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# Neutrino Mass : The Present and the Future

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# NEUTRINO MASS

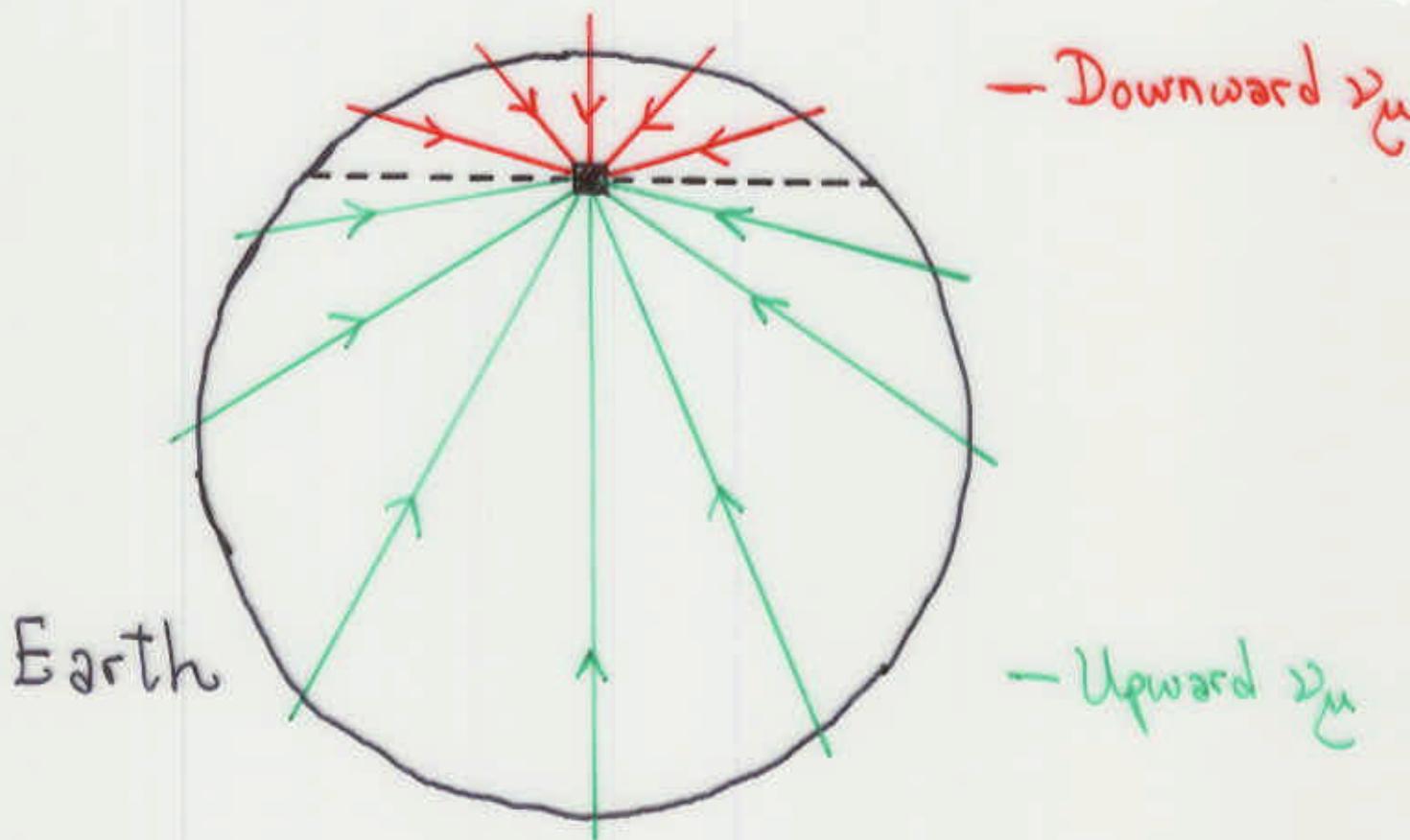
Where Do We Stand?

What Would We Like To Learn?

Do neutrinos have masses?

Almost certainly!

