

**Modular Invariant  
Partition Function for Type II  
Strings on a Conifold**

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## Superstrings on a Singular Calabi - Yau

- Critical dimension = 10  $\Rightarrow$  Compactification
- Size, shape and other structures of the space compactified  $\Rightarrow$  Massless scalars

How are the Moduli fixed?

Strings on a conifold

- The structure of the singularity is characterized locally.  $\Rightarrow$  If the neighborhood of the singularity is zoomed in on, the system becomes independent of the detail of the original CY.

Less-moduli models

## Models using abstract CFTs

### Gepner models

Flat  $D = 4$  spacetime  $\Rightarrow$  Internal  $c = 9$  CFT as a tensor product of minimal  $N = 2$  SCFTs (Gepner)

$$c = \frac{3k}{k+2} \quad (k = 1, 2, \dots)$$

- Corresponds to a special point of the moduli space of CY compactification.

- $N = 2$  minimal  $\cong \underbrace{\frac{SU(2)}{U(1)}}_{\text{parafermions}} \times \underbrace{U(1)}_{\text{fermions}}$

### "Noncompact" Gepner models

Replace  $SU(2)/U(1)$  with  $SL(2, \mathbb{R})/U(1)$ .

$$c = \frac{3k}{k-2} \quad (k > 0)$$

- A single  $SL(2, \mathbb{R})/U(1)$  CFT with  $k = 3$  supplies the necessary central charge.
- Corresponds to a conifold. (Ghoshal, Vafa)

$SL(2, \mathbb{R})/U(1)$ 

 Gauged  $SL(2, \mathbb{R})$ 

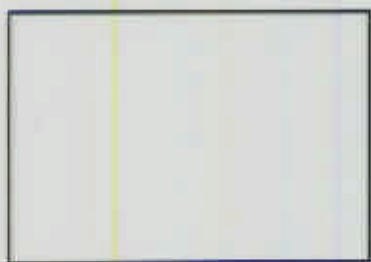
 Kazama-Suzuki  $\cong$ 

WZW model

model

2D black hole

(Schnitzer; Witten)



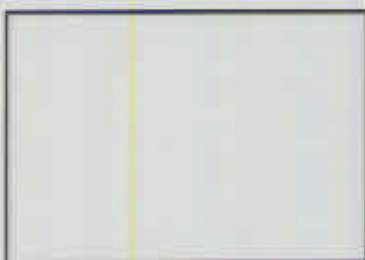
4D Minkowski

 $\times$ 

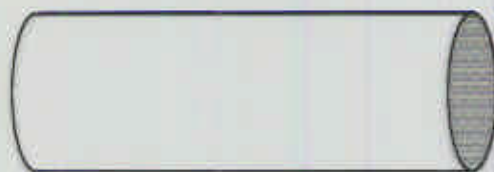

2D black hole

 $\implies$  6D critical string

c.f. Liouville theory



4D Minkowski

 $\times$ 

 $\longrightarrow$  Linear dilaton

 $S^1 \times \mathbb{R}, c = 1$  Liouville

 $\implies$  6D noncritical string

## Modular Invariant Partition Function

$$N = 2, c > 3 \text{ characters}$$

NS non-degenerate characters:

$$\text{Tr} q^{L_0} = q^{h+\frac{1}{8}} \frac{\vartheta_3(0|\tau)}{\eta^3(\tau)}.$$

Monomial factor  $q^{h+\frac{1}{8}}$  makes the modular behavior **awful**.

**Idea 1.** Consider (a countable set of) infinitely many primary fields so that the sum of their  $q^{h+1/8}$  factors form a certain **theta function** !

Numbers of eta and theta functions do not balance.

$\Rightarrow \sqrt{\tau}$  factors do not cancel in the modular  $S$  transformation.

**Idea 2.** Assume that the “internal”  $N = 2$  CFT has a degree of freedom of the center-of-mass motion along the “cigar”!

Agrees with the picture of CFTs on singular Calabi-Yau spaces. (Witten; Ooguri, Vafa)

## Theta identities

In type II string theories, the key equation was Jacobi's abstruse identity:

$$\vartheta_3^4(0|\tau) - \vartheta_4^4(0|\tau) - \vartheta_2^4(0|\tau) = 0.$$

Any such nice theta identities?

$$\begin{aligned} \Theta_{1,1}(\tau, 0) (\vartheta_3^2(0|\tau) + \vartheta_4^2(0|\tau)) - \Theta_{0,1}(\tau, 0) \vartheta_2^2(0|\tau) &= 0 \\ &\equiv \Lambda_1(\tau). \end{aligned}$$

