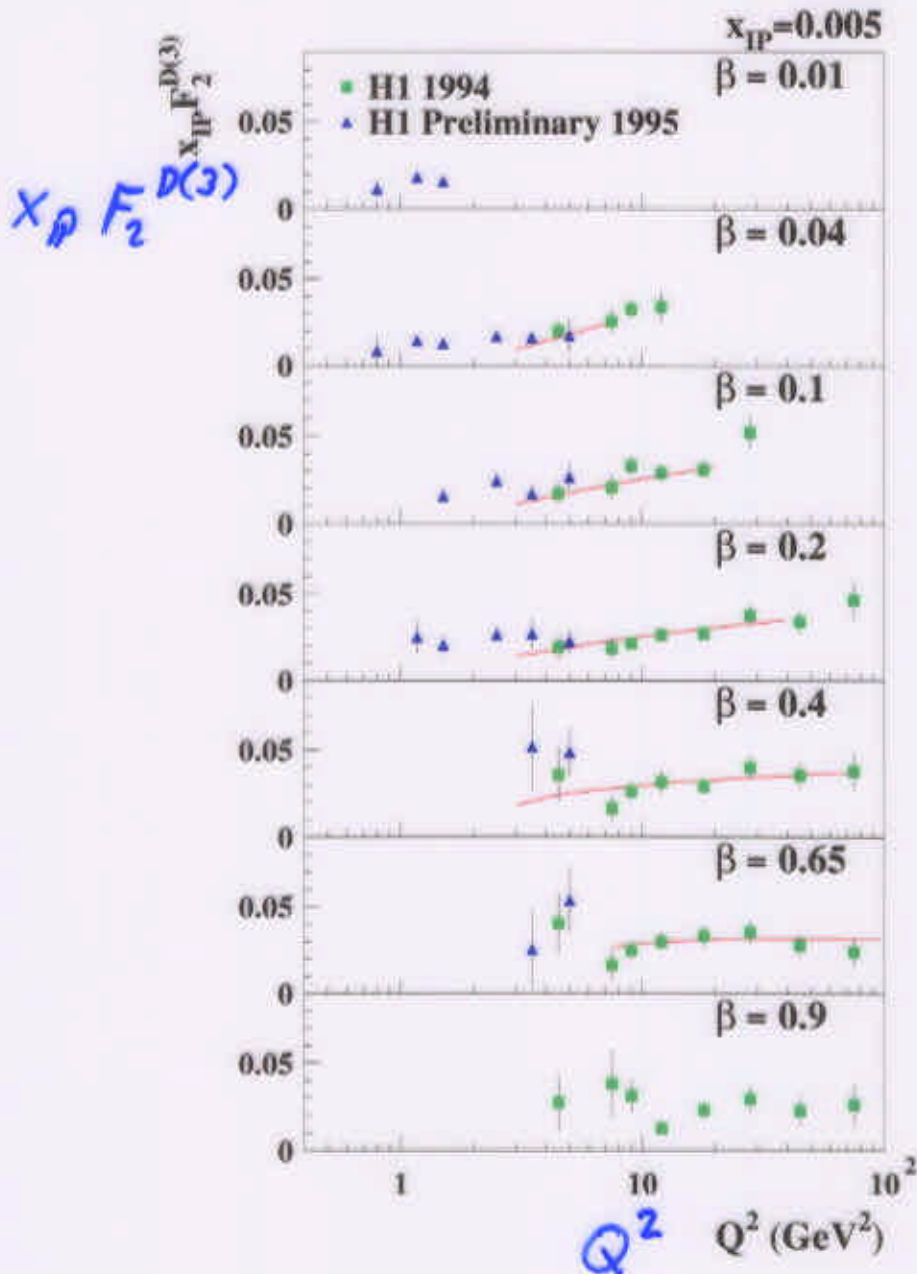
x_P momentum fraction of colour singlet in p

$\beta = x/x_P$ momentum fraction of parton in

colour singlet, $t^2 = (p - p')^2$

Diffractive parton density

integrated in t : $F_2^{D(3)}(\beta, Q^2, x_P,)$



$F_2^{D(3)}$ increases with Q^2 :

positive scaling violations:

gluons dominate (see proton at small x)