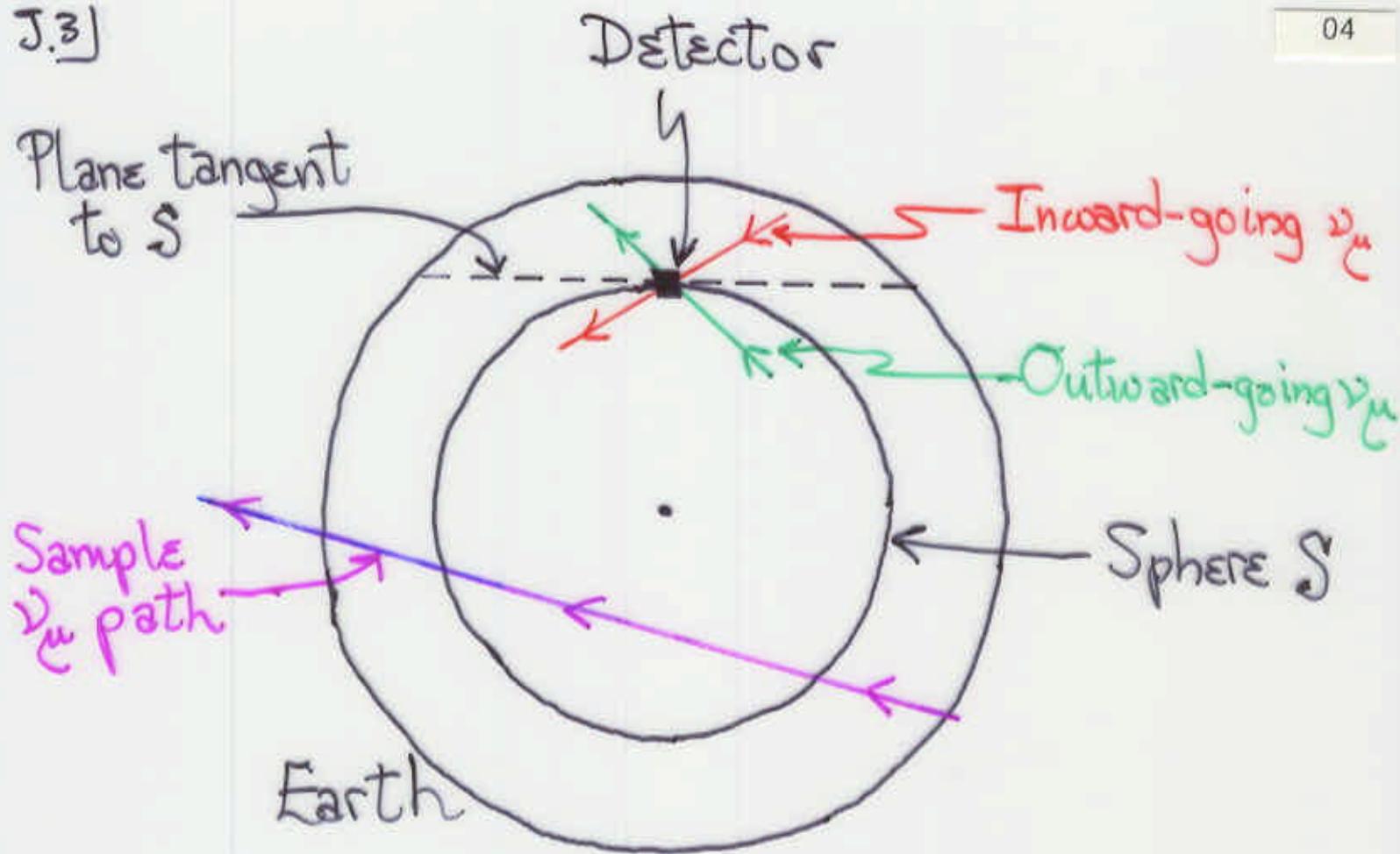
The most compelling single piece of evidence is the Up/Down asymmetry of the atmospheric  $\nu_\mu$  flux.



for  $E_\nu >$  A few GeV, the flux of cosmic rays that produce  $\nu_{\text{Atmos}}$  is isotropic.

Suppose nothing increases or decreases the atmospheric  $\nu_\mu$  flux during earth traversal.

Then, for  $E_\nu >$  A few GeV, we must have  
 $\nu_\mu \text{ Flux Down} \cong \nu_\mu \text{ Flux Up}$ .



