

NEUTRINOS FROM STELLAR

COLLAPSE - EFFECTS OF FLAVOUR

MIXING

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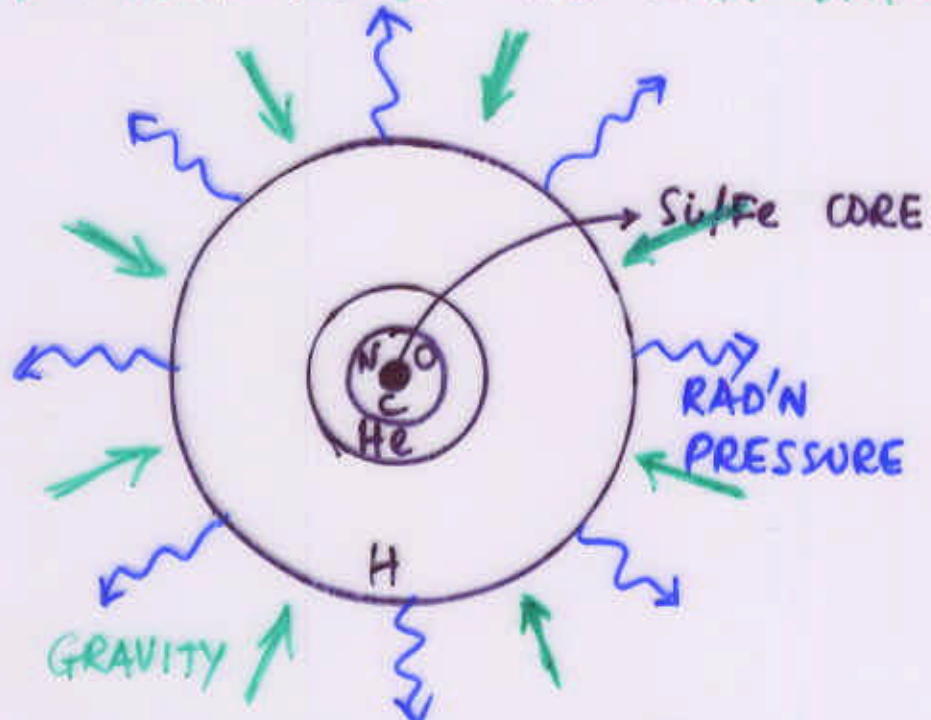
OUTLINE :

- NEUTRINOS FROM STELLAR COLLAPSE: BACKGROUND
- NEUTRINO MASSES & MIXING: BACKGROUND
- EFFECT OF MIXING ON ν 's FROM STELLAR COLLAPSE
- CONCLUSIONS.

GRAVITATIONAL COLLAPSE & SUPERNOVAE (in brief):

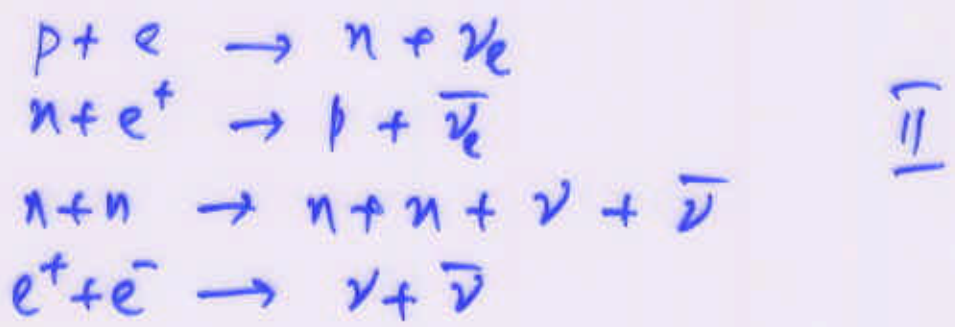
- TYPE II SUPERNOVAE, FROM 8-20 M_⊙ STARS.
- MOST OF THE ENERGY FROM GRAV. COLLAPSE IS EMITTED IN NEUTRINOS (first few seconds)
- FIRST (AND ONLY) EXPT'AL OBS : SN1987A / LMC.
(Kamionka, IMB, MontBlanc)

FUSION DRIVES THE STAR DYNAMICS (ONION-SKIN)

