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**APPLICATIONS OF
NON-PERTURBATIVE
RENORMALIZATION**

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Topics

- Introduction
 - Renormalization problem in QCD
 - Quantities studied on the lattice
 - Method of intermediate renormalization
- Non-perturbative renormalization group via a recursive finite-size scaling procedure
- Some illustrative examples
 - Running coupling constant $\alpha_s(\mu)$ and the Λ parameter of QCD
 - Determination of the renormalization group invariant mass of the strange quark
 - Preliminary results for the renormalization of the static-light axial current
- Summary

Lattice QCD:

non-perturbative framework to calculate relations between parameters in the Standard Model and experimental quantities from first principles

renormalization problem:

- application of **renormalized perturbation theory** is limited to processes at high E , where the QCD coupling constant α_s is sufficiently small
- \Rightarrow inadequate for bound state properties at low E , i.e. non-perturbative solution of the theory required
- \Rightarrow numerical (MC = Monte Carlo) simulations of the Euclidean path integral of QCD on a space-time lattice

renormalization = ultraviolet phenomenon

\leadsto relevant momentum scales $\mu \sim a^{-1}$, $\alpha_s(\mu) \stackrel{\mu \text{ large}}{\sim} \frac{1}{\ln(\mu)}$

\leadsto **question:** renormalize perturbatively as $a \rightarrow 0$?

\leadsto in general the answer is **no**:

want tractable simulation effort $\leftrightarrow a \not\ll L_{\text{phys}}^{(\text{obs})}$,

thus truncation of perturbative series not justified



**far more safe to
perform renormalizations non-perturbatively**

ideally regard Lattice QCD as phenomenological tool:

- renormalization employing the hadron spectrum

$$am_{\text{hadron}} = am_{\text{hadron}}(g_0, \{am_0^f\}) \text{ via MC}$$

$$\left. \begin{array}{l} \text{lattice spacing } a = (am_{\text{proton}})/m_{\text{proton}}^{(\text{exp})} \\ \text{fix } (am_{\text{hadron}})/(am_{\text{proton}}) = m_{\text{hadron}}^{(\text{exp})}/m_{\text{proton}}^{(\text{exp})} \end{array} \right\} g_0 \leftrightarrow a$$

$$\leadsto \text{ predictions like } m_{\Delta} = a^{-1} [am_{\Delta}] [1 + \mathcal{O}(a)]$$

- address fundamental parameters that escape a direct determination, for instance

$$\{ F_{\pi}, m_K \} \text{ from experiment } \leftrightarrow \{ \alpha_s(M_Z), m_s(2 \text{ GeV}) \}$$

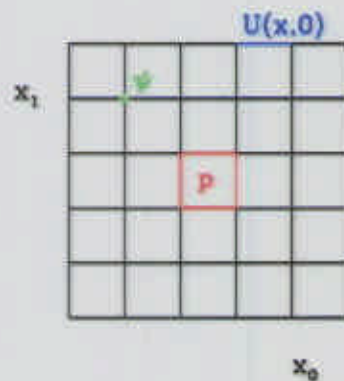
prominent observables of interest for the lattice:

- quark bilinears, currents, $\Delta S = 2$ matrix elements
- $\alpha_s(\mu)$
- quark masses ($\rightarrow m_s$ essential for ϵ'/ϵ analyses)
- structure functions (\rightarrow parton density operators)
- static current (\rightarrow briefly touched at the end)

note: renormalization properties are of different nature

\rightarrow e.g. finite, scale and/or scheme dependencies

basic ingredients



- lattice:** $x = \{x_\mu \mid \mu = 1, \dots, 4\} \in a\mathbb{Z}^4$
- quarks:** $\psi(x)$ living on lattice sites
- gluons:** $U(x, \mu) = e^{iaA_\mu(x)} \in \text{SU}(3)$
link variables

features and obstacles

- **stochastic MC evaluation** of $\langle O \rangle = \frac{1}{Z} \int \mathcal{D}[\psi, \bar{\psi}; U] O e^{-S}$
(importance sampling, $\Delta_O \propto 1/\sqrt{N_{\text{meas}}} \propto 1/\sqrt{t_{\text{CPU}}}$)
- **continuum limit:** lattice artifacts extrapolated away
(improvement \leadsto eliminate the $O(a)$ cutoff effects)
- fermion dynamics very costly, so frequently used:
quenched approximation = ignore all quark loops
- ambiguities in setting the scale in quenched QCD
- lattice discretization implies restriction $a \ll m_q^{-1} \ll L$
(extrapolations in the quark mass m_q necessary but often difficult to control in practice)
- **chiral symmetry violation** (inherent in Wilson's fermion action) gives rise to **additive & multiplicative renormalization constants**

representative example: quark masses through PCAC

$$F_K m_K^2 = (\bar{m}_u + \bar{m}_s) \langle 0 | \bar{\psi}_u \gamma_5 \psi_s | K \rangle$$

$$(\bar{\psi}_u \gamma_5 \psi_s)_{\overline{\text{MS}}} = Z_P(g_0, a\mu) (\bar{\psi}_u \gamma_5 \psi_s)_{\text{lattice}}$$