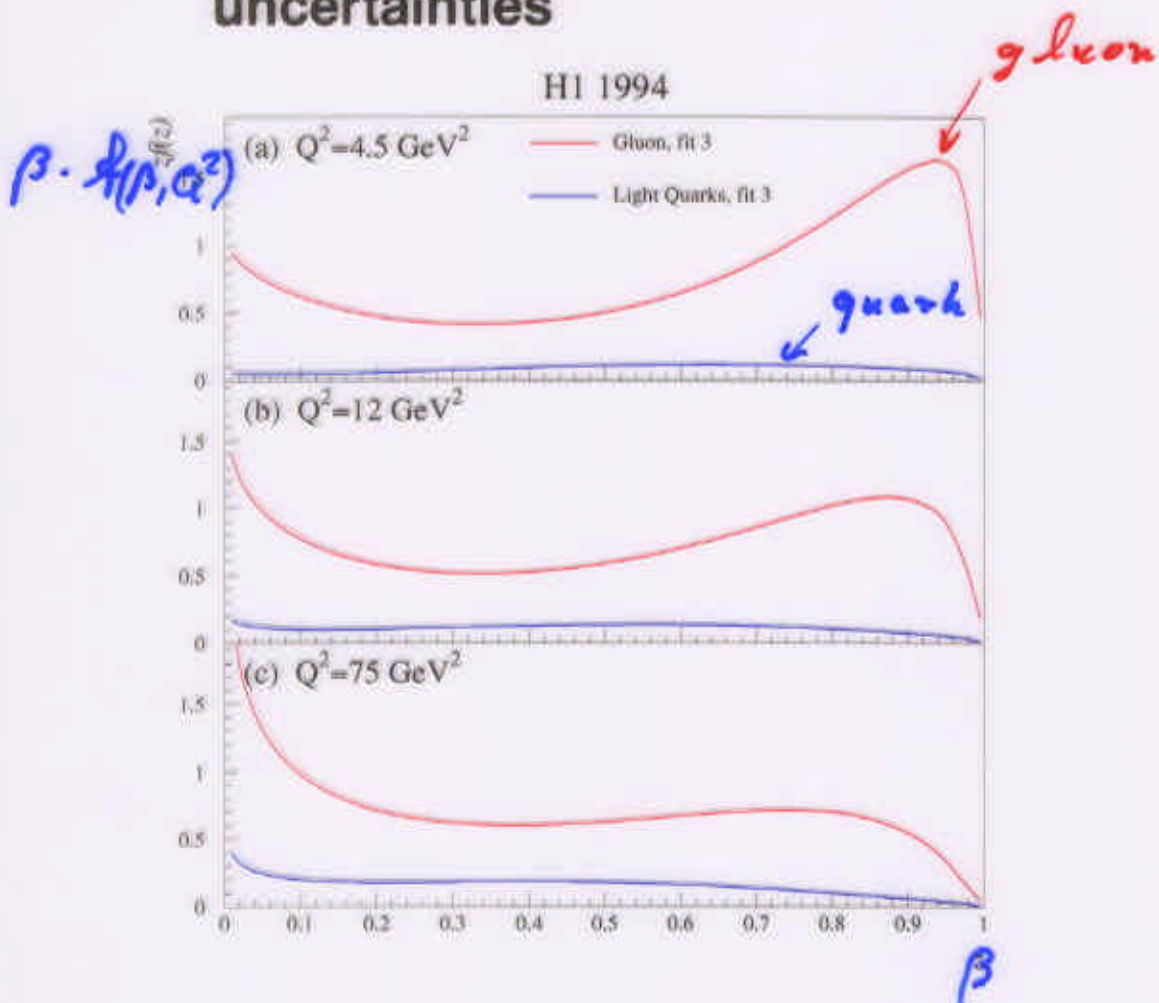
Diffractive parton density

QCD analysis

- approx. Regge factorisation:

$$F_2^{D(3)}(\beta, Q^2, x_P,) = f(x_P) \times f(\beta, Q^2)$$

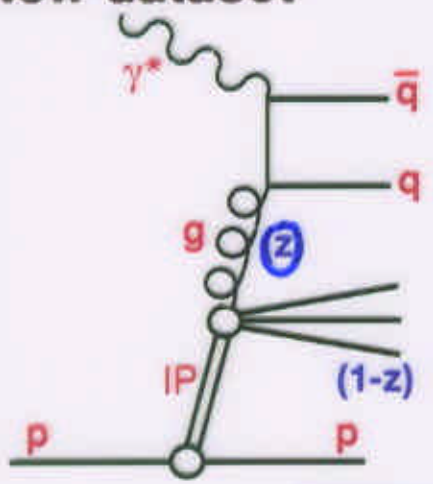
- gluon density flat in β , with large uncertainties