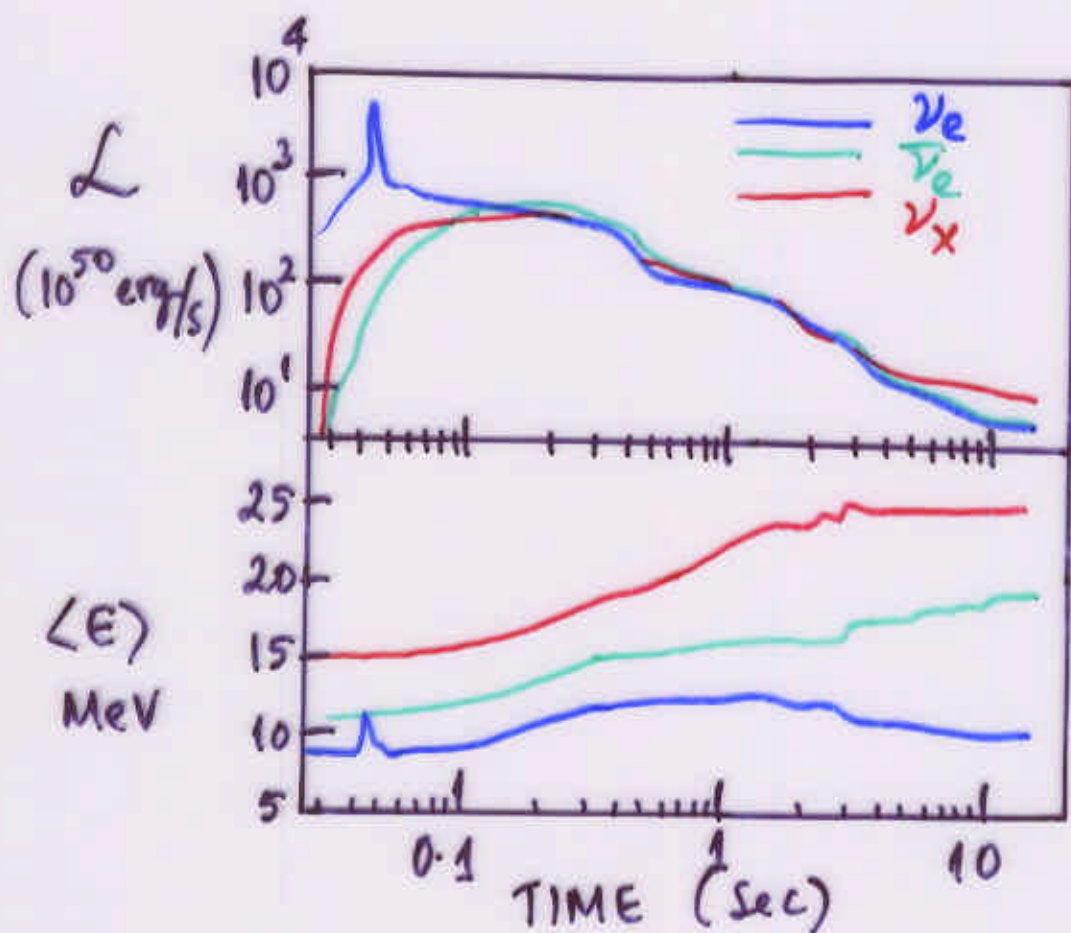


- Landau '32
- Baade Zwicky '34
- Burbidge '57
- Burrows, Lattimer '86
- Mayle, Wilson, Schramm '87
- Wilson '89
- Trimble '82, '88
- Balcells, Bethe '90
- Totani et al. '97

- CORE COLLAPSE $e^- + p \rightarrow n + \bar{\nu}_e$ I
- CORE BOUNCE
- COOLING; ENERGY ($\sim 3 \times 10^{53}$ ergs) EMITTED MOSTLY IN NEUTRINOS & ANTI NEUTRINOS OF ALL FLAVOURS:



- APPROXIMATELY EQUAL ENERGY IN ALL FLAVOURS.



TOTANI, SATO,
DALMED,
WILSON, 197.

- $\langle E \rangle_{\nu_e} \sim 11 \text{ MeV}$; $\langle E \rangle_{\bar{\nu}_e} \sim 16 \text{ MeV}$;
 $\langle E \rangle_{\nu_{\mu,\tau}} \sim 25 \text{ MeV}$.
- MORE THAN HALF THE ENERGY RADIATED WITHIN THE FIRST TWO SECONDS
- EMISSION CORRESPONDS TO A FERMI DIRAC THERMAL SPECTRAL DISTRIBUTION .
- DIFFERENT MODELS DIFFER IN THE DETAILS OF EXPLOSION OR DYNAMICS, BUT AGREE
- ON THE TOTAL ENERGY
- THE MEAN ENERGY HIERARCHY: $\nu_e \lesssim \bar{\nu}_e \lesssim \nu_{\mu,\tau}$.

THE NEUTRINO PUZZLE(S):

MANY EXPERIMENTS INDICATE THAT OBSERVED ν FLUXES ARE DEPLETED WITH RESPECT TO EXPECTATIONS

- SOLAR ν 's
- ATMOSPHERIC ν 's
- LAB EXPT'S

Homestake,
KII, SuperK,
GALLEX, SAGE
CHOOZ, LSND, ...

POSSIBLE SOLUTIONS (from particle physics)

- ✓ - ν MASSES & MIXING WITH OSCILLATIONS
- ν MIXING & DECAY
- ν MAGNETIC MOMENT

- WE WILL APPLY THE KNOWN CONSTRAINTS ON THE OSCILLATION SOLUTION FROM THESE EXPERIMENTS IN OUR ANALYSIS.

- MOSTLY 3 FLAVOUR ANALYSIS
- LATER 4 FLAVOURS AS WELL

- 3 FLAVOURS:
$$\begin{matrix} \text{FLAVOUR} \\ \begin{bmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{bmatrix} \end{matrix} = U^{\nu} \begin{matrix} \text{MASS BASIS} \\ \begin{bmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{bmatrix} \end{matrix}$$

$$U^{\nu} = U_{23}(\psi) \times U_{\text{phase}} \times U_{13}(\phi) \times U_{12}(\omega) \quad \begin{matrix} \uparrow \\ \text{VACUUM} \\ \text{SOL'N.} \end{matrix}$$

$$\Delta M_{\nu}^2 = U^{\nu} \Delta M_0^2 U^{\nu\dagger} = \delta_{31} M_{31} + \delta_{21} M_{21}$$

MATTER EFFECTS:

- $\Delta M_m^2 = \delta_{31} M_{31} + \delta_{21} M_{21} + A(r) M_A$; (flavour basis).
 $M_A = \text{diag} (1, 0, 0)$.
 $A(r) = \sqrt{2} G_F N_e(r) \times 2E$
 $\propto N_e(r)$, electron number density in SN.
- MASS² MATRIX NO LONGER DIAGONAL, IN THE PRESENCE OF MATTER: DIAGONALISE.