(Bilal, Gervais)

$$\begin{aligned} \Theta_{0,1}(\tau, 0) (\vartheta_3^2(0|\tau) - \vartheta_4^2(0|\tau)) - \Theta_{1,1}(\tau, 0) \vartheta_2^2(0|\tau) &= 0 \\ &\equiv \Lambda_2(\tau). \end{aligned}$$

Modular  $S$  transform of  $\Lambda_1(\tau)$

- Modular properties

$$\Lambda_1(\tau + 1) = i\Lambda_1(\tau),$$

$$\Lambda_2(\tau + 1) = -\Lambda_2(\tau),$$

$$\Lambda_1(\tau) = \frac{\exp(3\pi i/4)}{\sqrt{2}\tau^{3/2}} (-\Lambda_1(-1/\tau) + \Lambda_2(-1/\tau)),$$

$$\Lambda_2(\tau) = \frac{\exp(3\pi i/4)}{\sqrt{2}\tau^{3/2}} (+\Lambda_1(-1/\tau) + \Lambda_2(-1/\tau)).$$

$$\Rightarrow |\Lambda_1(\tau)/\eta^3(\tau)|^2 + |\Lambda_2(\tau)/\eta^3(\tau)|^2 \text{ is modular invariant!}$$

## GSO projection

- NS sector

Keep, in both left and right sectors, only the odd fermion excited states if  $\epsilon = \bar{\epsilon} = 0$ , while even fermion excited states if  $\epsilon = \bar{\epsilon} = 1/2$ .

$m$  and  $\epsilon$ , both of which label the  $U(1)$  charge, are separately GSO projected.

- R sector

States with  $\epsilon = \bar{\epsilon}$  are similarly paired, but the left-right chirality may or may not be the same.

Same chirality  $\Rightarrow$  IIB-like model

Opposite chirality  $\Rightarrow$  IIA-like model

## Total partition function

$$Z = \int \frac{d\tau d\bar{\tau}}{\text{Im}\tau} (\text{Im}\tau)^{-2} |\eta(\tau)|^{-4} (\text{Im}\tau)^{-\frac{1}{2}} |\eta(\tau)|^{-2} \left[ \left| \frac{\Lambda_1(\tau)}{\eta^3(\tau)} \right|^2 + \left| \frac{\Lambda_2(\tau)}{\eta^3(\tau)} \right|^2 \right].$$

