

Exact chiral symmetry / Ginsparg-Wilson relation and Domain wall fermion

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In this talk

Chiral properties of low energy effective action of domain wall fermion

Its relation to exact chiral symmetry based on the Ginsparg-Wilson relation

- Vector-like theories
Noguchi-Y.K. [hep-lat/9902022](#)
- Chiral gauge theories
Aoyama-Y.K. [hep-lat/9905003](#)

Plan of Talk

1. Brief review on
 - Chiral symmetry breaking on the lattice
 - The Ginsparg-Wilson relation
 - Neuberger's lattice Dirac operator
2. Domain wall fermion and its low energy effective action
3. Chiral gauge theories using domain wall fermion

Recent Progress in Lattice Gauge Theory

- Exact Chiral Symmetry
- Gauge Interactions of Weyl Fermions

The Clue:

Gauge-covariant and local Lattice Dirac Operator
which satisfies the Ginsparg-Wilson relation

- Renormalization Group Approach
(Fixed Point Action)

P. Hasenfratz et al., NPB(Proc. Suppl.) 63 (1998) 53;
PLB427 (1998) 125

- Higher Dimensional Approach
(Domain Wall Fermion)

H. Neuberger, NPB417 (1998) 141; PLB427 (1998) 353
P. Hernández, K. Jansen, M. Lüscher, NPB552 (1999) 363

Chiral Symmetry Breaking on the Lattice

- Species doubling

$$\langle \psi(k) \bar{\psi}(-k) \rangle = \frac{-i\gamma_\mu \frac{1}{a} \sin k_\mu a}{\sum_\nu \frac{1}{a^2} \sin^2 k_\nu a}$$

Pole appears at $k_\mu a = (0, 0, 0, 0)$

But it also appears at $(\pi, 0, 0, 0), \dots, (\pi, \pi, \pi, \pi)$

- Wilson term: Lift the degeneracy due to species doublers

$$S_w = a^4 \sum_x \bar{\psi}(x) \left\{ \gamma_\mu \frac{1}{2} (\partial_\mu - \partial_\mu^\dagger) + \frac{a}{2} (\partial_\mu \partial_\mu^\dagger) \right\} \psi(x)$$

in the momentum space

$$\sum_\mu \frac{a}{2} \left(\frac{2}{a} \sin \frac{k_\mu a}{2} \right)^2 \simeq \frac{2n}{a}$$

where n is the number of π of the "zero momentum"

- Lost of manifest chiral symmetry:

⇒ Inevitable due to **Nielsen-Ninomiya Theorem**

- $\tilde{D}(k)$ is a periodic and analytic function of momentum k_μ (locality)
- For small momentum $|k_\mu|a \ll \pi$, $\tilde{D}(k) \simeq i\gamma_\mu k_\mu$
- $\tilde{D}(k)$ is invertible for all k_μ except $k_\mu = 0$ (no species doublers)
- $\gamma_5 D + D \gamma_5 = 0$ (chiral invariance)

Renormalization Group Analysis of Chiral Symmetry Breaking

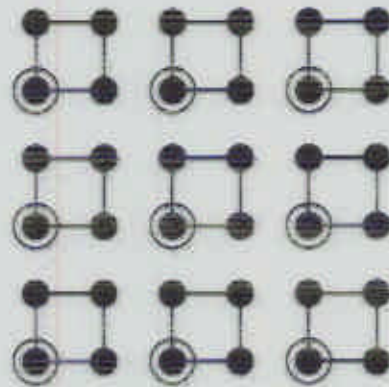
Single massless Dirac fermion for sufficiently small momentum

$$|k_\mu| \ll \pi/a$$

⇒ Low energy effective action for the light mode ?!