- ASSUMPTION : $A(\text{core}) \gg \delta_{31} \gg \delta_{21}$.
- RESULT : $\tan 2\phi_m = \frac{\delta_{31} \sin 2\phi}{\delta_{31} \cos 2\phi - A} \xrightarrow{A \rightarrow \infty} \frac{\pi}{2} \leftarrow \phi_m$
 $\Rightarrow |\nu_e\rangle$ PRODUCED AS $|\nu_3\rangle$ IN THE CORE.
 \hookrightarrow (A PURE MASS E-STATE)

• CONSEQUENCE : THE SURVIVAL PROBABILITY OF $|\nu_e\rangle$ IS SIMPLY THE PROJECTION OF $|\nu_3\rangle$ ONTO THE $|\nu_e\rangle$ STATE IN THE DETECTOR (PROVIDED THERE ARE NO LANDAU ZENER JUMPS)

IN GENERAL, THE AVERAGE SURVIVAL PROB., INCLUDING NON-ADIABATICITY, IS,

$$P_{ee} = \sum_{i,j=1}^3 |U_{ei}^v|^2 |U_{ej}^m|^2 |\langle \nu_i^v | \nu_j^m \rangle|^2$$

$$= \sin^2 \phi \underline{P_3} + \cos^2 \phi \sin^2 \omega \underline{P_2} + \cos^2 \phi \cos^2 \omega \underline{P_1}.$$

RESULT FOR ANTI NEUTRINOS :

- MATTER TERM IS NEGATIVE :

$$A(r) = -\sqrt{2} G_F N_e(r) \times 2E.$$

- HENCE,

$$\tan 2\phi_m = \frac{\delta_{31} \sin 2\phi}{\delta_{31} \cos 2\phi + |A|}$$

$$\text{OR } \phi_m \xrightarrow{A \rightarrow \infty} 0 \text{ IN THE CORE}$$

- $|\bar{\nu}_e\rangle$ IS PRODUCED AS A PURE $|\bar{\nu}_1\rangle$ IN CORE.
- NO LANDAU-ZENER (NON-ADIABATIC) JUMPS SINCE THE RESONANCE CONDITIONS ARE NEVER SATISFIED.

(POSSIBLE IN AN INVERTED MASS HIERARCHY, WHERE ν 'S WILL PROPAGATE PURELY ADIABATICALLY).

Kuo, Pantaleone &
Dighe, Smirnov '99

- THE ANTI-ELECTRON NEUTRINO SURVIVAL PROBABILITY IS THEN

$$\begin{aligned} \bar{P}_{ee} &= \cos^2 \phi \cos^2 \omega \\ &\sim \cos^2 \omega \quad (\text{SINCE } \phi \text{ IS SMALL}). \end{aligned}$$

[RECALL $P_{ee} \sim \sin^2 \phi$].

NEUTRINO FLUXES & CROSS SECTIONS :



$\nu_e, \bar{\nu}_e$: CC + NC PRODUCTION

$\nu_\mu, \bar{\nu}_\mu, \nu_\tau, \bar{\nu}_\tau$: NC PRODUCTION.

- $F_x^0 = F_\mu^0 = F_\mu^{\bar{0}} = F_\tau^0 = F_\tau^{\bar{0}}$.

- $F_{\nu_e} = P_{ee} F_e^0 + P_{e\mu} F_\mu^0 + P_{e\tau} F_\tau^0$
 $= F_e^0 - (1 - P_{ee})(F_e^0 - F_x^0),$

SINCE $\sum_{ij} P_{ij} = 1.$

- $2F_x = F_\mu + F_\tau$
 $= 2F_x^0 + (1 - P_{ee})(F_e^0 - F_x^0)$

- $F_e + 2F_x = F_e^0 + 2F_x^0$: TOTAL FLUX IS CONSERVED.

SIMILARLY FOR ANTI NEUTRINOS, WITH

$$P_{ee} \rightarrow \bar{P}_{ee}$$

$$F_e \rightarrow \bar{F}_e$$

$$F_e^0 \rightarrow \bar{F}_e^0 \quad (F_x^0 = \bar{F}_x^0).$$

$$(F_x \neq \bar{F}_x).$$

ADIABATIC CASE :

$$F_e = \sin^2 \phi F_e^0 + (1 - \sin^2 \phi) F_x^0$$

$$2F_x = (1 + \sin^2 \phi) F_x^0 + (1 - \sin^2 \phi) F_e^0$$

$$F_{\bar{e}} = (1 - \sin^2 \phi) \cos^2 \omega F_e^0 + (\sin^2 \omega + \epsilon^2 \cos^2 \omega) F_x^0$$

$$2F_{\bar{x}} = (1 + \cos^2 \omega (-\sin^2 \phi)) F_x^0 + (\sin^2 \omega + \epsilon^2 \cos^2 \omega) F_e^0$$

($\epsilon^2 = \sin^2 \phi$)

CHOOSE : ϕ SMALL , $\phi \leq 6^\circ$

THEN

$$F_e \approx F_x^0 \Leftrightarrow \text{HOTTER } \bar{\nu}_e \text{ ON EARTH,}$$

$$2F_x \approx (F_x^0 + F_e^0) \Leftrightarrow \text{COLDER ADMIXTURE,}$$

$$2F_{\bar{x}} \approx \left(\frac{3}{2} F_x^0 + \frac{1}{2} F_e^0 \right) \Leftrightarrow \text{SLIGHTLY COLDER,}$$

$$F_{\bar{e}} \approx \left(\frac{1}{2} F_e^0 + \frac{1}{2} F_x^0 \right) \Leftrightarrow \text{HOTTER } \bar{\nu}_e$$

• THE NET RESULT IS

- ENHANCED $\bar{\nu}_e$ EVENT RATE
 - HOTTER ADMIXTURE
 - E_ν^2 DEP. OF CROSS SEC.
- REDUCED $\bar{\nu}_x$ RATE
 - MAY NOT BE OBSERVABLE (SNO).

INTERACTION AT THE DETECTOR :
(WATER CERENKOV DETECTOR):

- INTERACTION WITH ELECTRONS :

$$\nu_l (\bar{\nu}_l) + e^- \rightarrow \nu_l (\bar{\nu}_l) + e^- ;$$

$l = e, \mu, \tau.$

$d\sigma \propto E_\nu$
- FORWARD PEAKED

- INTERACTION WITH FREE PROTONS :

$$\bar{\nu}_e + p \rightarrow e^+ + n ;$$

$d\sigma \propto E_\nu^2$ (~ 100 times $\sigma(\nu_e)$)
- ISOTROPIC for $E_\nu \sim 10$ MeV

- INTERACTION WITH OXYGEN NUCLEI :

$$\nu_e + {}^{16}_0O \rightarrow e^- + {}^{16}_8F ; (15.4 \text{ MeV})$$

$$\bar{\nu}_e + {}^{16}_0O \rightarrow e^+ + {}^{16}_7N . (11.4 \text{ MeV}).$$

$d\sigma$ increases rapidly with E_ν
SOMEWHAT BACKWARD PEAKED.