Any  $\nu_\mu$  that enters  $S$  later exits  $S$ .

$\therefore$  In a steady state—

Total  $\nu_\mu$  flux into  $S$  = Total  $\nu_\mu$  flux out of  $S$ .

Cosmic-ray isotropy  $\Rightarrow$  Spherical symmetry.  
 $\Rightarrow$  At each point of  $S$ —

$\nu_\mu$  flux into  $S$  =  $\nu_\mu$  flux out of  $S$

$\therefore \nu_\mu$  Flux Down =  $\nu_\mu$  Flux Up

J.4]

With just a bit more work —

$$\gamma_u \text{Flux}(\Theta_z) = \gamma_u \text{Flux}(\pi - \Theta_z).$$

### SuperK Down vs. Up

Down :  $+0.2 < \cos \Theta_z < +1.0$

Up :  $-1.0 < \cos \Theta_z < -0.2$

In the Multi-GeV data,

$$\frac{\gamma_u(\text{Up})}{\gamma_u(\text{Down})} = 0.56 \pm 0.05.$$

(Kearns)

Something is adding or removing muon neutrinos within the earth.

$\gamma_u \rightarrow \nu_\tau$  oscillation

is the most attractive hypothesis.

8.11 Neutrino oscillation, which implies neutrino mass (or extra dimensions?) fits the  $\nu_{\text{Atmos}}$  data in detail.

Neutrino decay, which also implies neutrino mass, also fits the  $\nu_{\text{Atmos}}$  data.  
(Barger, Learned, Lipari, Lusignoli, Pakvasa, Weiler)

Decay is theoretically less likely than oscillation.

Both the oscillation and decay explanations of the data imply neutrino mass and neutrino mixing.

## Further Evidence for Neutrino Oscillation

Neutrinos

Solar

LSND

Evidence of Oscillation

Strong

Unconfirmed

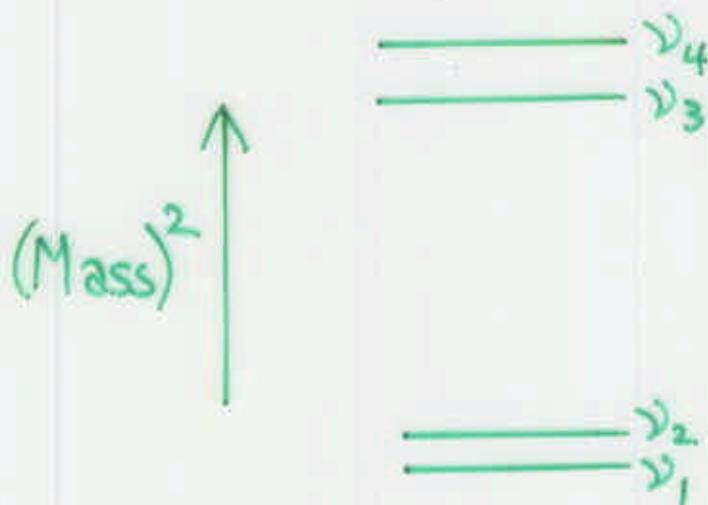
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In view of the evidence for oscillation—

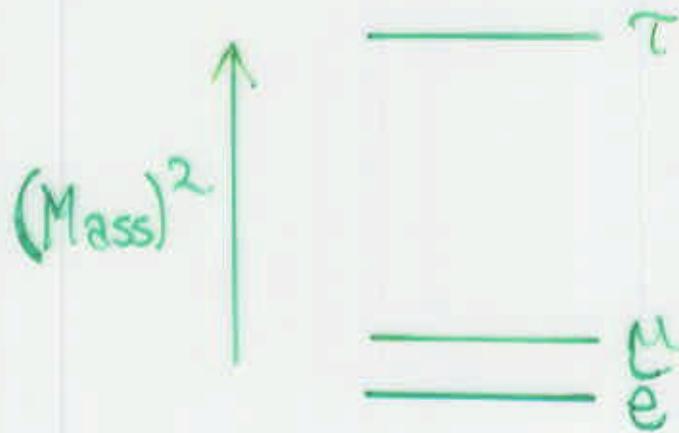
NEUTRINO PROPERTIES

Neutrinos almost certainly have masses and mix.