Needs independent cross check in another process

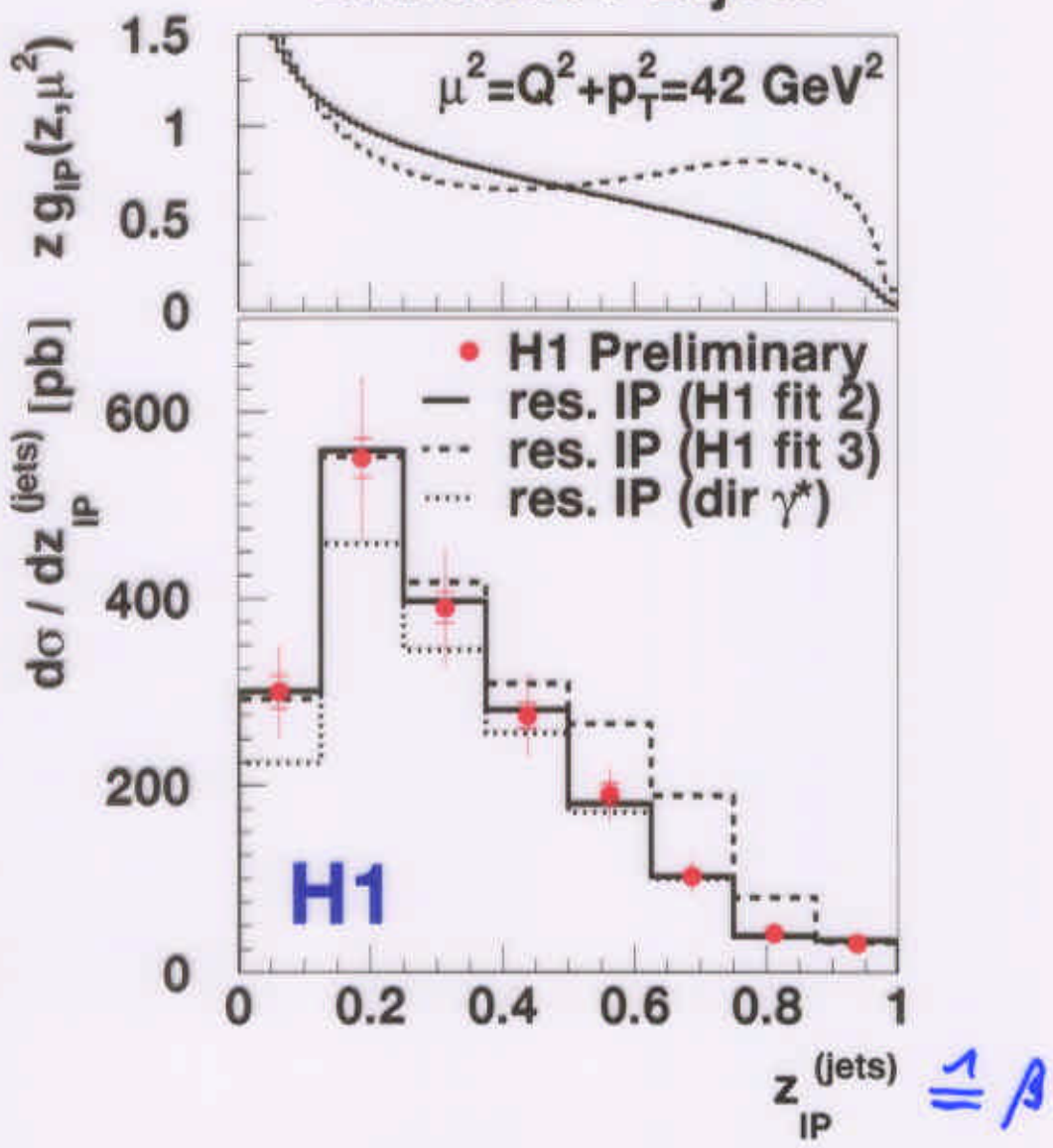
Jet production in Diffraction

New dataset



directly sensitive to gluons

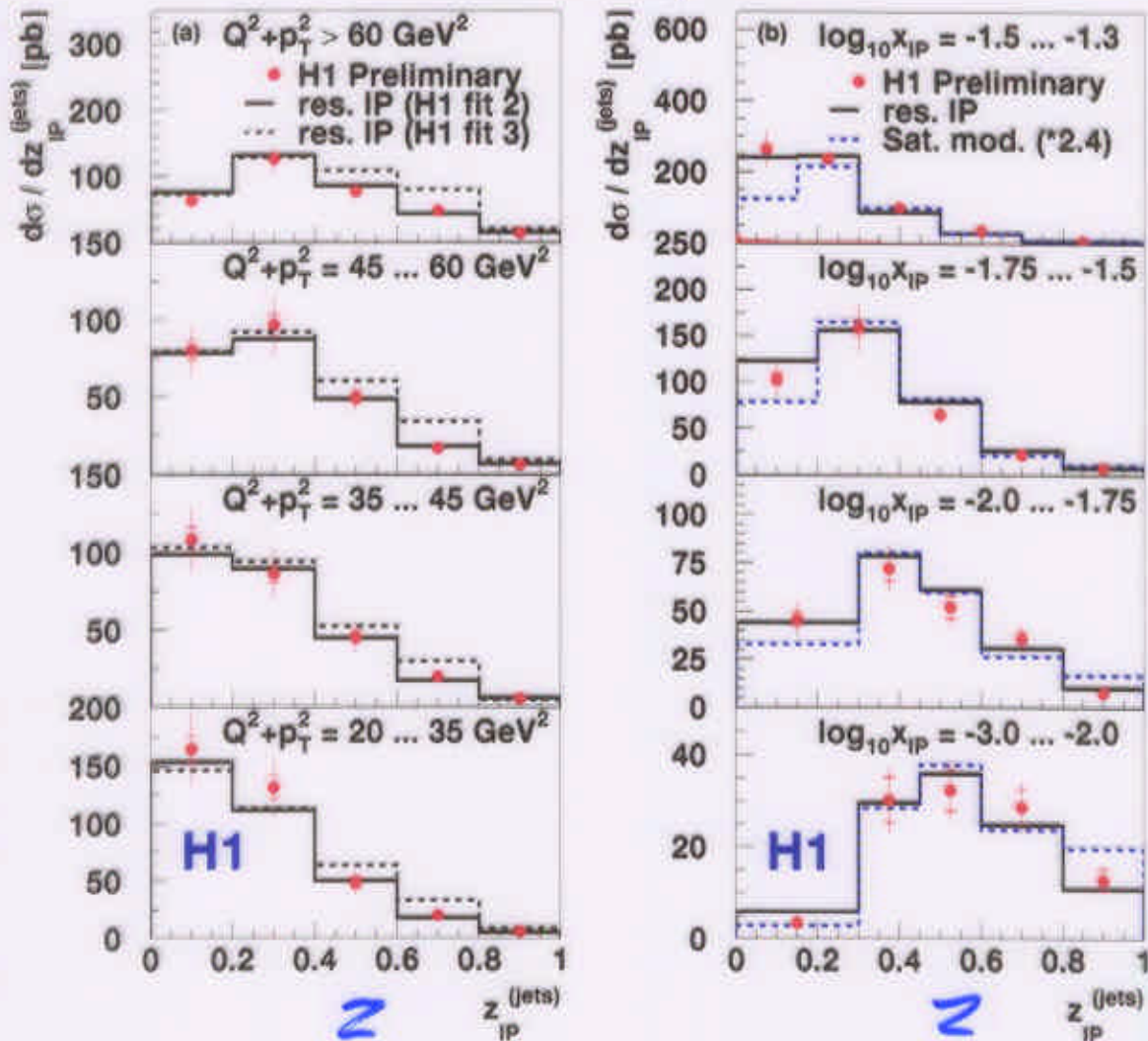
Diffractive Dijets



Jet production in Diffraction

Diffractive Dijets

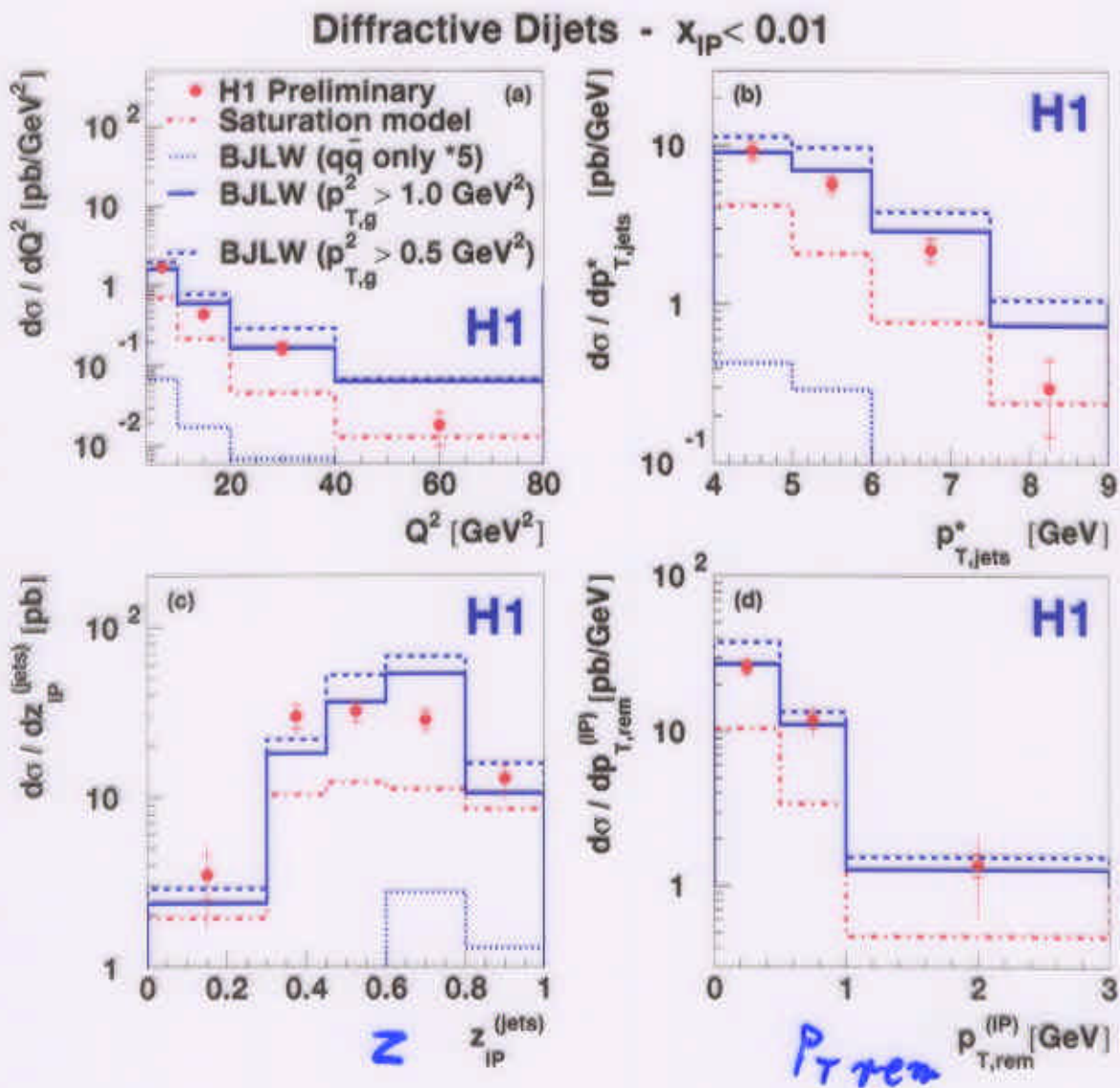
$\frac{d\sigma}{dz}$



fully consistent picture from $F_2^{D(3)}$ and jets
 in either Q^2 , x_P or z

Jet production in Diffraction