- + Z_P relates the lattice results to the $\overline{\text{MS}}$ scheme
- + Z_P is scale and scheme dependent
- + computable in lattice perturbation theory
- uncertainties from (tadpole improved) bare couplings

task to match the low- and high-energy regimes reliably complicates the situation on the technical level:

one faces different scales to be covered simultaneously

- box size (typical extents $L \simeq 2$ fm)
- confinement scale $\simeq 0.2$ GeV
- $\mu \simeq 10$ GeV $\simeq 1/(0.02$ fm) to get contact with the perturbative approximation for $\beta(\bar{g}), \tau(\bar{g})$
- lattice spacing a as cutoff

$$L \gg 1/(0.2 \text{ GeV}) \gg 1/\mu \simeq 1/(10 \text{ GeV}) \gg a$$

suppression of a -effects implies prohibitively large L/a

idea to overcome this problem:

intermediate schemes (2 realizations in the context of non-perturbative renormalization on the market)

1. RI = Regularization Independent [Martinelli et al.]

impose Γ_P : amputated Green function of $(\bar{\psi}_q \gamma_5 \psi_q)_{\text{lattice}}$ &

$$\frac{Z_P^{\text{MOM}}(g_0, a\mu)}{Z_2^{\text{MOM}}(g_0, a\mu)} \times \Gamma_P(ap) \Big|_{p^2=\mu^2} = 1 \quad Z_2 : \text{quark wave function renormalization}$$

+ the matching MOM \leftrightarrow $\overline{\text{MS}}$ relies on renormalized *continuum* perturbation theory now

? applicability window $\Lambda_{\text{QCD}} \ll \mu \ll a^{-1}$ enclose external momenta *range* to probe the scale dependence?

2. SF = Schrödinger Functional [Lüscher et al., ALPHA Collaboration]

basic strategy for a non-perturbative computation of short distance parameters on the lattice is a recourse to an intermediate **finite-volume renormalization scheme**:

identify $\mu = 1/L \rightsquigarrow \alpha_{\text{SF}}(1/L)$ and similarly $M/\bar{m}^{\text{SF}}(1/L)$

$$L_{\text{max}} = C/F_\pi : \text{hadronic} \leftrightarrow \text{SF} \longrightarrow \alpha_{\text{SF}}(\mu = 1/L_{\text{max}})$$

$O(\frac{1}{2}\text{fm})$

scheme

$$\downarrow$$

$$\alpha_{\text{SF}}(\mu = 2/L_{\text{max}})$$

\downarrow

•••

\downarrow

$$\alpha_{\text{SF}}(\mu = 2^n/L_{\text{max}})$$

PT \downarrow

results in: value for $\Lambda_{\text{QCD}}/F_\pi \xleftarrow{\text{PT}}$

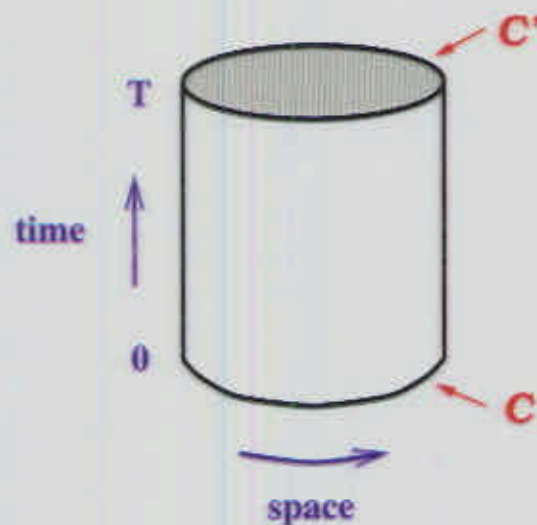
$$\Lambda_{\text{SF}} L_{\text{max}}$$

universal relations \rightarrow accessible in the continuum limit

the **Schrödinger functional (SF)** is defined as the QCD partition function

$$\mathcal{Z} = \int_{\text{fields}} e^{-S} = e^{-\Gamma} \quad \Gamma : \text{effective action}$$

where quark & gluon fields satisfy Dirichlet BCs in time:



a renormalized coupling in this scheme:

$\alpha_{\text{SF}}(\mu) = \bar{g}^2(L)/4\pi$ with $\bar{g} \equiv \bar{g}_{\text{SF}}$ being defined as the response to an infinitesimal variation of the BCs

reconstruction of the non-perturbative scale evolution of the renormalized 'running' coupling constant in the SF via the **finite-size step scaling function (SSF)** $\sigma(s, u)$:

$$\bar{g}^2(L) = u \quad \bar{g}^2(sL) = u' \equiv \sigma(s, u)$$

s : step size in change of the length scale

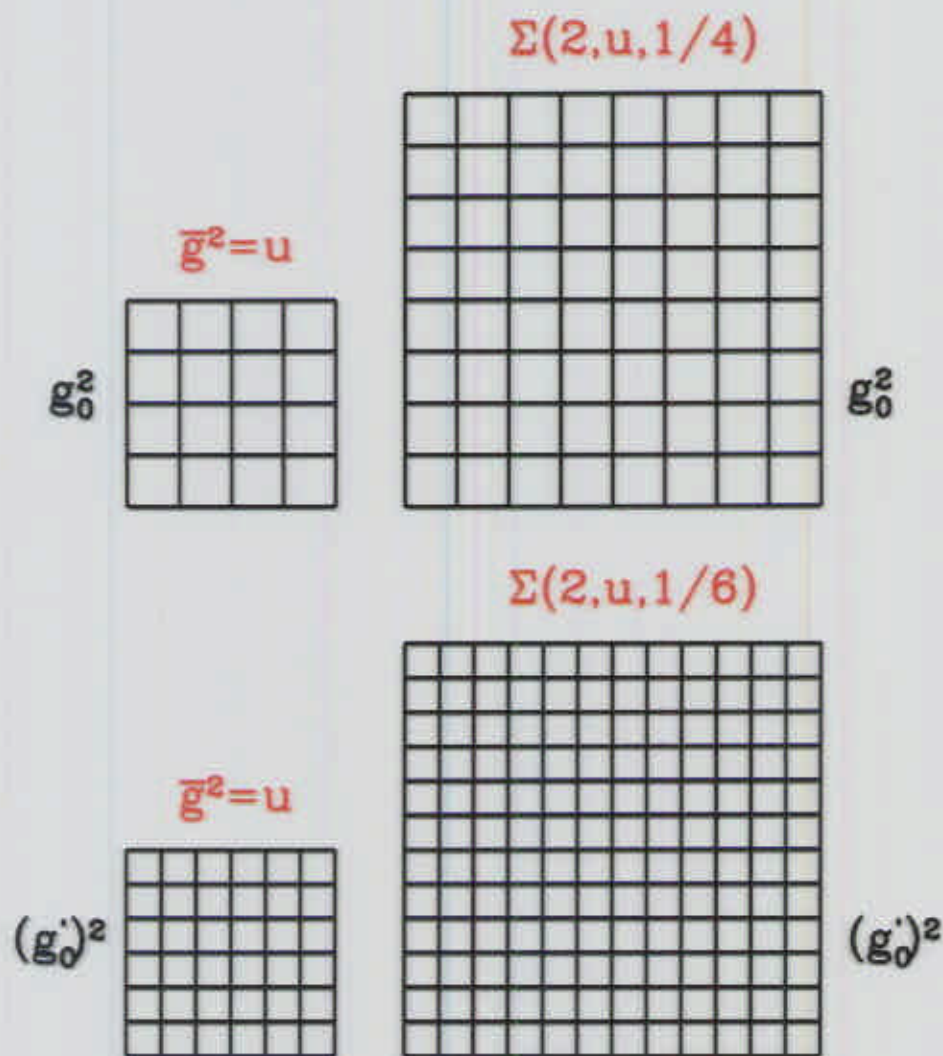
\Rightarrow evident meaning of $\sigma(s, u)$: **discrete beta function**

on the lattice: start with $\bar{g}^2(L) = u_0 \equiv 3.48$ at $L = L_{\max}$

$$\Sigma(u_{k-1}, a/L) \equiv u_k = \bar{g}^2(sL_{\max}) \quad s = 2^{-k} \quad k = 0, 1, 2, \dots$$

~ calculable by solving the recursion $\sigma(u_{k+1}) = u_k$

~ at step $k \rightarrow k + 1$ of the sequence: keep $g_0, L/a$ fixed



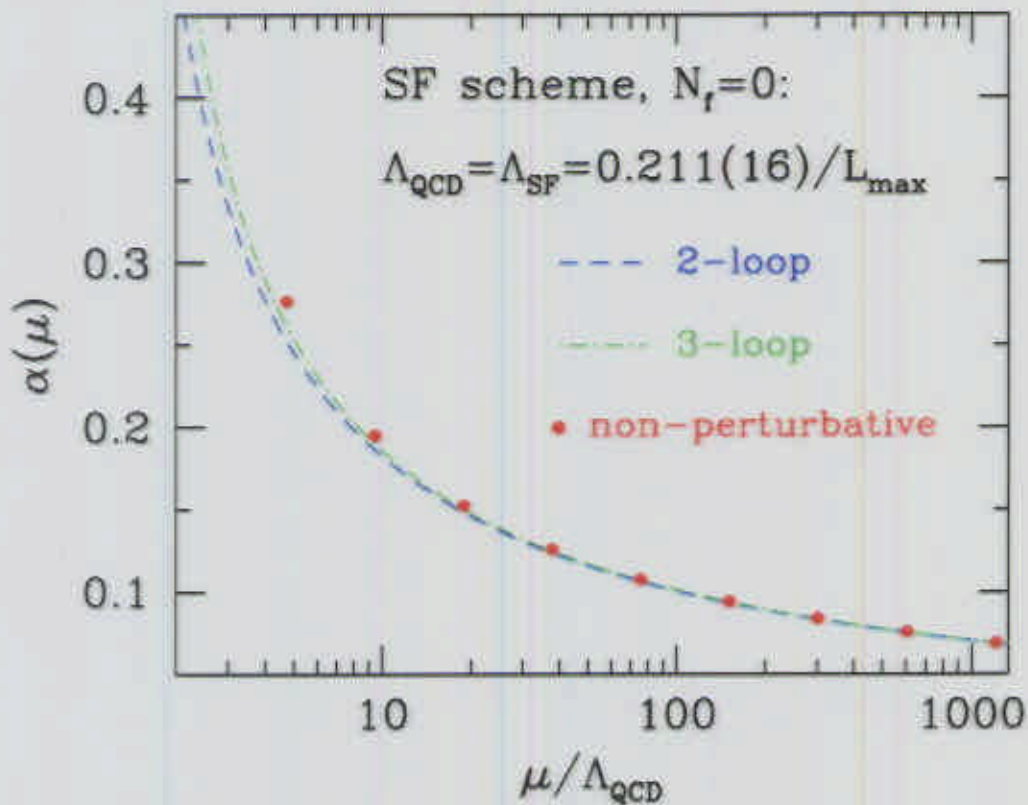
carries an additional dependence on the resolution a/L