FOR A HOTTER SPECTRUM, ALMOST COMPLETELY BACKWARD PEAKED.

- HENCE ν OSCILLATION IMPLIES THE PRESENCE OF A STRONG BACKWARD PEAK IN EVENTS.

FORWARD

ν_x ;
 $\bar{\nu}_x$
MAY NOT
BE OBSERVABLE

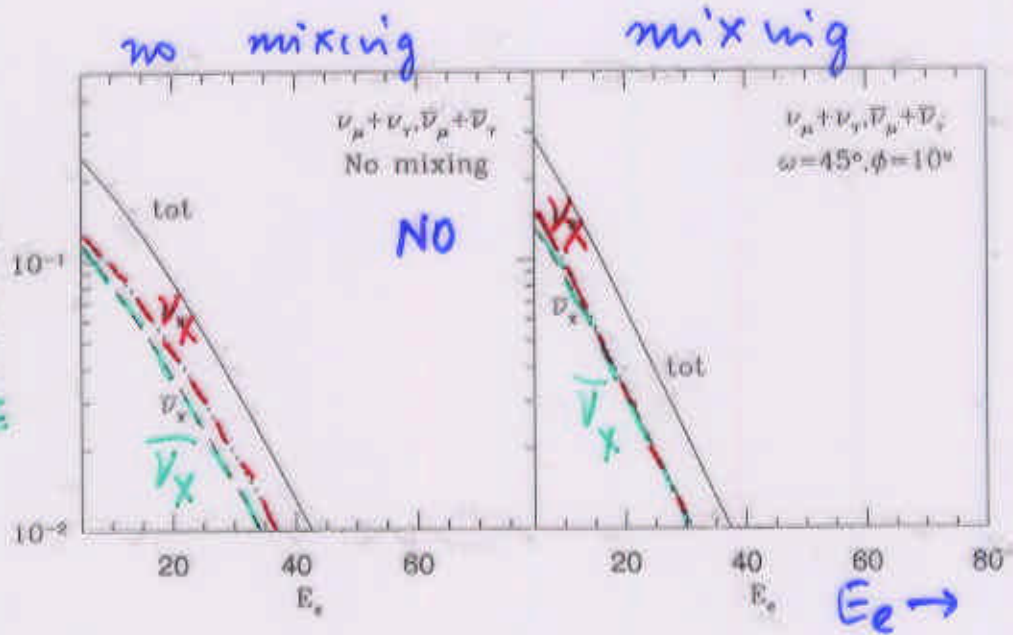


FIG. 3. The number of events in bins of 1 MeV each, with and without mixing, due to $\nu_{\mu,\tau} e$ and $\bar{\nu}_{\mu,\tau} e$ elastic scattering in the detector, are shown as a function of the electron energy, as dotted and dashed lines respectively. The solid line denotes the total contribution to the event rate from all these channels, that is from $\nu_{\mu}, \nu_{\tau}, \bar{\nu}_{\mu}$, and $\bar{\nu}_{\tau}$.

TOTAL x

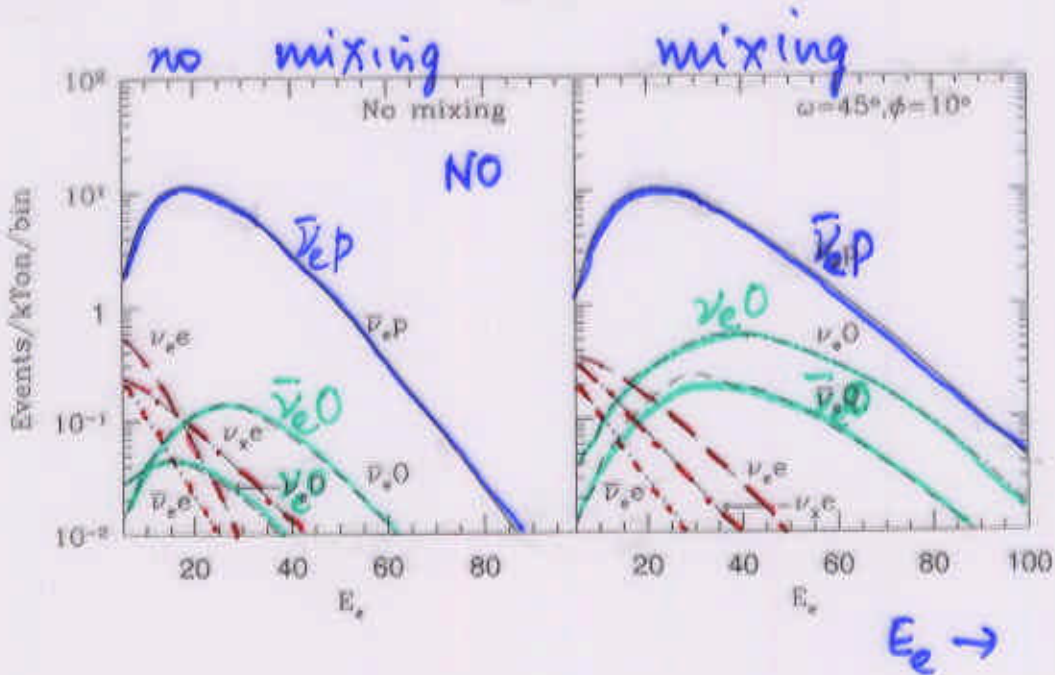
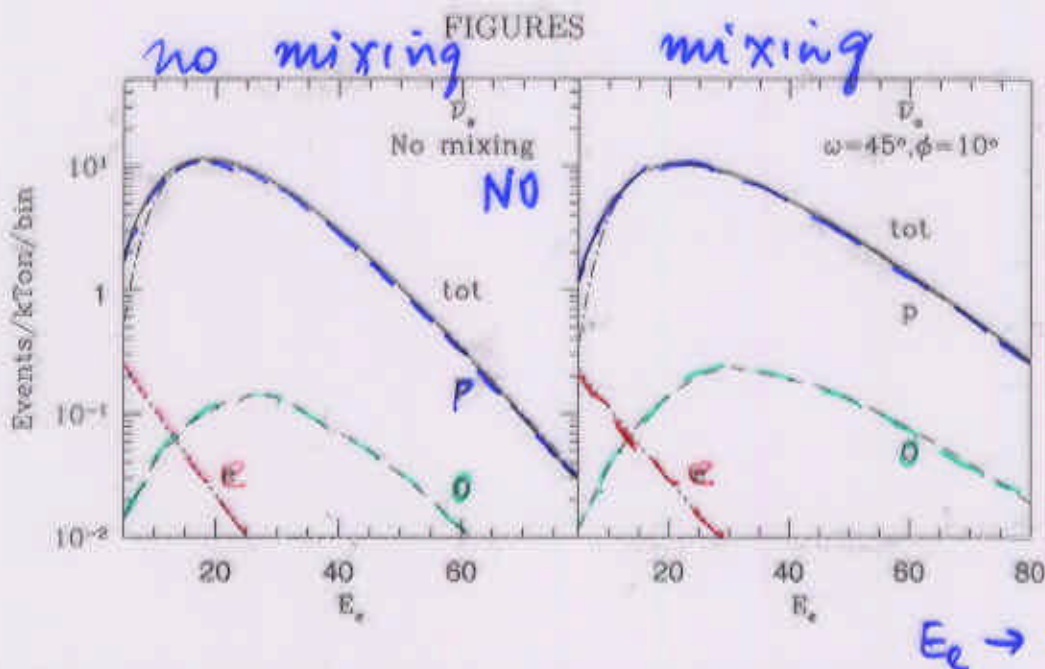