Block Spin Transformation Ginsparg and Wilson

$$\psi'(x') = \frac{Z}{2^4} \sum_{x \in b(x')} \psi(x)$$



Effective action for the blocked variables:

$$e^{-S'[\psi', \bar{\psi}']} = \int \prod_x d\psi(x) d\bar{\psi}(x) e^{-S_W[\psi, \bar{\psi}]} \times e^{-\alpha \sum_{x'} (\bar{\psi}'(x') - B(x'; \bar{\psi})) (\psi'(x') - B(x'; \psi))}$$

Fixed point action S^* :

$$S^* = a^4 \sum_x \bar{\psi}(x) D^* \psi(x)$$

$$\gamma_5 D^* + D^* \gamma_5 = a D^* \gamma_5 R D^*, \quad R = \frac{2}{\alpha}$$

The Ginsparg-Wilson Relation and Exact Chiral Symmetry on the Lattice

- Ginsparg-Wilson relation

$$\gamma_5 D + D \gamma_5 = a D \gamma_5 D$$

In terms of the fermion propagator $S_F = D^{-1}$:

$$\gamma_5 S_F(x, y) + S_F(x, y) \gamma_5 = \gamma_5 \delta(x, y)$$

Chiral symmetry is broken only in local contact terms !

- Such local terms would not contribute to the physical amplitudes evaluated at long-distance, $x - y \neq 0$

- Exact Chiral Symmetry

The Ginsparg-Wilson relation implies an exact symmetry of the fermion action

Lüscher

$$\gamma_5 D + D \hat{\gamma}_5 = 0$$

$$\hat{\gamma}_5 = \gamma_5 (1 - aD) \quad (\hat{\gamma}_5)^2 = 1$$

Fermion action is invariant under the following transformation:

$$\begin{aligned} \psi(x) &\longrightarrow \psi(x) + i\alpha \hat{\gamma}_5 \psi(x) \\ \bar{\psi}(x) &\longrightarrow \bar{\psi}(x) + i\alpha \bar{\psi}(x) \gamma_5 \end{aligned}$$

Neuberger's Dirac operator

Neuberger, Neuberger-Narayanan

$$D = \frac{1}{2a} \left(1 + X \frac{1}{\sqrt{X^\dagger X}} \right) = \frac{1}{2a} \left(1 + \gamma_5 \frac{H}{\sqrt{H^2}} \right)$$

where

$$X = \left(D_w - \frac{m_0}{a} \right), \quad H = \gamma_5 X, \quad (0 < m_0 < 2)$$

Ginsparg-Wilson relation

$$\begin{aligned} & \left(1 + \gamma_5 \frac{H}{\sqrt{H^2}} \right) \gamma_5 \left(1 + \gamma_5 \frac{H}{\sqrt{H^2}} \right) \\ &= \gamma_5 + \gamma_5 \left(\frac{H}{\sqrt{H^2}} \right)^2 + \frac{H}{\sqrt{H^2}} + \gamma_5 \frac{H}{\sqrt{H^2}} \gamma_5 \\ &= \gamma_5 \left(1 + \gamma_5 \frac{H}{\sqrt{H^2}} \right) + \left(1 + \gamma_5 \frac{H}{\sqrt{H^2}} \right) \gamma_5 \end{aligned}$$

Free Fermion

$$\tilde{D}(p) = \frac{1}{2a} \left(1 + \frac{i\gamma_\mu \bar{p}_\mu + \frac{a}{2} \hat{p}^2 - \frac{m_0}{a}}{\sqrt{\bar{p}^2 + \left(\frac{a}{2} \hat{p}^2 - \frac{m_0}{a} \right)^2}} \right)$$

$$\bar{p}_\mu = \frac{1}{a} \sin p_\mu a, \quad \hat{p}_\mu = \frac{2}{a} \sin \frac{p_\mu a}{2}$$

- $\tilde{D}(p) \simeq Z i\gamma_\mu p_\mu$ ($|p| \ll \pi$), $\tilde{D}(p) \simeq 1$ ($|p| \simeq \pi$)
- Analytic periodic function in momentum p_μ for $m_0 \in (0, 2)$ (Locality)

Locality Hernández, Jansen and Lüscher

- Non-trivial due to inverse square root of the hermitian Wilson-Dirac operator

$$\frac{H}{\sqrt{H^2}}$$

- Eigenvalue spectrum of H^2 is closely related to the size of field strength of lattice gauge field

$$\|H^2\| \geq \left\{ (1 - 30\epsilon)^{\frac{1}{2}} - |1 - m_0| \right\}^2 > 0$$

for

$$\|1 - U_{\mu\nu}(x)\| < \epsilon, \quad \epsilon < \frac{1}{30} (1 - |1 - m_0|^2)$$