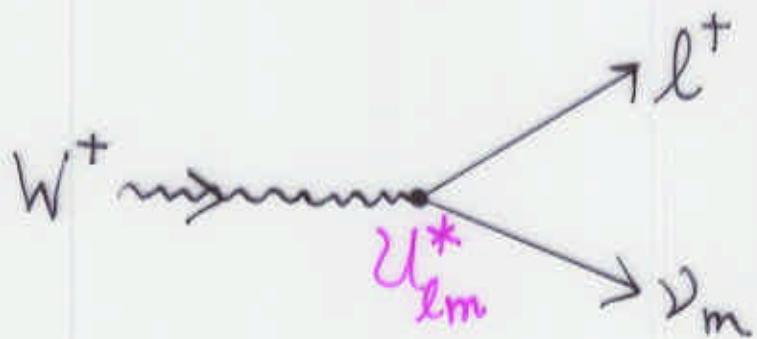
There is some spectrum of three or more neutrino mass eigenstates  $\nu_m$ :



This is the neutrino analogue of the spectrum of charged-lepton mass eigenstates  $l = e, \mu$ , and  $\tau$ :



2) Mixing means that the weak interaction couples a given charged lepton of definite mass,  $l$ , to more than one neutrino of definite mass,  $\nu_m$ .



$U$  is the Maki-Nakagawa-Sakata leptonic mixing matrix.

The neutrino state produced in association with a specific charged lepton  $l$  is

$$|\nu_l\rangle = \sum_m U_{lm}^* |\nu_m\rangle$$

$\uparrow$  Neutrino of flavor  $l$

$\uparrow$  Neutrino of mass  $M_m$

3) If there are, say, four neutrino mass eigenstates, then one linear combination of them,

$$|\nu_{\text{sterile}}\rangle = \sum_m U_{sm}^* |\nu_m\rangle,$$

has no normal weak couplings.

Having discovered that neutrinos have masses and mix —

### What Would We Like To Learn?

- How many neutrino flavors, active and sterile, are there? Equivalently, how many neutrino mass eigenstates are there?
- What are the masses,  $M_m$ , of the mass eigenstates,  $\nu_m$ ?

(Oscillation experiments can measure only  
 (mass splittings  $\delta M_{mm'}^2 = M_m^2 - M_{m'}^2$ )

- 4)
- Are the neutrinos of definite mass—
    - \* Majorana particles ( $\bar{\nu}_m = \nu_m$ ), or
      - \* Dirac particles ( $\bar{\nu}_m \neq \nu_m$ )?
  - What are the elements  $U_{\ell m}$  of the leptonic mixing matrix?
  - Does the behavior of neutrinos, in oscillation and other contexts, violate CP invariance?
  - What are the electromagnetic properties of neutrinos? What are their dipole moments?
  - What are the lifetimes of the neutrinos?

5) What is Known Now About These Questions,  
and How Will We Learn More?

### How Many Neutrinos Are There?

Most people believe that if  $\nu_0$ ,  $\nu_{\text{Atmos}}$ , and  $\nu_{\text{LSND}}$  all oscillate, then there are more than 3 neutrinos:

3 neutrinos can fit  $\nu_0$ ,  $\nu_{\text{Atmos}}$ , and  $\nu_{\text{LSND}}$ :

Teshima, Sakai, Inagaki

Thun & McKee

Barenboim & Scheck

Ohlsson & Snellman

Haug, Faessler, Vergados

No they can't:

Giunti

With only 3 neutrino mass eigenstates,

$$\sum \delta M^2 = (M_3^2 - M_2^2) + (M_2^2 - M_1^2) + (M_1^2 - M_3^2) = 0.$$

But -

### Oscillating Neutrinos

Solar

Atmospheric

LSND

	Required $ \delta M^2 $ (eV $^2$ )
	$10^{-10}$ to $10^{-4}$
	$10^{-3}$
	1
$\sum \delta M^2 \neq 0$	

∴ Must add a 4<sup>th</sup> mass eigenstate.

Since  $Z \rightarrow \nu_e \bar{\nu}_e$  yields only 3 distinct neutrinos of definite flavor, the 4 flavor eigenstates corresponding to the 4 mass eigenstates must be -

$\nu_e, \nu_\mu, \nu_\tau, \nu_{\text{sterile}}$ .