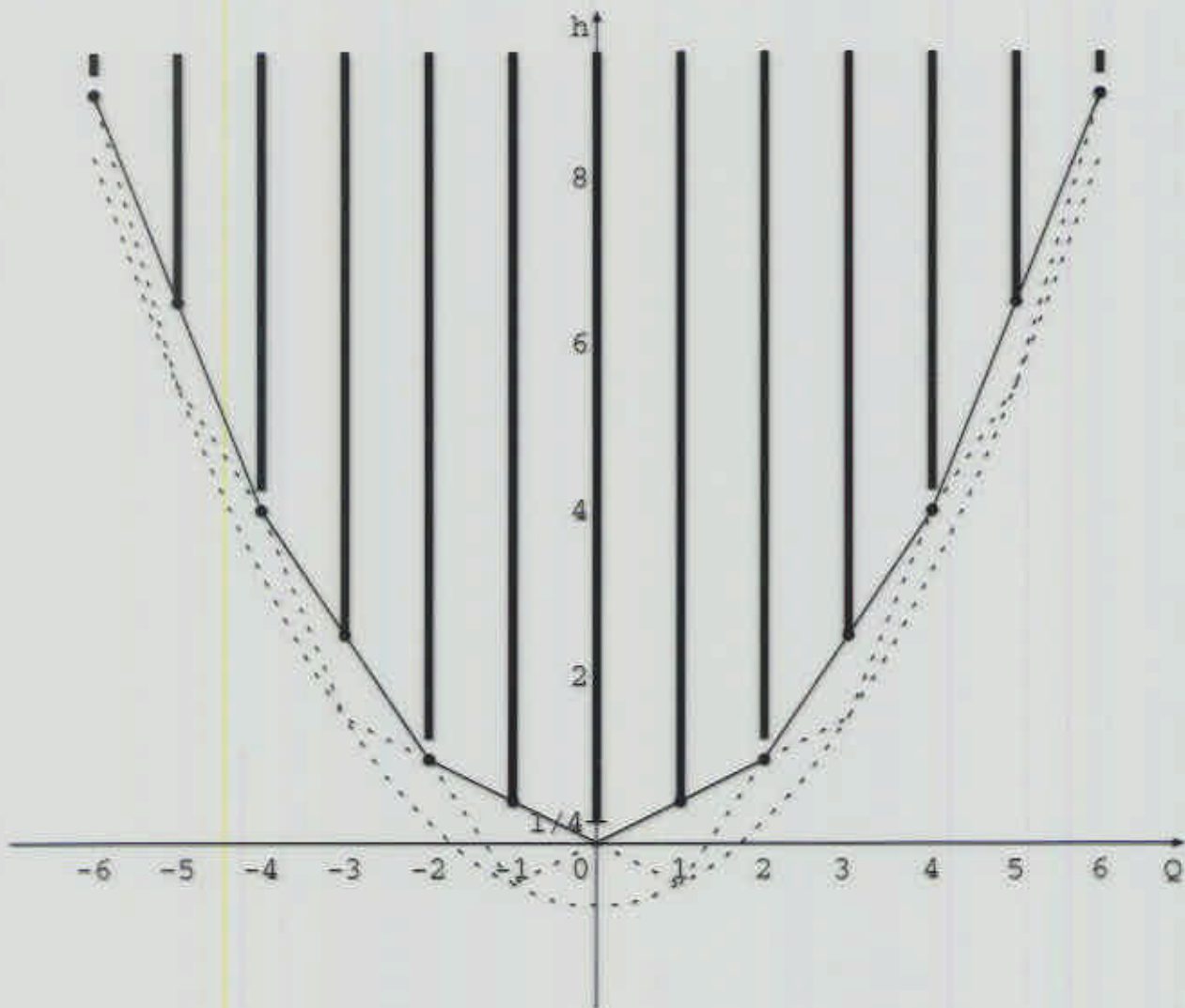


Figure 1: (Boucher, Friedan, Kent) The NS sector. The thick lines and dots show the  $N=2$  unitary representations used as the internal CFT. The former correspond to the propagating modes along the cigar, while the latter are the bound states.