- Exponential Bound

$$\left\| \frac{1}{\sqrt{H^2}}(x, y) \right\| < \frac{\kappa}{1-t} \exp\{-\theta|x-y|/2a\}$$

where

$$\cosh \theta = \frac{\beta - \alpha}{\beta + \alpha}, \quad t = e^{-\theta}, \quad \kappa = \sqrt{\frac{4t}{\beta - \alpha}}$$

Chiral anomaly

- Chiral anomaly due to non-trivial Jacobian:

$$\delta [D\psi D\bar{\psi}] = [D\psi D\bar{\psi}] \text{Tr} \{ \gamma_5 + \hat{\gamma}_5 \}$$

$$\text{Tr} \{ \gamma_5 + \hat{\gamma}_5 \} = 2\text{Tr} \left\{ \gamma_5 \left(1 - \frac{a}{2} D \right) \right\}$$

Lüscher

Yamada-Y.K.

Suzuki, Adams, Fujikawa

Chirality is depending on the gauge field

$$\hat{\gamma}_5 = \hat{\gamma}_5 [U_\mu], \quad (\hat{\gamma}_5)^2 = 1$$

Under the variation of the gauge field

$$U_\mu(x) \longrightarrow U_\mu(x) + \delta U_\mu(x)$$

$$\delta \hat{\gamma}_5 \hat{\gamma}_5 + \hat{\gamma}_5 \delta \hat{\gamma}_5 = 0$$

- Chiral anomaly is topological! \implies Index Theorem
Hasenfratz et al.

$$\delta \text{Tr} \hat{\gamma}_5 = 0$$

- Gauge anomaly of Weyl fermion is related to 4+2 dim. topological field! \implies Gauge anomaly cancellation
Lüscher

$$\delta \int ds dt \text{Tr} \left(\frac{1 - \hat{\gamma}_5}{2} \right) [\partial_s \hat{\gamma}_5, \partial_t \hat{\gamma}_5] = 0$$

gauge field is assumed to be 4+2 dimensional, $U_\mu(x, s, t)$

- Crucial roles for the construction of chiral gauge theories
Lüscher, Suzuki
Bär-Campos, Nakayama-Y.K.

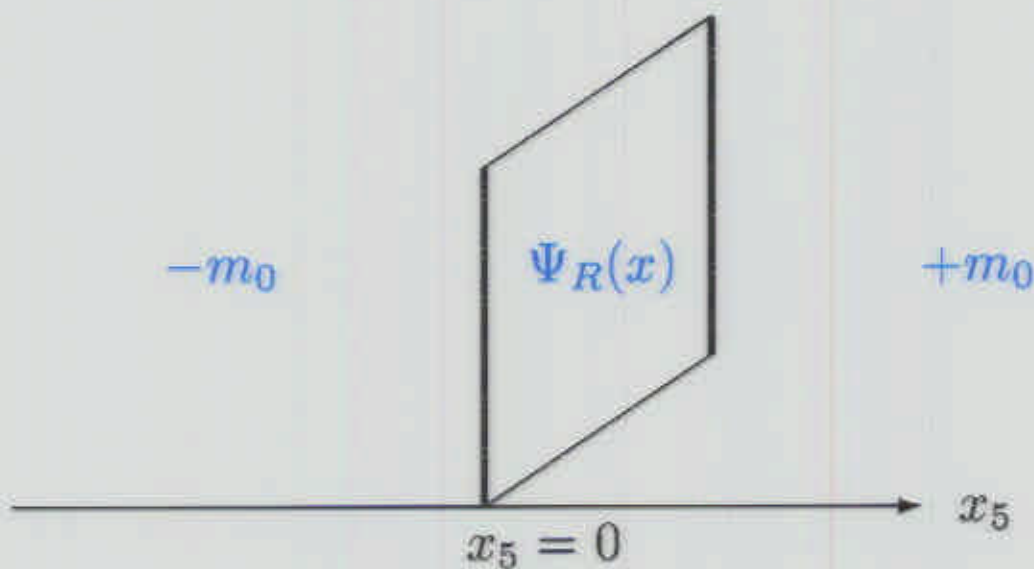
Domain wall fermion

- Five-dimensional Dirac fermion coupled to a scalar field condensate with kink-like topological defect.