FIG. 4. A comparison of the number of events in bins of 1 MeV each, due to various processes, is shown as a function of the electron energy, with and without mixing. The line types indicate events from the processes $\bar{\nu}_e p$ (solid), $\bar{\nu}_e e$ (dotted), $\bar{\nu}_e O$ (dashed), $\nu_e e$ (long-dashed), $\nu_e O$ (dot-dashed), and $\nu_x e$ (dot-long dashed) processes respectively. The subscript x denotes the NC contribution from ν_{μ}, ν_{τ} , and their antiparticles.

MOSTLY ISOTROPIC

EVENTS
kTon · bin

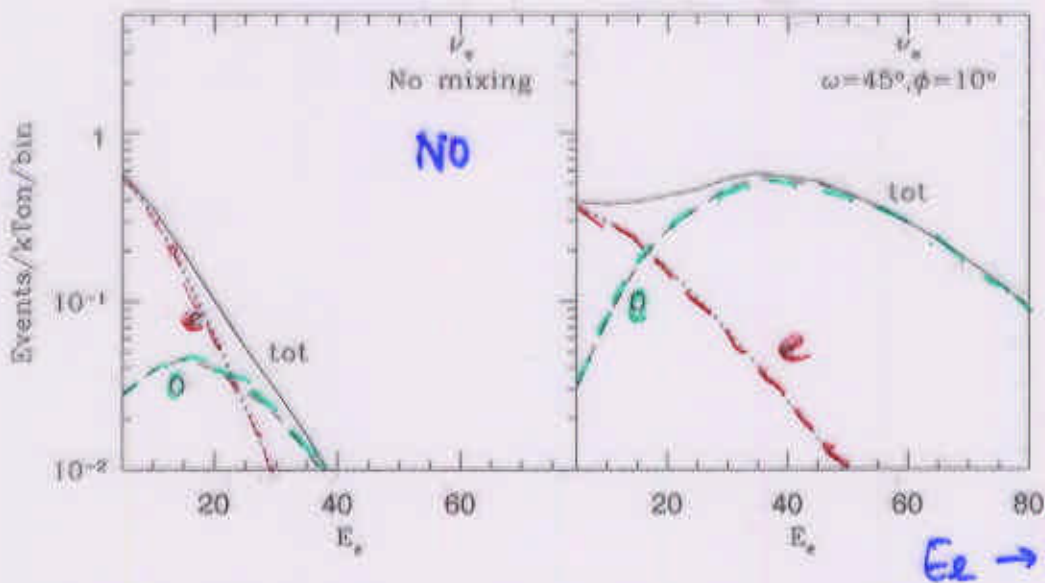


$\bar{\nu}_e$

FIG. 1. The number of events in bins of electron energy of 1 MeV each, due to $\bar{\nu}_e$ interactions, are shown as a function of the electron energy, with and without mixing. The long-dashed, dashed, and dotted lines correspond to interactions with p , O and e respectively, in the detector. The dot-dashed line indicates the effect of inclusion of detector efficiency and resolution on the interaction with p . See text for more details. The solid line denotes the total contribution to the event rate from $\bar{\nu}_e$.

MOSTLY BACKWARD

EVENTS
kTon · bin



ν_e

FIG. 2. The number of events in bins of 1 MeV each, due to ν_e interactions, are shown as a function of the electron energy, with and without mixing. The dashed and dotted lines correspond to interactions with O and e respectively, in the detector. The solid line denotes the total contribution to the event rate from ν_e .