$$\Rightarrow \Sigma(u, a/L) = \sigma(u) + O\left(\frac{a}{L}\right) \text{ in the continuum limit}$$

successive application of the SSF σ yields $\bar{g}_{\text{SF}}^2(L_{\text{max}}/2^k)$ until contact can be made with the high-energy regime, which is well described by perturbative scaling:

$$\Lambda = \mu (b_0 \bar{g}^2)^{-b_1/2b_0^2} e^{-1/2b_0 \bar{g}^2} \exp \left\{ - \int_0^{\bar{g}} dg \left[\frac{1}{\beta(g)} + \frac{1}{b_0 g^3} - \frac{b_1}{b_0^2 g} \right] \right\}$$

result in the quenched approximation:




matching to a hadronic scheme: express L_{max} in units of the 'radius' $r_0 \simeq 0.5$ fm (from force between static quarks)

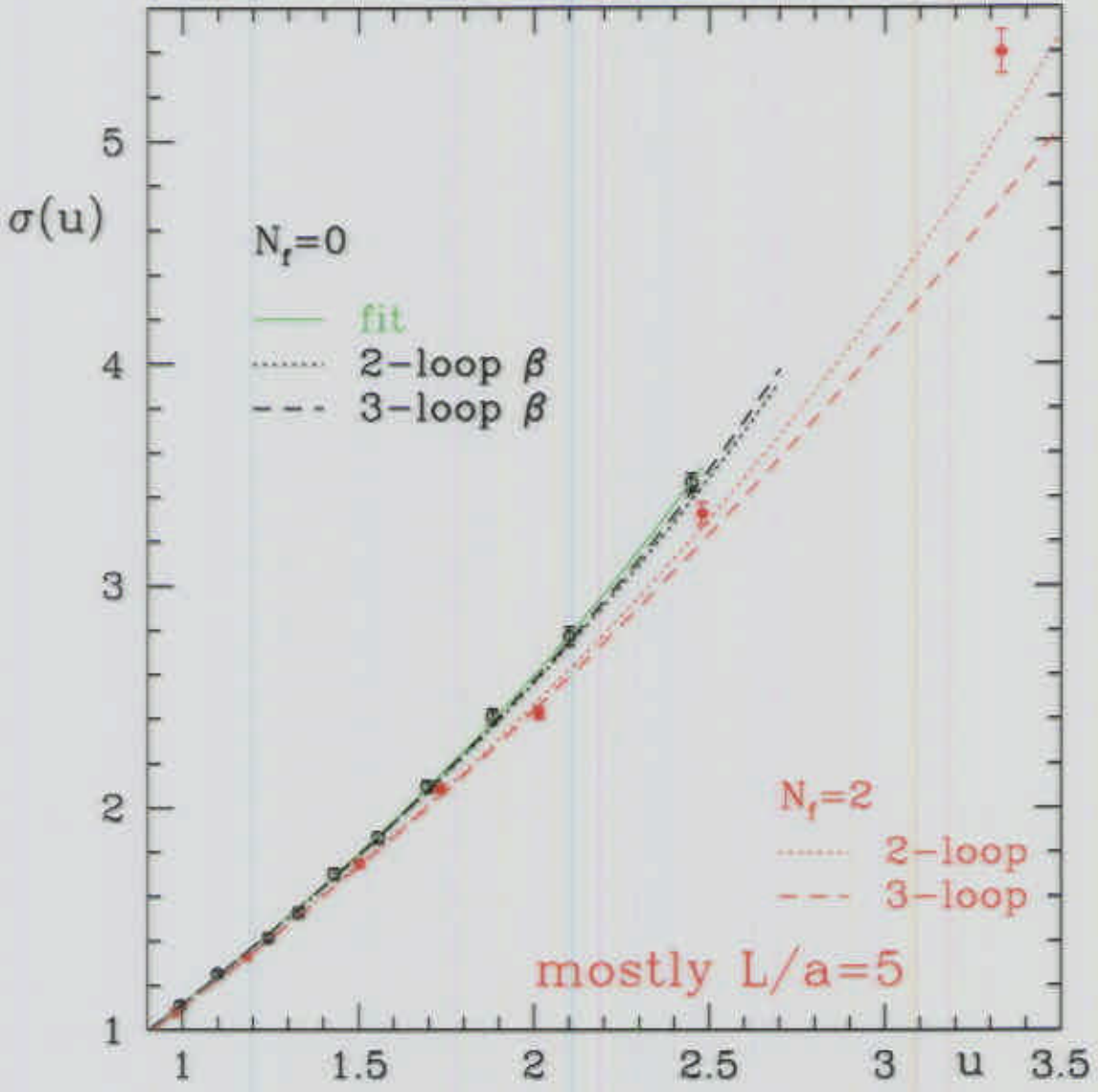
$$\Rightarrow \text{matching scale } \mu = \frac{1}{L_{\text{max}}} \simeq 550 \text{ MeV}$$