$$\{\gamma_\mu D_\mu + \gamma_5 D_5 + \langle \phi(x_5) \rangle\} \psi(x, x_5) = 0, \quad \langle \phi(x_5) \rangle = m_0 \epsilon(x_5)$$

- Chiral zero mode bounded to the domain wall at $x_5 = 0$

$$-\gamma_5 m_0 \delta(x_5) \in D^\dagger D \quad \Rightarrow \quad \psi_R(x) e^{-m_0 |x_5|}$$



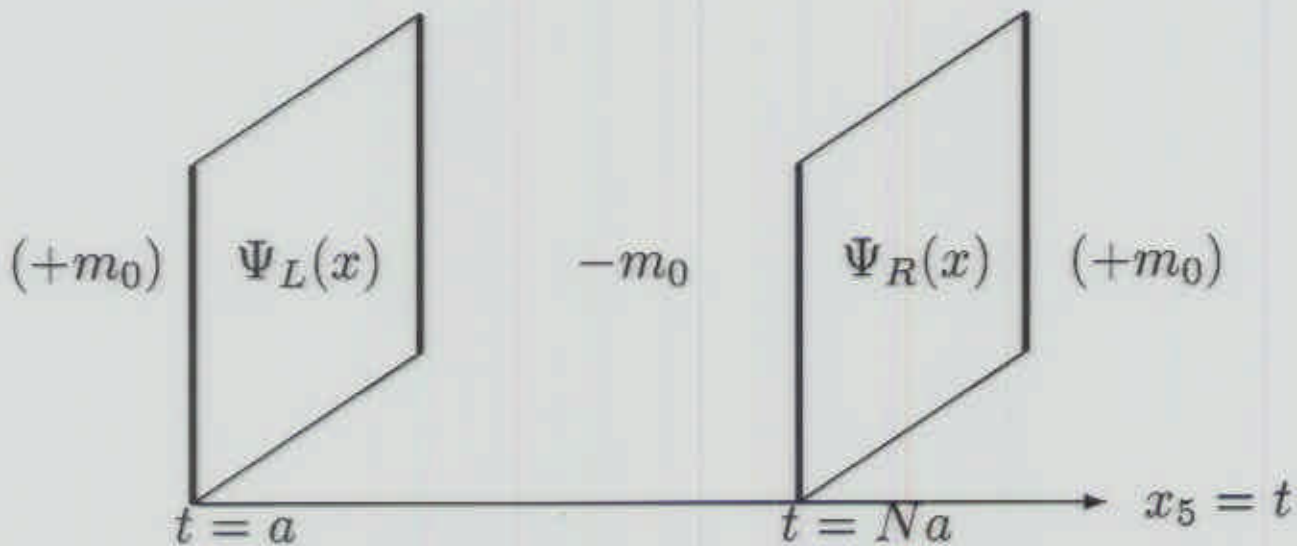
- Can be implemented on the lattice with Wilson term
Kaplan

$$\sum_{\mu} \frac{2}{a} \sin^2 \left(\frac{p_{\mu} a}{2} \right) + \frac{m_0}{a} \text{sgn}(x_5 - \frac{1}{2}), \quad 0 < m_0 < 2$$

Kink singularity (sign change) only for the physical mode with $|p_{\mu}| < \frac{\pi}{a}$

- With a finite extent of fifth-dimension, N

Shamir-Furman



- Light Dirac fermion on the walls

$$q(x) = \psi_L(x, a) + \psi_R(x, Na)$$

$$\bar{q}(x) = \bar{\psi}_L(x, a) + \bar{\psi}_R(x, Na)$$

Good chiral properties of $q(x)$ and $\bar{q}(x)$ in the limit $N \rightarrow \infty$
at least for sufficiently smooth gauge fields

cf. Numerical aspects

Shamir - Furman
Aoki-Taniguchi
Neuberger-Yamada-Y.K.

\iff Exact chiral symmetry / Ginsparg-Wilson relation ?!

Low energy effective action for the light Dirac fermion is
given by Neuberger's lattice Dirac operator !

Relation to Neuberger's lattice Dirac operator

Neuberger, Noguchi and Y.K.