TOTAL

— WITH MIXING
 — NO MIXING

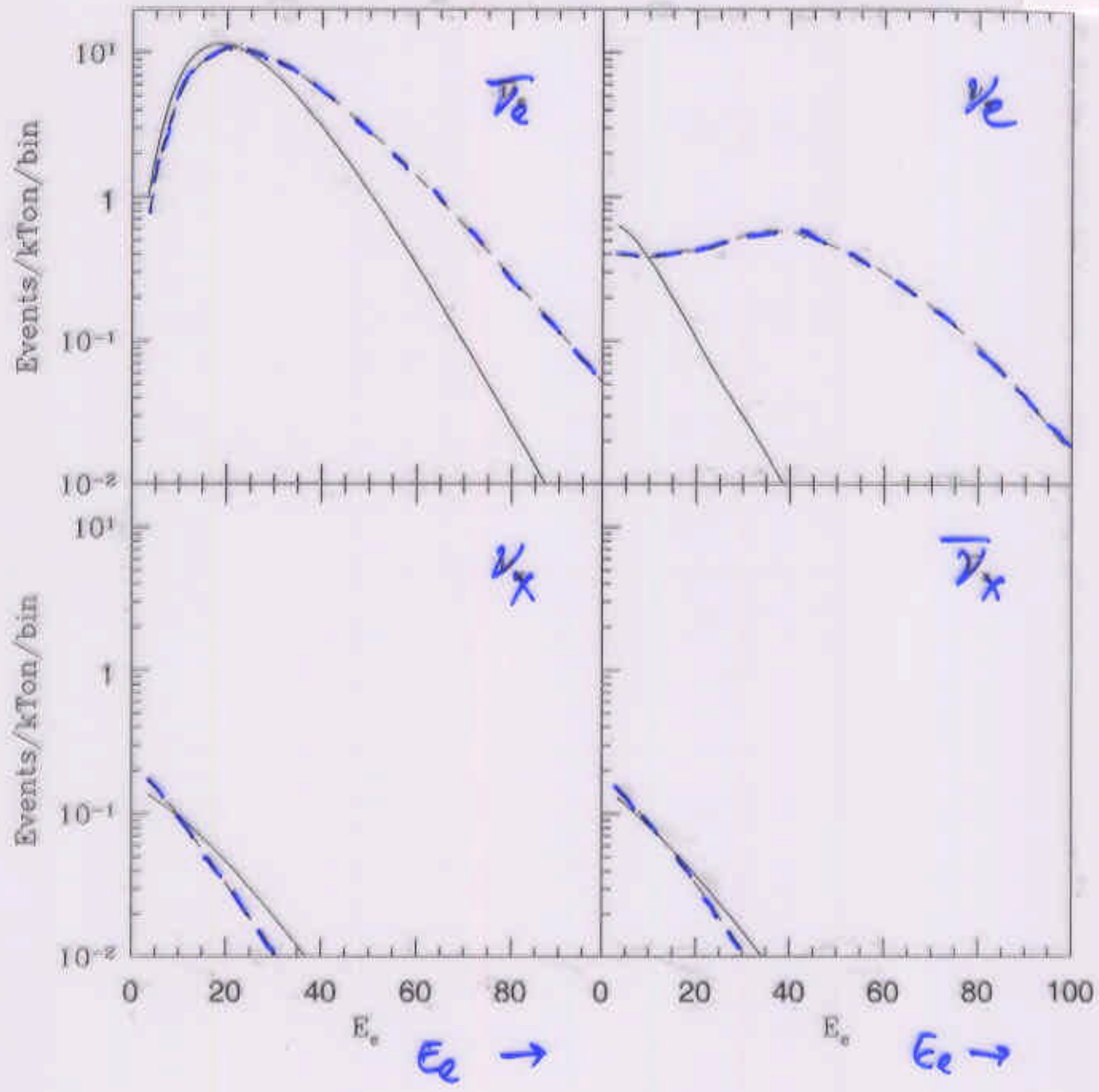


FIG. 5. The total number of events (summed over all processes) in bins of 1 MeV each, due to $\bar{\nu}_e, \nu_e, \nu_{\mu,\tau}$ and $\bar{\nu}_{\mu,\tau}$ interactions, are shown as a function of the electron energy. The solid and dashed lines denote the event rates without and with (maximal effect due to) mixing.

CHANNEL	NO OSC.	OSC.	
ν_e	5	7	FORWARD
$\nu_e 0$	1	24	BACKWARD
$\bar{\nu}_e p$	272	323	ISOTROPIC
$\bar{\nu}_e 0$	4	8	BACKWARD.

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EVENTS (E_e > 8 MeV) FOR 1kTon & 10kpc.

• INCLUSION OF A 4th FLAVOUR :

- STABILITY OF RESULTS TO MORE FLAVOURS
- DISTINCTION (BETWN) DETERMINATION OF NO. OF FLAVOURS.
- TWO DOUBLET SPECTRUM.

AD I A B A T I C (NEUTRINOS)