conversion SF \rightarrow convenient $\overline{\text{MS}}$ scheme leads to

$$\Rightarrow \Lambda_{\overline{\text{MS}}}^{(0)} = 238(19) \text{ MeV}$$

status of the corresponding project by  with $N_f = 2$ massless dynamical quarks:

preliminary: no continuum extrapolation



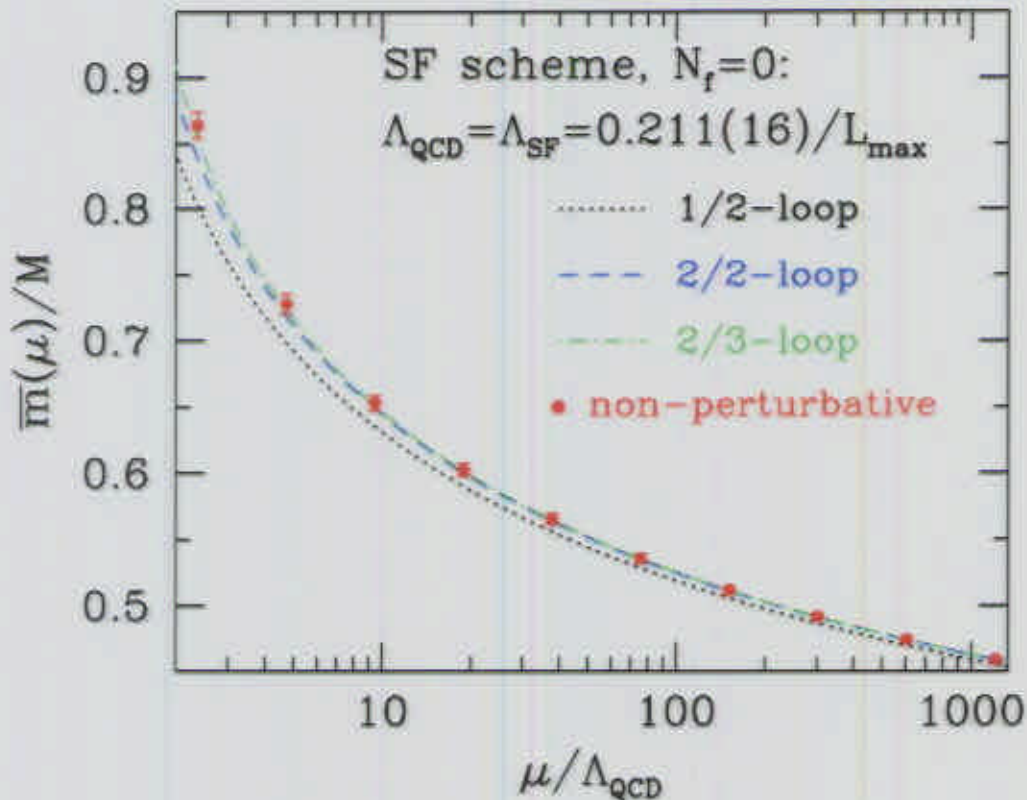
in the same way: obtain the scale evolution of the renormalized PCAC (current) quark mass \bar{m} , viz.

$$\bar{m} \equiv \frac{Z_A(g_0)}{Z_P(g_0, a\mu)} m \quad \text{SSF:} \quad \frac{Z_P(2^{-k} \times 2L_{\max})}{Z_P(2L_{\max})} \hookrightarrow \sigma_P(u)$$

to extract the scheme and scale independent (!)

Renormalization Group Invariant quark masses

$$M = \bar{m} (2b_0\bar{g}^2)^{-d_0/2b_0} \exp \left\{ - \int_0^{\bar{g}} dg \left[\frac{\tau(g)}{\beta(g)} - \frac{d_0}{b_0 g} \right] \right\}$$



$$\Rightarrow M/\bar{m}^{\text{SF}}(\mu) = 1.157(12) \quad @ \quad \mu = 1/2L_{\max} \simeq 275 \text{ MeV}$$

finally the total renormalization factor $Z_M(g_0)$ is known non-perturbatively for the $O(a)$ improved theory:

$$M = \underbrace{Z_M(g_0)}_{\text{flavour independent}} \times \underbrace{m(g_0)}_{\text{current quark mass}} + O(a^2)$$

$$Z_M = \underbrace{M/\bar{m}(\mu)}_{\text{universal}} \times \underbrace{\bar{m}(\mu)/m(g_0)}_{= Z_A/Z_P}$$

general strategy for computation of light quark masses

[ALPHA Collaboration & UKQCD: Garden, H., Sommer, Wittig, NPB 571 (2000) 237]

- starting point: chiral perturbation theory in full QCD

$$M_u/M_d = 0.55(4) \quad M_s/\hat{M} = 24.4(1.5) \quad \hat{M} = \frac{1}{2}(M_u + M_d)$$