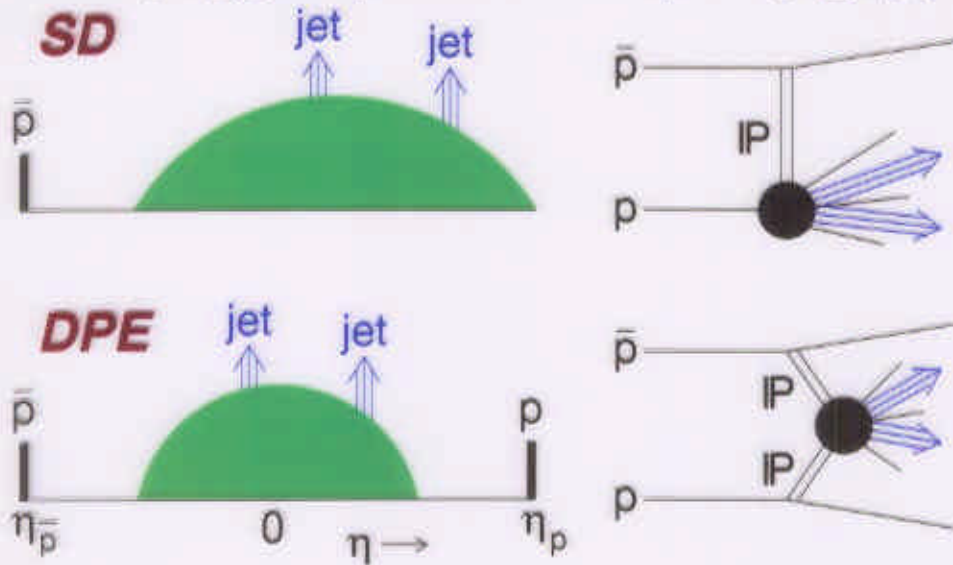
Comparison with QCD calculation for 2-gluon exchange $\sigma \sim |g(z, Q^2)|^2$



Note: reasonable agreement, considerable energy not contributing to hard process: remnant of colour singlet at low P_T

Diffraction at Tevatron

use: (tagged proton or rapidity gap) + jets



Ratios:

- single diff. / non-diff. = R_{ND}^{SD}
- double diff. / single diff. = R_{SD}^{DD}

structure function:

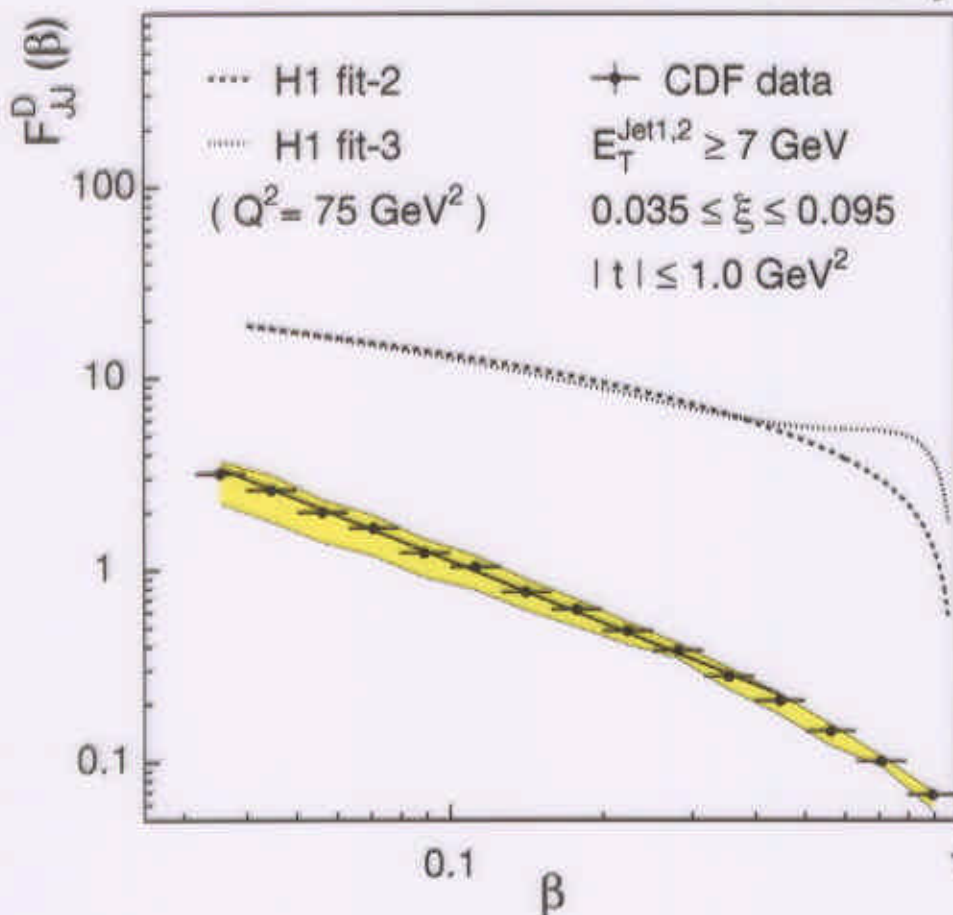
$$F_{jj}^D = R_{ND}^{SD} \times F_{jj}$$

$$\sim x(g + 4/9[q + \bar{q}])$$

Diffraction at Tevatron

compare with HERA predictions

CDF Preliminary



difference factor 20, no agreement in shape !

→ Breakdown of factorisation

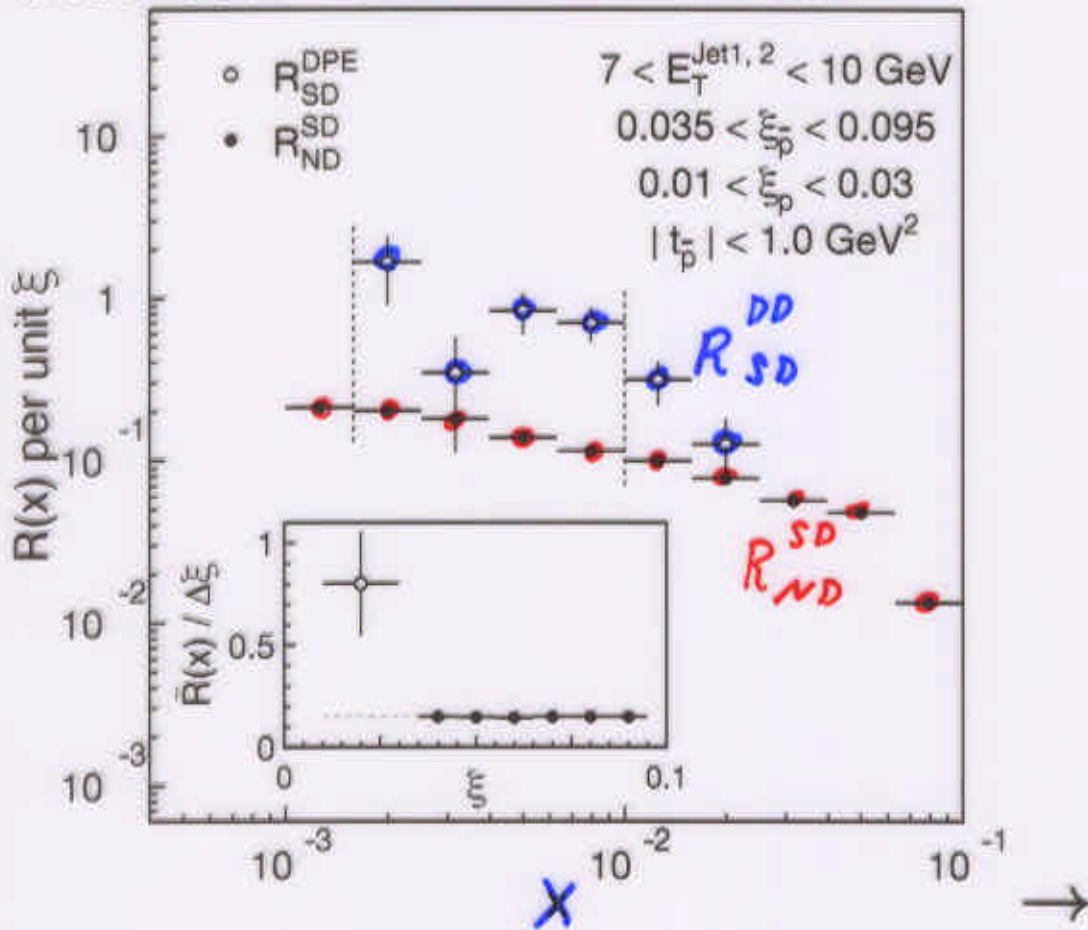
Note: factorisation proof does not hold for

$p\bar{p}$, only for ep .