— $\nu_e O$ — NO MIXING
— νe

3 FLAVOUR

4 FLAVOUR

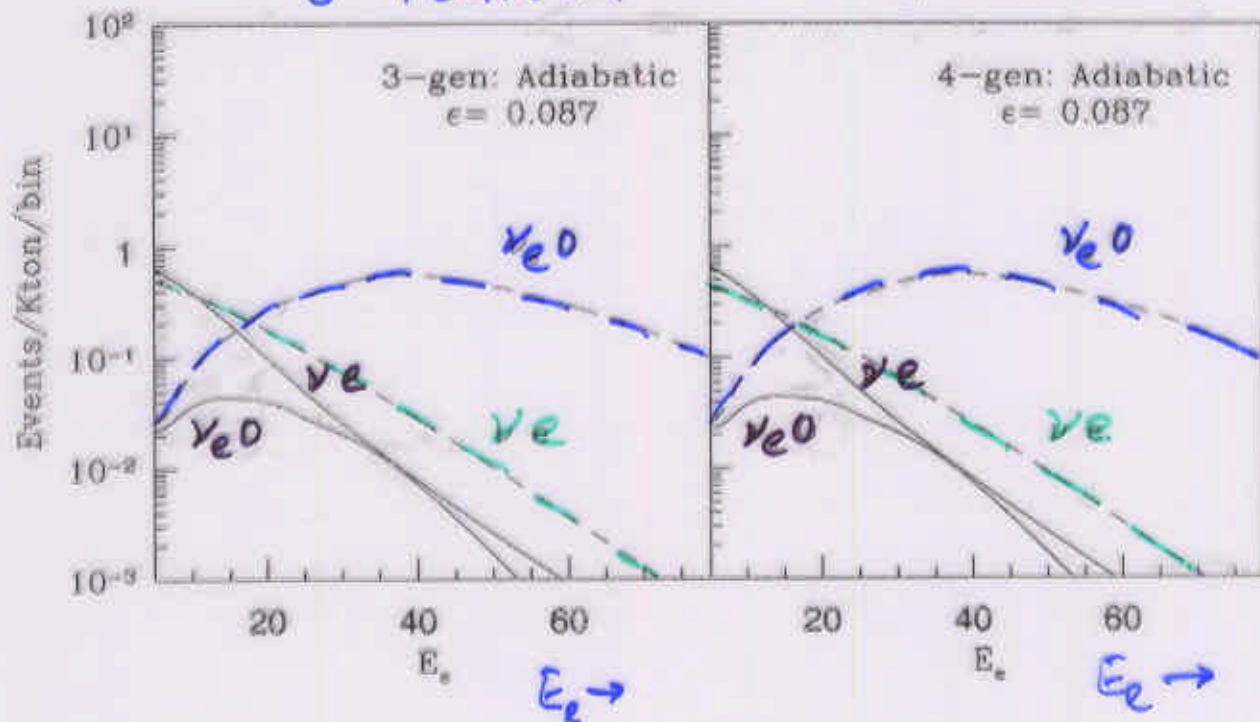
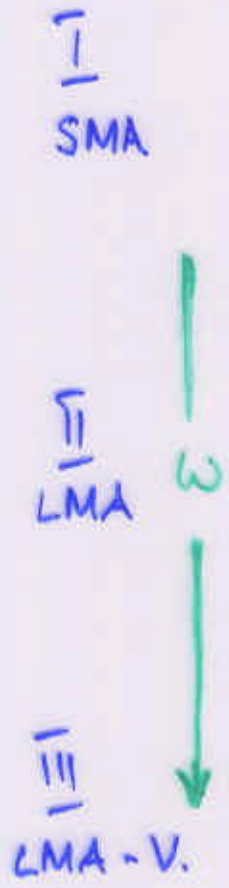
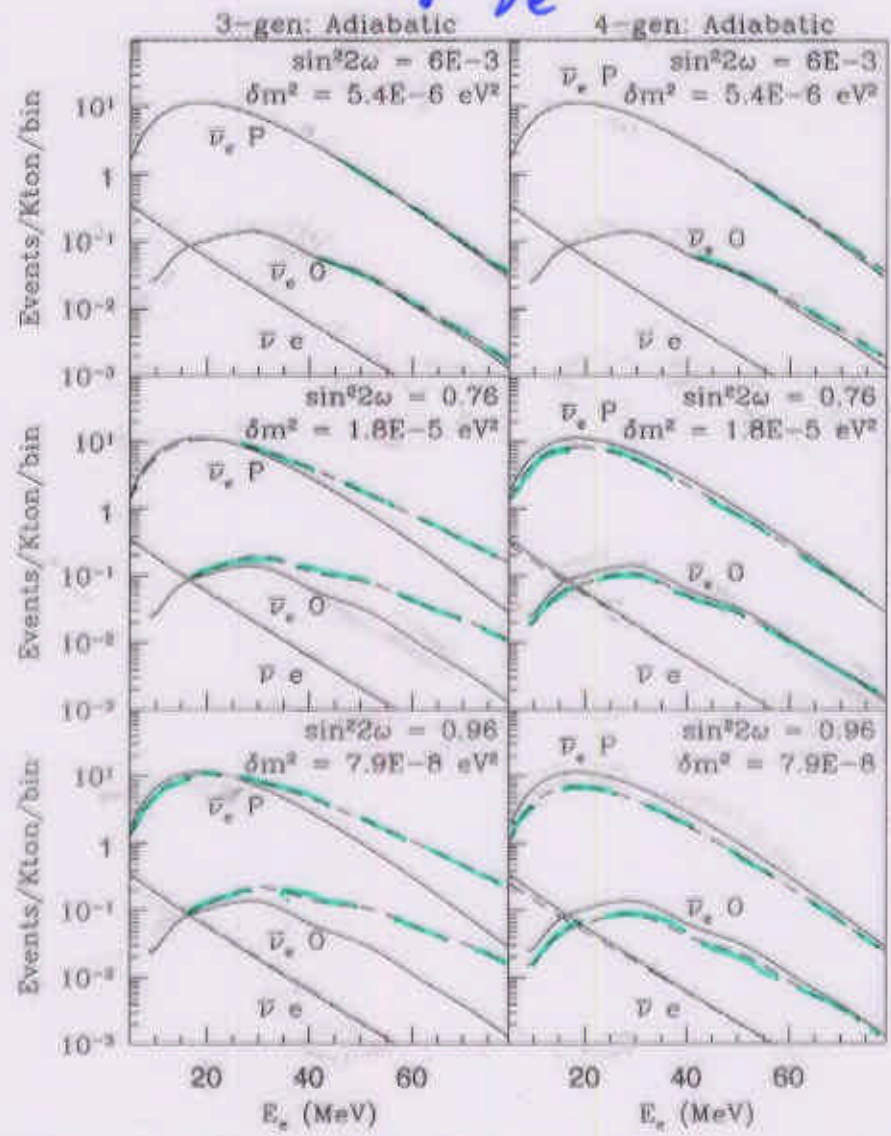


FIG. 3. $\nu_e O$ and νe (for all flavours of ν) event rates when the upper resonance is completely adiabatic. The solid lines represent the no mixing case and is plotted in all the graphs for comparison. The dashed lines are due to the effects of mixing. The oxygen events show dramatic increase to mixing. Note that 3 and 4 flavour cases cannot be distinguished.

ADIABATIC (ANTINEUTRINOS)

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- $\bar{\nu}_e p$
- 3 FLAV. • $\bar{\nu}_e 0$
- $\bar{\nu}_e e$
- 4 FLAV.