- now we implicitly define an not necessarily physical reference quark mass (here: mass degenerate case)

$$m_{\text{PS}}^2(M_{\text{ref}})r_0^2 = (m_K r_0)^2 = 1.5736$$

$$\chi\text{PT} : \quad 2M_{\text{ref}} \simeq M_s + \hat{M}$$

$$\text{input} : m_K^2 = \frac{1}{2}(m_{K^+}^2 + m_{K^0}^2) \Big|_{\text{pure QCD}} = (495 \text{ MeV})^2$$

⇒ goal: calculate M_{ref} through Lattice QCD

MC simulations in $V = (1.5 \text{ fm})^3 \times 3 \text{ fm}$: evaluate correlation function to determine PS hadronic matrix elements

$$\left. \begin{aligned} \langle 0 | \bar{\psi}_u \gamma_0 \gamma_5 \psi_s | K \rangle &= m_K F_K \\ \langle 0 | \bar{\psi}_u \gamma_5 \psi_s | K \rangle &= G_K \end{aligned} \right\} \xrightarrow{\text{PCAC}} R \equiv \frac{F_K}{G_K} = \frac{2M_{\text{ref}}}{m_K^2}$$

including renormalization and $O(a)$ improvement:

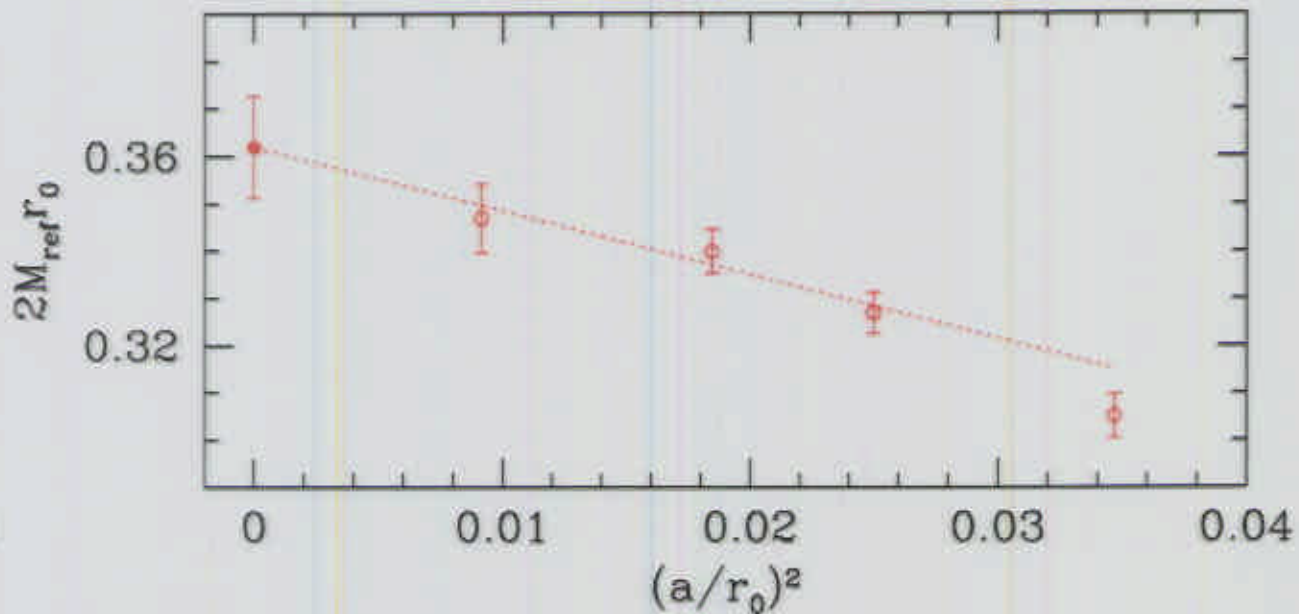
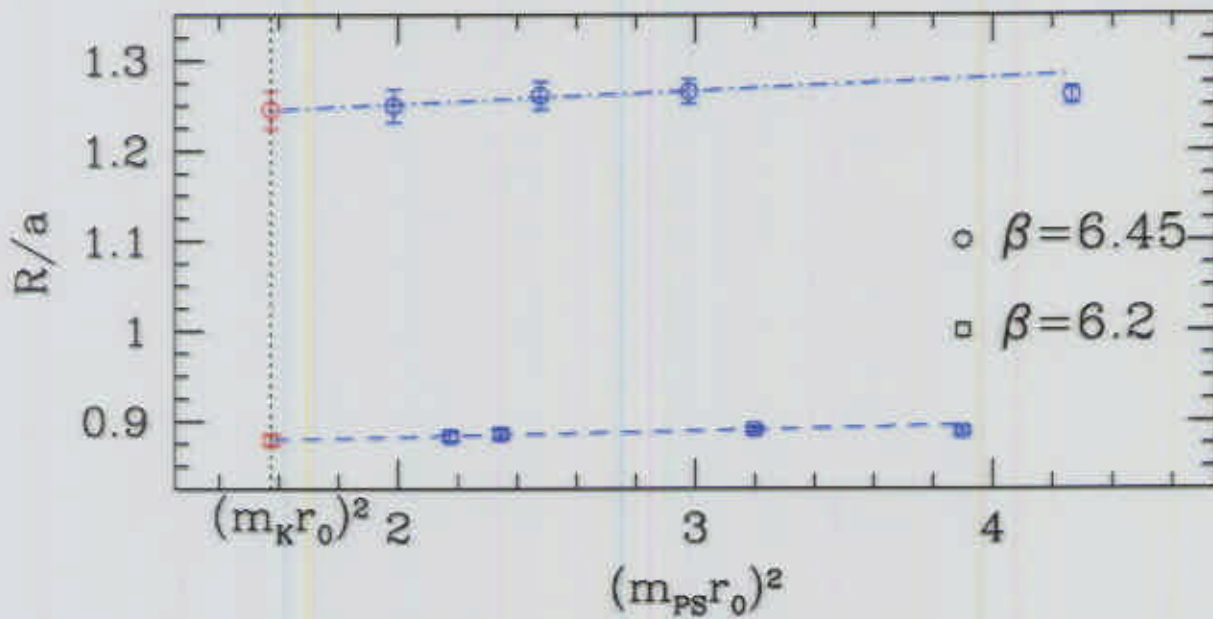
$$2r_0 M_{\text{ref}} = Z_M \frac{R \Big|_{r_0^2 m_{\text{PS}}^2 = 1.5736}}{r_0} \times 1.5736 = (2r_0 M_{\text{ref}}) \Big|_{a=0} + O\left(\frac{a^2}{r_0^2}\right)$$