- Derive low energy effective action (or propagator) of $q(x)$ and $\bar{q}(x)$ by integrating out heavy fields

$$\langle q(x)\bar{q}(y) \rangle = \frac{1}{a^5} (D_N^{-1} - a\delta(x,y))$$

where

$$aD_N = \frac{1}{2a} \left(1 + \gamma_5 \tanh \frac{N}{2} a_5 \tilde{H} \right)$$

$$e^{-a_5 \tilde{H}} = T \quad : \text{transfer matrix}$$

- The contact term subtracting the chiral symmetry breaking in the Ginsparg-Wilson relation
- Subtraction (or Decoupling) of heavy modes

$$+ \frac{1}{a_5} (\bar{\psi}_R(x, N)\psi_L(x, 1) + \bar{\psi}_L(x, 1)\psi_R(x, N))$$

Unit mass term for $q(x)$ and $\bar{q}(x) \Rightarrow$ No light d.o.f.
 \Rightarrow Anti-periodic B.C.
 Vranas

$$D_{5w}(s, t) = \bar{D}_{5w}(s, t) - P_L \frac{1}{a_5} \delta_{s,N} \delta_{t,1} - P_R \frac{1}{a_5} \delta_{s,1} \delta_{t,N}$$

\Rightarrow

$$\frac{\det D_{5w}}{\det \bar{D}_{5w}} = \det D_N$$

- Locality

$$aD_N = 1 - \frac{1}{a_5} \left\{ \overline{D}'_{5w} \right\}^{-1}_{(N,N)}$$

\implies Behavior of the inverse of \overline{D}_{5w} ($a_5 = a$)

$$a\overline{D}_{5w}(x, y; p) = i\gamma_5 \sin p + 1 - \cos p + (aD_w - m_0)$$

$$\left\| a^2 \overline{D}_{5w}^\dagger \overline{D}_{5w} \right\| = \left\| 4 \sin^2(p/2) \left(1 - m_0 - \frac{a^2}{2} \nabla_\mu \nabla_\mu^* \right) + (aD_w - m_0)^\dagger (aD_w - m_0) \right\|$$

$$\left\| a^2 \overline{D}_{5w}^\dagger \overline{D}_{5w} \right\| > \left\{ (1 - 30\epsilon)^{\frac{1}{2}} - |1 - m_0| \right\}^2 > 0$$

for

$$\|1 - U_{\mu\nu}(x)\| < \epsilon, \quad 0 < \epsilon < \frac{1 - |1 - m_0|^2}{30}$$

cf. Hernández, Jansen and Lüscher

5 dim. Bounds for Locality and Chirality

$$\left\| \left\{ a^2 \overline{D}_{5w}^\dagger \overline{D}_{5w} \right\}^{-1}(x, s; y, t) \right\| \leq C \exp \left\{ -\frac{\tilde{\theta}}{2a} d_5(x, s; y, t) \right\}$$

where

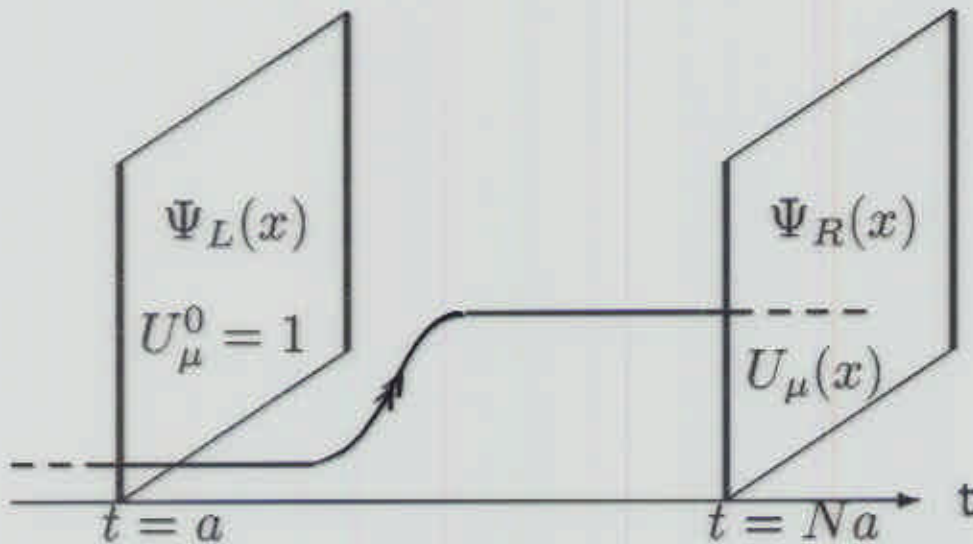
$$C = \frac{4t}{\tilde{\beta} - \tilde{\alpha}} \left(\frac{1}{1-t} \frac{d_5(x, s; y, t)}{2a} + \frac{t}{(1-t)^2} \right)$$