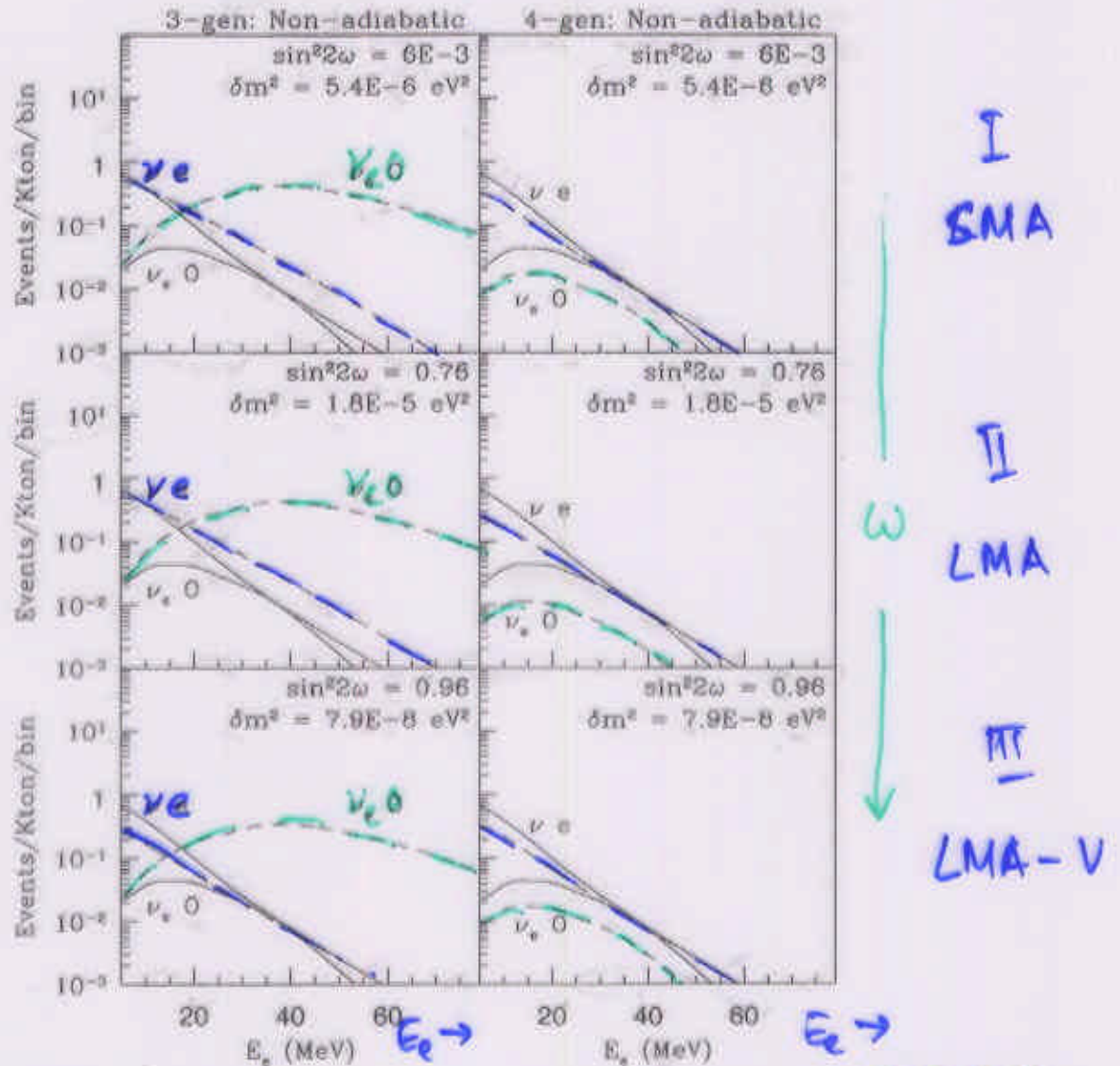
• HIGH E_e ENHANCEMENT

• ACTUAL DEPLETION (LOSS TO STERILE PL.)

FIG. 5. $\bar{\nu}_e$ event rates for $\epsilon = 0.087$. The solid (dashed) lines are due to (no) mixing. While the 3 flavour scheme shows enhancement of event rates at high energies due to mixing, the 4 flavour scheme shows suppression at lower energies.

NON ADIABATIC

3 FLAV. $\xrightarrow{\nu_e 0}$ 4 FLAV. $\xrightarrow{\nu_e}$



• AS IN ADIABATIC

• STRONGER DEPLETION THAN IN ADIABATIC / 3 FL.

FIG. 4. $\nu_e 0$ and ν_e (for all flavours of ν) event rates when the upper resonance is completely non-adiabatic. The results depend upon the three possible solutions to the solar neutrino puzzle and are shown in the three panels, top, middle and bottom. The three flavour results are similar to the adiabatic case shown in Fig. 3 but the 4 flavour case shows suppression of the event rates in all cases. Here the different cases are distinguished by the extent of suppression.

CONCLUSIONS :

- NEUTRINO MIXING & OSCILLATIONS GIVE RISE TO CHARACTERISTIC SIGNATURES OF NEUTRINO EMISSIONS FROM SUPERNOVAE.
- NEUTRINOS & ANTI NEUTRINOS OF ALL FLAVOURS ARE EMITTED ; HENCE DIFFERENT MIXING ANGLES CAN BE PROBED.
- LARGE $\nu_e - \nu_x$ OR $\bar{\nu}_e - \bar{\nu}_x$ MIXING IS SIGNALLED BY BACKWARD PEAKED HIGH ENERGY EVENTS
- IN SOME PARTS OF THE PARAMETER SPACE, IT IS POSSIBLE TO DISTINGUISH 3- AND 4-FLAVOUR MIXING.
- HENCE A LOT OF ν -PHYSICS ISSUES CAN BE STUDIED THROUGH SUPERNOVAE.
- QUESTION : HOW FREQUENT ARE SUPERNOVAE ?!
OUR GALAXY : 1 EVERY 10 YEARS.
AVG : 30 YEARS.