perturbative conversion to $\overline{\text{MS}}$ using 4-loop running

⇒ $\overline{m}_s^{\overline{\text{MS}}}(2 \text{ GeV}) = 97(4) \text{ MeV} \ni$ all errors except quenching

examples from the extrapolations:

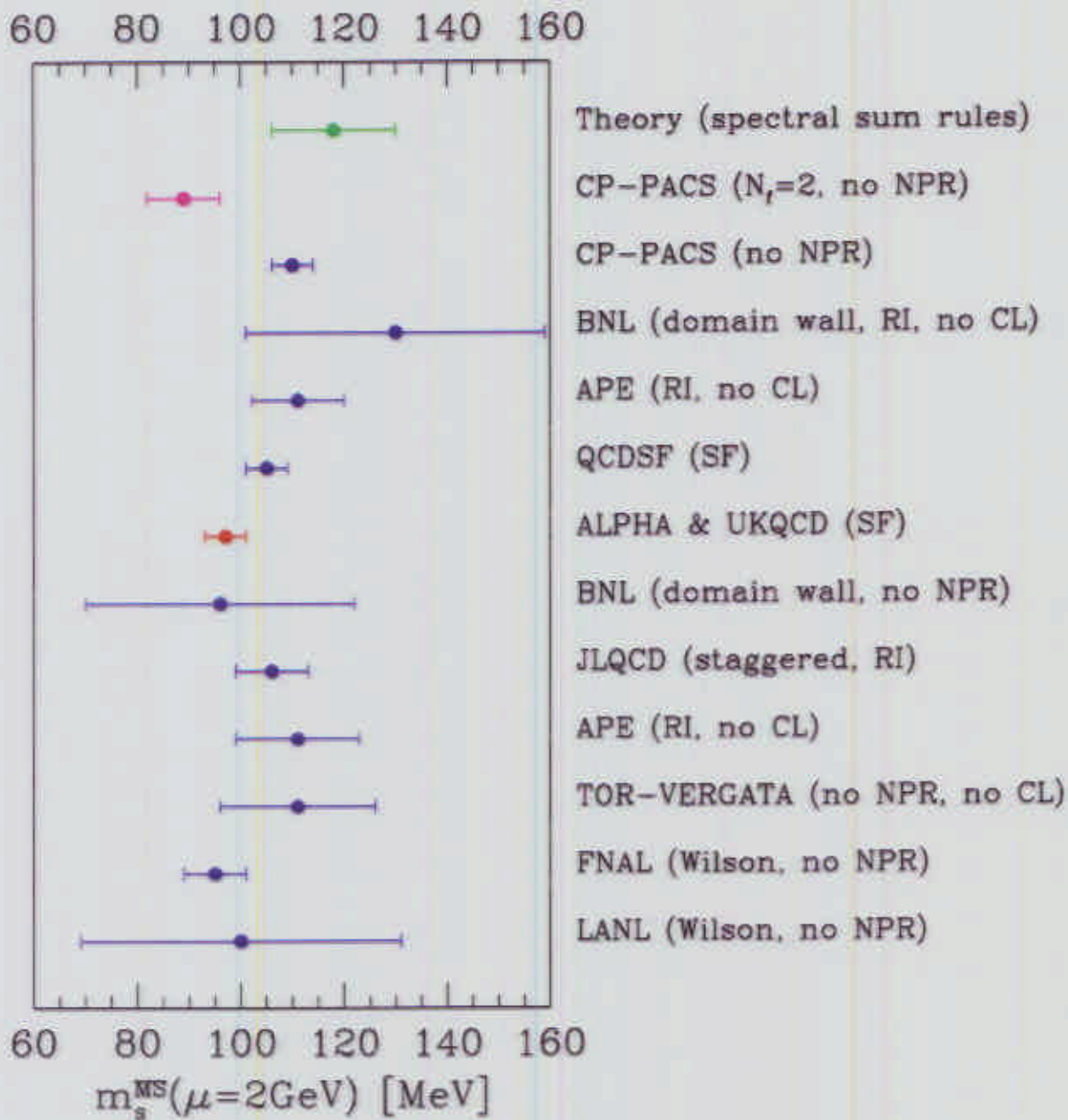
to the kaon mass scale (slightly!) and to the continuum