$$t = e^{-\tilde{\theta}}, \quad \cosh \tilde{\theta} = \frac{\tilde{\beta} + \tilde{\alpha}}{\tilde{\beta} - \tilde{\alpha}}$$

$$d_5(x, s; y, t) = |x - y| + \min(|s - t|, N - |s - t|)$$

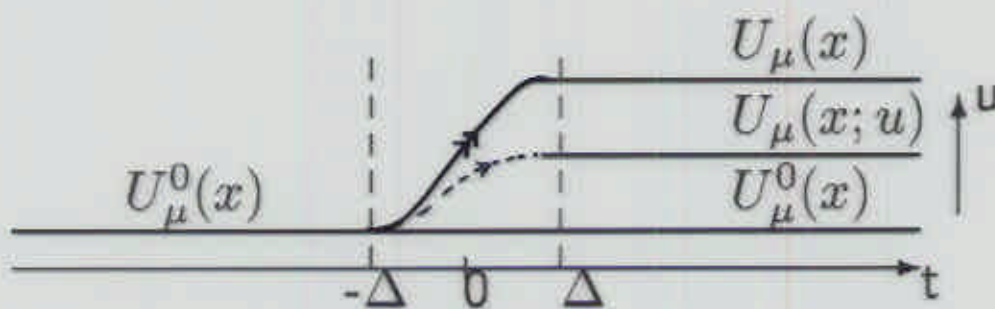
Domain wall fermion and Chiral gauge theory

- Chiral coupling of gauge field to Ψ_R
 \Rightarrow Interpolating five-dimensional gauge field !



- Dependence on the interpolation path, Gauge anomaly
 \Rightarrow Gauge current flow

Variation of determinant of domain wall fermion with respect to gauge field (the parameter u)



$$\begin{aligned} & \frac{d}{du} \lim_{T \rightarrow \infty} \ln \det \left(D_{5w(T)} - \frac{m_0}{a} \right) \\ &= \lim_{T \rightarrow \infty} \text{Tr}_{(T)} \frac{d}{du} D_{5w(\infty)} \frac{1}{D_{5w(\infty)} - \frac{m_0}{a}} + \text{Tr}_x P_L \frac{d}{du} D \frac{1}{D} \end{aligned}$$

- Current on 4 dim. wall

⇔ Effective action for Weyl fermion / Ginsparg-Wilson rel.

- Weyl fermion

$$\hat{\gamma}_5 \psi_R(x) = +\psi_R(x), \quad \bar{\psi}_R(x) \gamma_5 = -\bar{\psi}_R(x)$$

- Effective action

$$\frac{d}{du} \Gamma_{\text{eff}}[U_\mu] = \text{Tr}_x P_L \frac{d}{du} D \frac{1}{D} + \dots$$

cf. Lüscher, Suzuki

- Current in 5 dim. bulk = Chern-Simons current

$$\text{Tr} \frac{d}{du} D_{5w} \frac{1}{D_{5w} - \frac{m_0}{a}} = \sum_{z=x,t} \frac{d}{du} U_\mu \cdot U_\mu^{-1} J_\mu(z)$$

$$\int_0^1 du \sum_z \frac{d}{du} U_\mu \cdot U_\mu^{-1} J_\mu(z)$$

⇒ Chern-Simons term plus local counter term

- integrability

Independence on the five-dimensional interpolation path

- gauge anomaly

exact gauge invariance

Conclusion

- **Domain wall fermion** can produce **chiral fermions bounded to the 4D walls**, under certain conditions for lattice gauge fields
- **Low energy effective theories** of these chiral fermions can be constructed so that both **locality** and **chiral symmetry** is maintained by virtue of the **Ginsparg-Wilson relation**
- **Chiral gauge-coupling** can be introduced for these chiral fermions, through the **interpolating five-dimensional gauge fields**
- **A five-dimensional local counter term** should take account of the **integrability** and the **gauge invariance**