Diffraction at Tevatron

compare R_{SD}^{DD} with R_{ND}^{SD}

factorisation test within Tevatron



Breakdown of factorisation

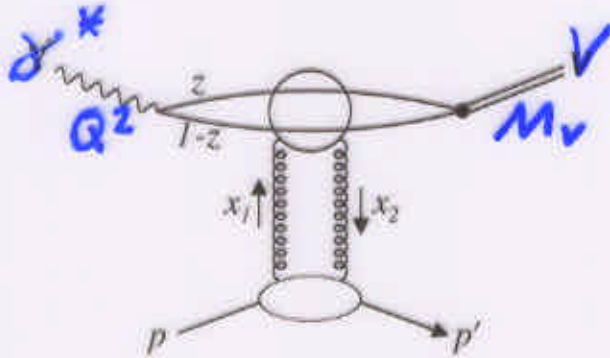
$$R_{SD}^{DD} = 0.80 \pm 0.26$$

$$R_{ND}^{SD} = 0.15 \pm 0.02 \quad \text{factor 5}$$

similar factors for W, b

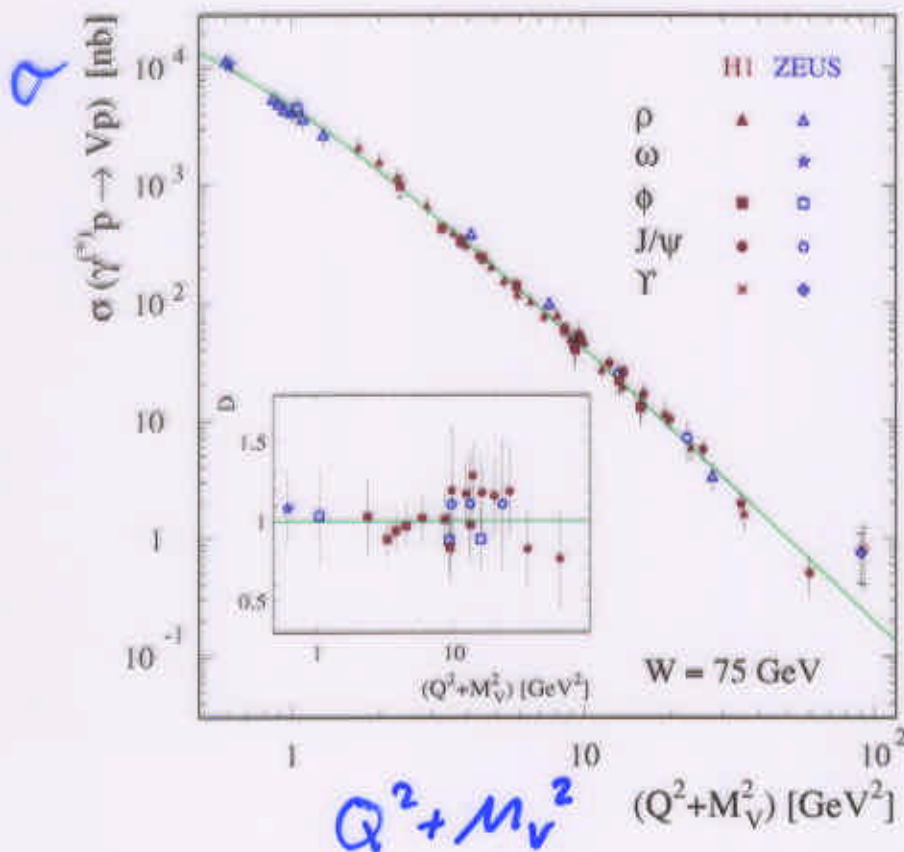
remnant ~ proton scattering: soft

Vectormeson production at HERA



- $\gamma \rightarrow q\bar{q}$
- $q\bar{q}(g)$ interacts with colour singlett
- formation of meson