- stable continuum extrapolation but significant slope
- **solid quenched result:** $2M_{ref} = 143(5) \text{ MeV}$ RGI (!)
- quenched approximation: $\simeq 10\%$ scale ambiguity
- alternatively $2M_{ref}/(F_K)_R = (M/\bar{m}) \times (Z_P r_0^2 G_{PS})^{-1} (m_{PS} r_0)^2$
 \Rightarrow complete consistency!

comparison with quenched results of other groups:

(NPR = non-pert. renormalization, CL = continuum limit)



- mostly through (axial and/or vector) Ward identities
- **caveat:** no uniform estimation of systematic errors and differences when translating into physical units

- **motivation:** consider leptonic B-decays $B \rightarrow l \nu_l$

$$\langle 0 | (A_R)_\mu | B(p) \rangle = p_\mu F_B \quad (A_R)_\mu = Z_A \bar{\psi}_b \gamma_\mu \gamma_5 \psi_d$$

$m_b \simeq 4 \text{ GeV} \gg \Lambda_{\text{QCD}} \sim \mathcal{O}((am_b)^2)$ discretization errors
 \Rightarrow direct treatment is difficult on the lattice

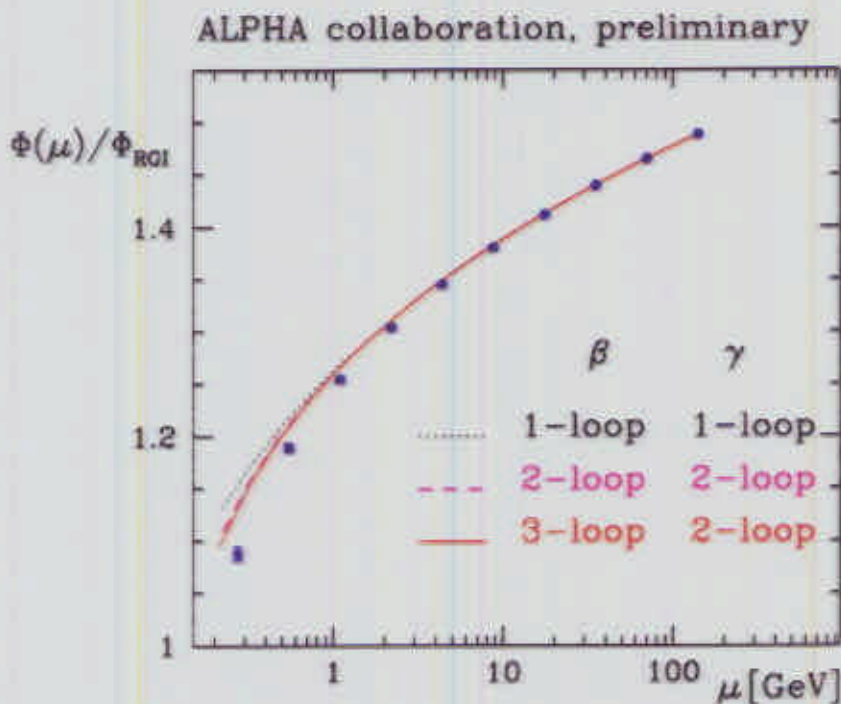
- **but in an effective field theory: static approximation**

$$F_B \sqrt{m_B} \equiv \Phi^{\text{stat}}(\mu) + \mathcal{O}\left(\frac{\Lambda_{\text{QCD}}}{m_b}\right) \quad \text{relevant scale: } \mu = m_b$$

'static': $m_{\text{heavy}} \rightarrow \infty$

$\Rightarrow \mu$ dependence and $Z_A^{\text{stat}}(\mu) \leftrightarrow \Phi^{\text{stat}}(\mu)$ to predict F_B

analogous steps as for $\bar{m}(\mu)/M$ [J. H., M. Kurth, R. Sommer]



$$\Phi_{\text{RG1}}^{\text{stat}} = (2b_0\bar{g}^2)^{-\gamma_0/2b_0} \exp \left\{ - \int_0^{\bar{g}} dg \left[\frac{\gamma(g)}{\beta(g)} - \frac{\gamma_0}{b_0 g} \right] \right\} \Phi^{\text{stat}}(\mu)$$

for any matrix element Φ^{stat} of the static axial current

- large potential for **Lattice QCD** to address non-perturbative renormalization problems:
 - ✓ statistical, discretization & systematic uncertainties under good control
 - ✓ non-perturbative coupling and quark mass renormalization performed with confidence
 - ✓ high precision already achieved in the quenched approximation: e.g. 3% for $\overline{m}_s^{\overline{MS}}(2\text{ GeV})$
 - dynamical quark effects under investigation
 - ✓ concept of combining Lattice QCD with χ PT to determine light quark masses valuable for full QCD
- Schrödinger functional offers a clean & flexible approach to deal with accompanying scale differences:
 - ✓ technology is well established in quenched QCD
 - ✓ simulations much easier than in large volume
 - ✓ promising progress beyond α_s and M :
 - RGI matrix elements in the static approximation
 - phenomenology of heavy flavour physics
- substantial work still to be done, but optimistic perspectives also owing to increasing computer power!