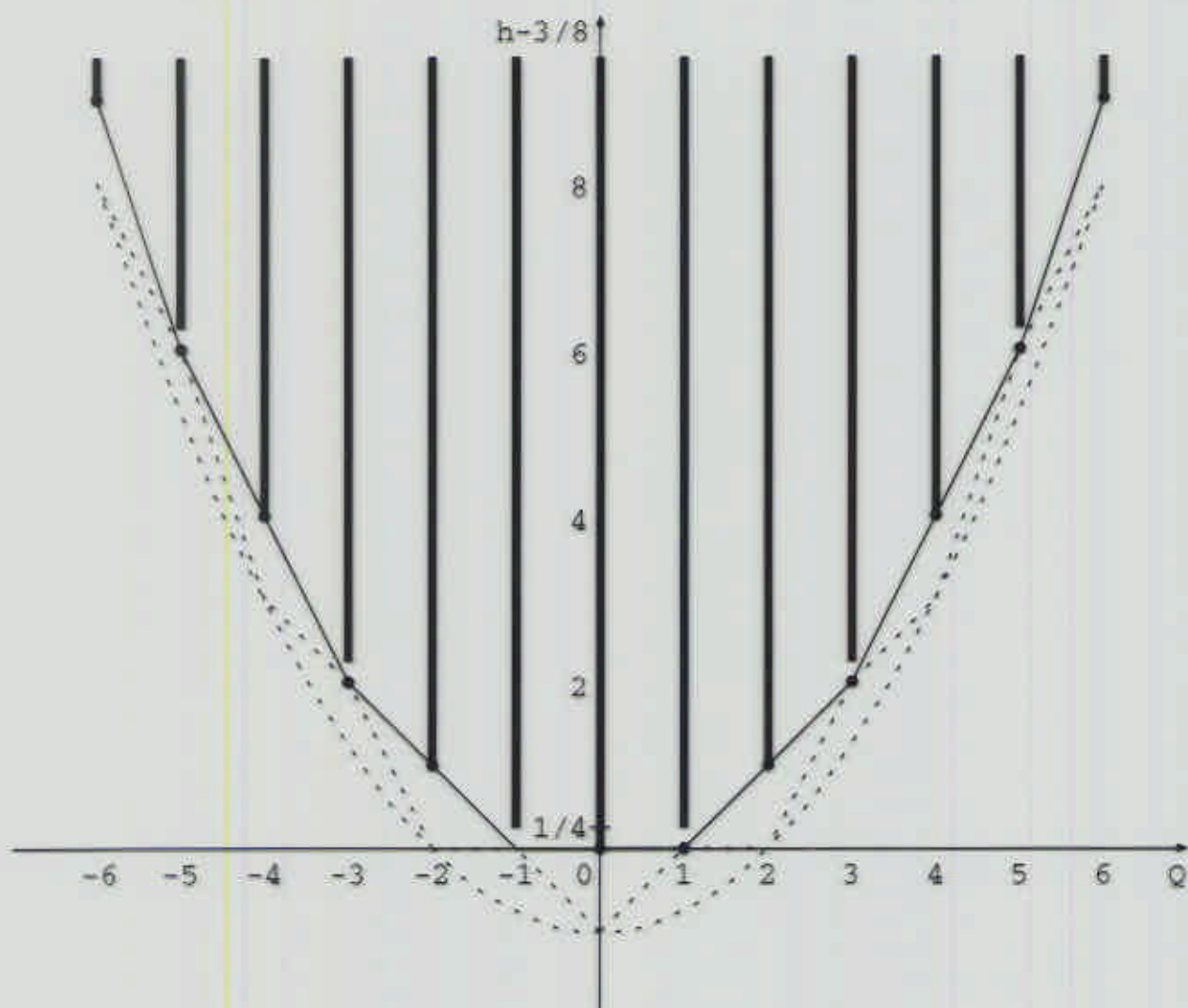


Figure 2: The R sector.

- Notable features of  $Z$

1. *It is modular invariant.* Modular invariance has been achieved by integrating the “Liouville momenta”  $p$ . Consequently, spacetime, which was supposed to be four-dimensional, turns five-dimensional effectively; any “particle” in the four-dimensional world has a continuous spectrum.
2. *It is unitary and tachyon free.*
3. *It is spacetime supersymmetric.*  $Z$  is zero in reality. Since the vanishing  $\Lambda_{1,2}(\tau)$  are a consequence of the ordinary spectral flow, the spacetime supercharge must be given by the usual construction. This is in contrast to the supercharges containing a contribution from the “longitudinal” boson. (Kutasov, Seiberg)

4. *It has a graviton.* The tensor product of the NS transverse fermion excitations yields a graviton, a dilaton and an anti-symmetric two-form field. They survive the GSO projection. Those fields are massive in the sense that they have  $L_0 = \bar{L}_0 = 1/4$  even when  $p = \bar{p} = 0$ . Identified as perturbative mass due to the negative cosmological constant.

⇒ **Gauged supergravity**

5. *It contains bound states in the spectrum.* The partition function has a contribution from the representations made out of the discrete series of  $SL(2, \mathbb{R})$ . They do not have a momentum along the cigar and are regarded as the bound states. (Eguchi, Taormina; Dijkgraaf, Verlinde, Verlinde)

## Mass Spectra

	IIB-like	IIA-like
$ \Lambda_1 ^2$ $(L_0 = \bar{L}_0 = 0)$	4D $N = 2$ $U(1)$ vector +hypermultiplet	4D $N = 2$ $U(1)$ vector +hypermultiplet
$ \Lambda_2 ^2$ $(L_0 = \bar{L}_0 = \frac{1}{4})$	Dimensional reduction of 6D $(2,0)$ graviton +tensor multiplet	Dimensional reduction of 6D $(1,1)$ graviton multiplet

$$(p = \bar{p} = 0)$$

- For either projection, the upper row coincides with the spectrum of **4D tensionless strings!** (Hanany, Klebanov)
- The right column is the field content of **6D  $N = 4$  gauged supergravity!** ( $= S^1$  reduction of 7D  $N = 2$  gauged supergravity) (Townsend, van Nieuwenhuizen; Giani, Pernici, van Nieuwenhuizen; Romans)

## Conclusion

- We have constructed a modular invariant partition function of superstrings on 4D Minkowski space  $\times$  2D black hole using the  $N = 2, c = 9$  characters. Our model may be thought of as a modular invariant extension of Bilal-Gervais's model and describes type II strings on a conifold. It is unitary, tachyon free and has a continuous spectrum in the 4D sense.
- In the  $\alpha' \rightarrow 0$  limit the cigar becomes very thin and can be replaced by a thin cylinder  $\mathbb{R} \times S^1$  since one would need very high energy to see the effect of the sharp tip. In this case one is left with a 4D  $N = 2$  non-gravitational theory with a vector multiplet and a hypermultiplet.

### An example of holography

(Aharony, Berkooz, Kutasov, Seiberg; Giveon, Kutasov, Pele)

- 4D massless spectrum (with  $p = 0$ ) coincides with that of the tensionless strings which arise on the 4D intersection of two M5-branes. Including the second lightest states, the field content is the same as that of 7D gauged supergravity, of which the near-horizon geometry of two intersecting 5-branes is a supersymmetric solution.

(Cowdall, Townsend)

⇒ A dual description of type II theories on a conifold in terms of two intersecting NS5-branes

(Bershadsky, Sadvov, Vafa)

- Prospects
  - Three intersecting 5-branes — dual to a singular CY four-fold?
  - Heterotic string CFT on singular CYs?