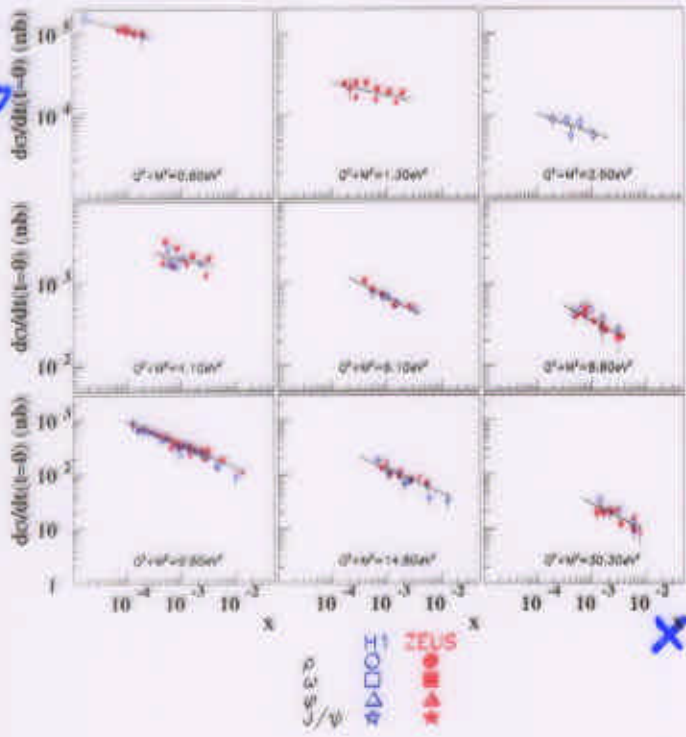
Hard scales: Q^2 , M_V or t



Vectormeson production at HERA

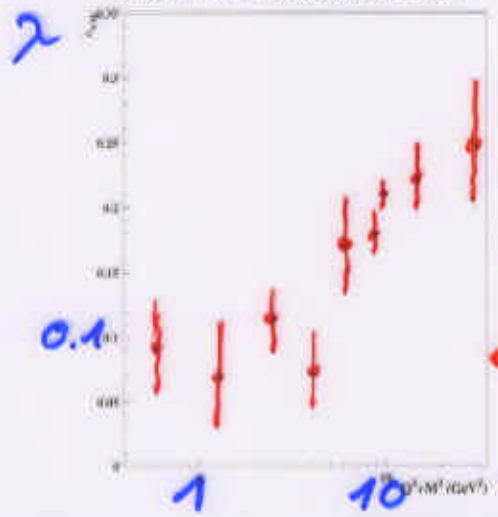
Elastic VM Production at HERA

$\frac{d\sigma}{dt}|_{t=0}$



$x^{-2.2}$

Elastic VM Production at HERA

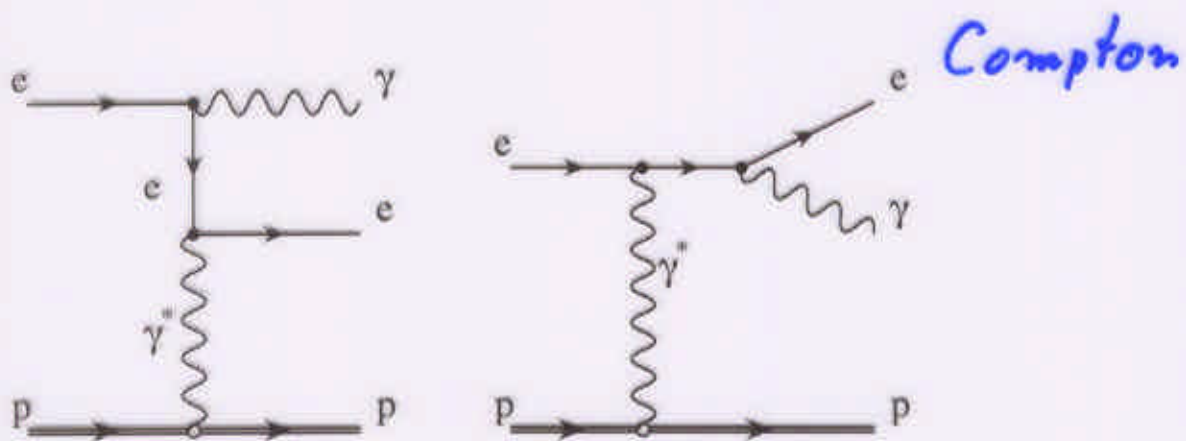
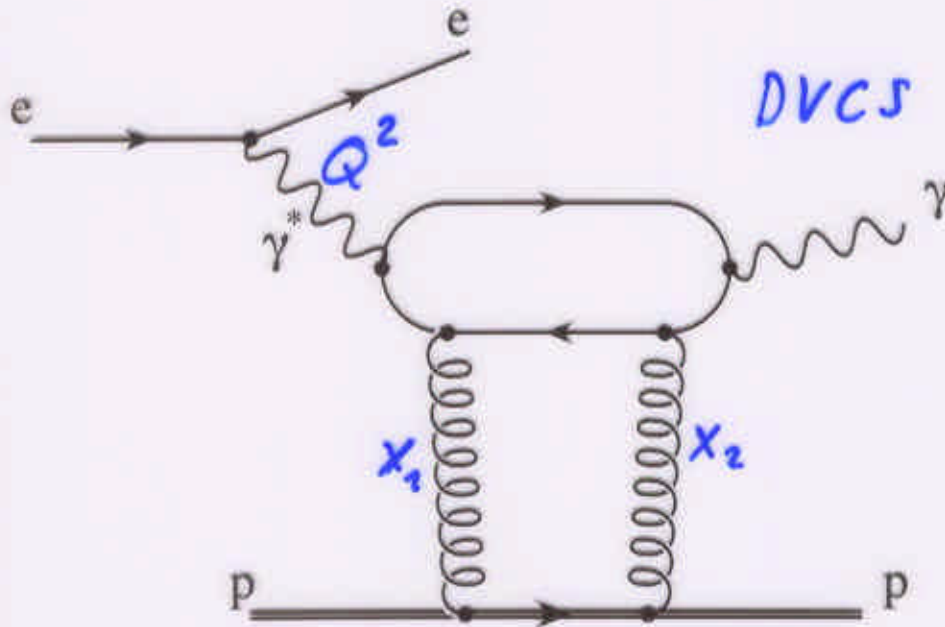


soft process:
 $\lambda = 0.08$

$Q^2 + M^2$

clear transition between soft and hard
nice confirmation of pQCD scale

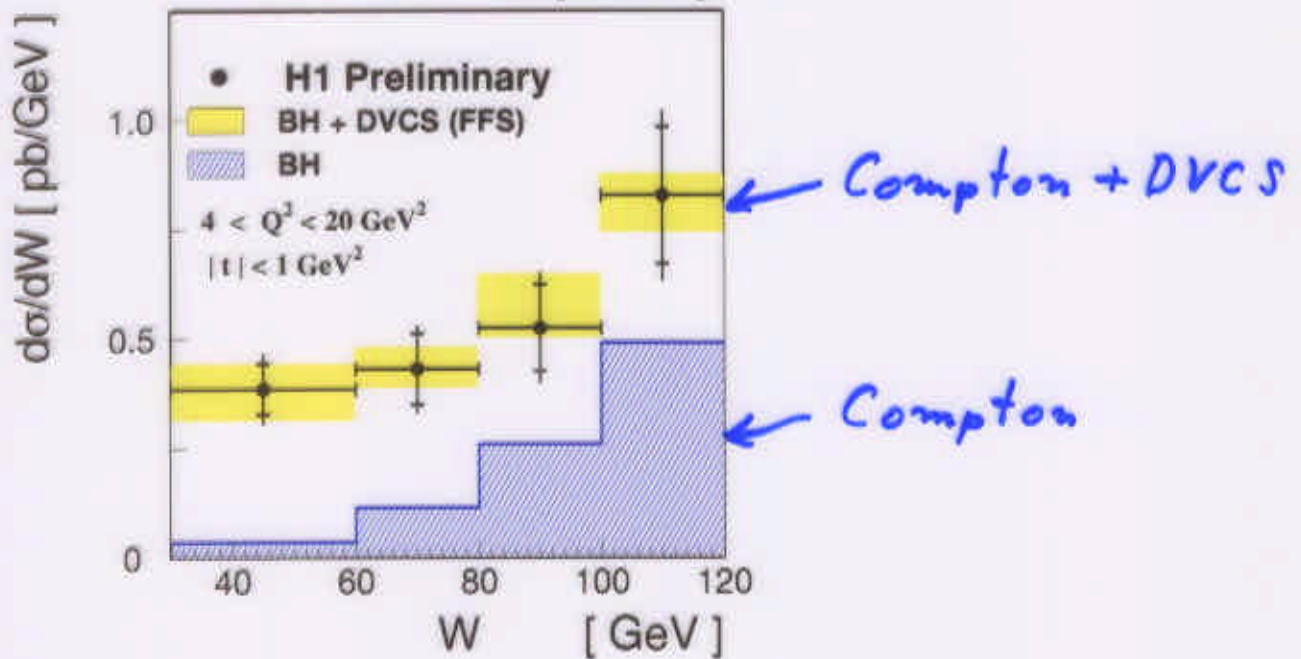
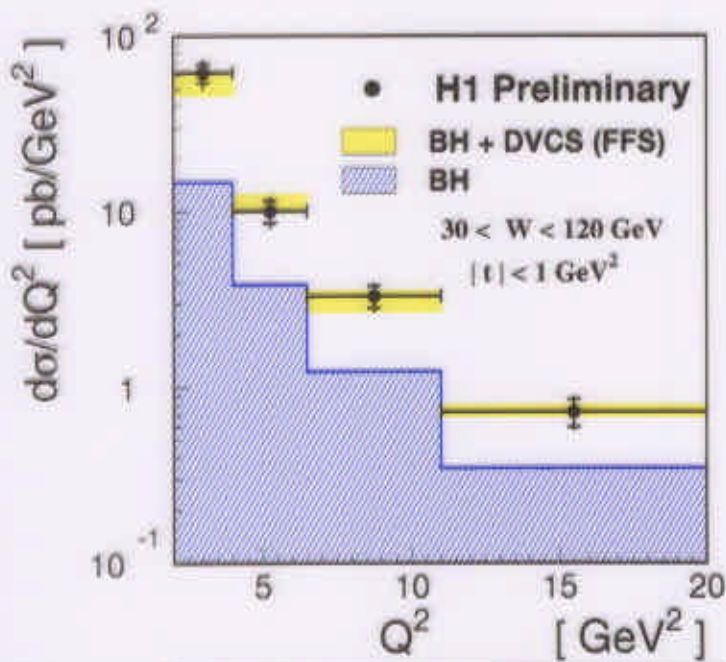
Deeply Virtual Compton Scattering



Interference yields access to skewed Parton Distributions $f(x_1, x_2, Q^2)$



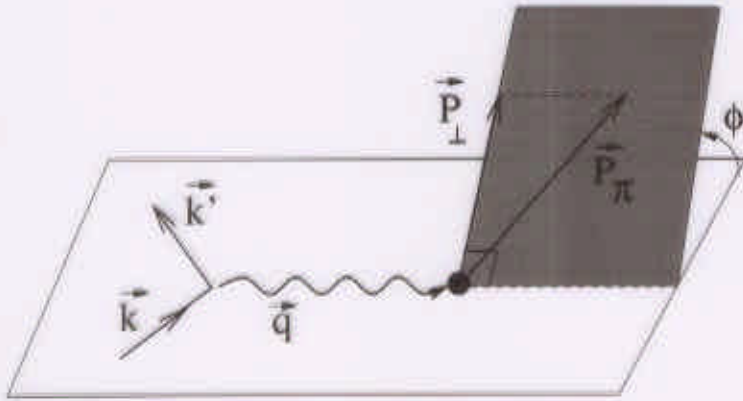
Deeply Virtual Compton Scattering



pQCD: predicted cross section nicely confirmed.

Needs major step in luminosity !

Transversity from HERMES



Conclusion

- **Proton structure at low Q^2 and low- x**
 significant step in precision, but gluon not yet well constrained at low- x ($\sim 10^{-4}$). Nedds NNLO, and independent check: F_L, F_2^{charm}
 - **Photon structure**
 much improved data: inflation of QCD fits ?!
 - **QCD dynamics:**
 very promising progress in numerical predictions, theory still incomplete, unintegrated parton densities universal clue to many problems would be a major step !
 - **Diffraction**
 pQCD successfully applied, statistics !
 factorisation theorem: HERA – TEVATRON ?
 - **Spin**
 new observables: new clues for spin
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