Solar + Atmospheric + LSND Oscillations  
 $\Rightarrow$  A new breed of neutrino.

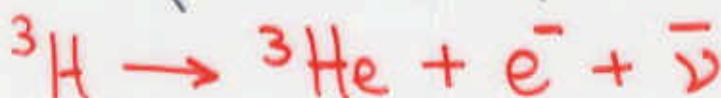
## 7] How Much Do the Mass Eigenstates Weigh?

Oscillation experiments yield only  
 $(\text{mass})^2$  splittings:

$$\text{Amp}(\nu_e \rightarrow \nu_{e'}) = \sum_m U_{em}^* U_{e'm} e^{-i M_m^2 \frac{L}{2E}}$$

Some viable relative  $(\text{mass})^2$  spectra →

Studies of the  $\beta^-$  energy spectrum in



may not be able to gain sensitivity to

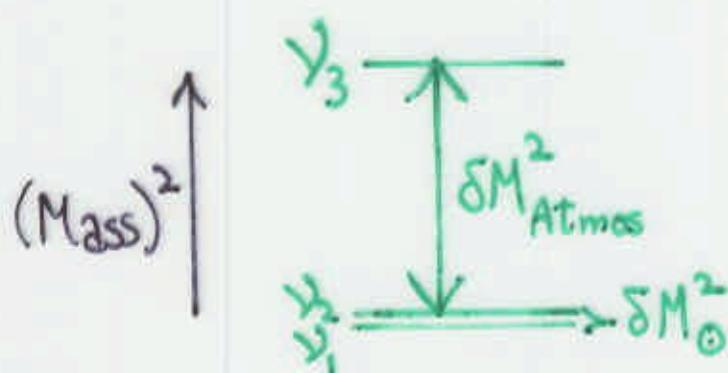
$$M_m \lesssim 1 \text{ eV} . \quad (\text{Ott.})$$

There may be a mass eigenstate that weighs this much.

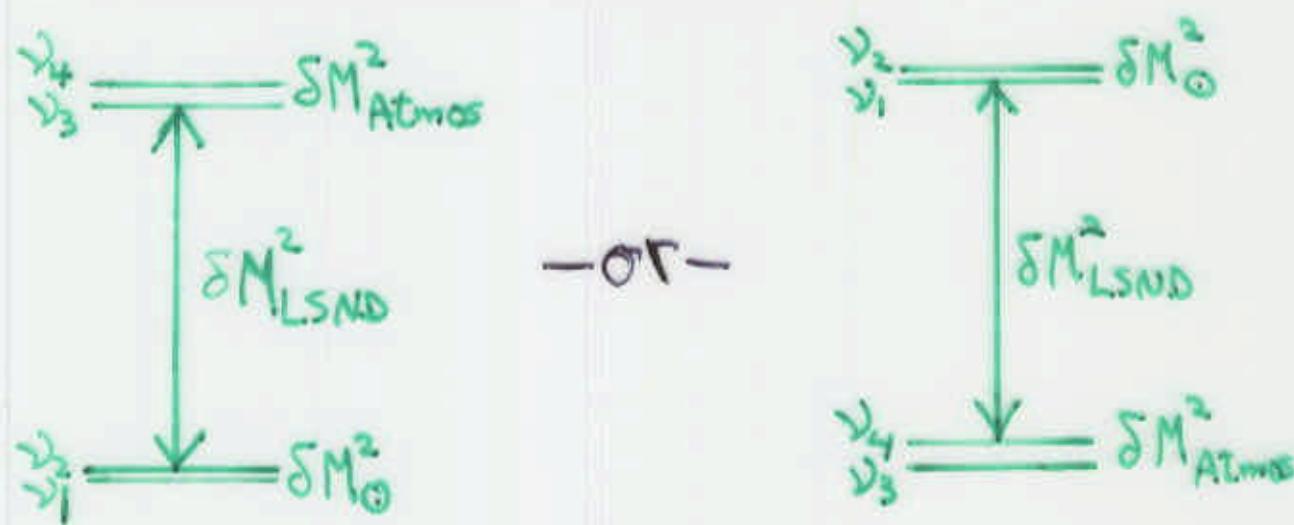
If the LSND oscillation is genuine, there is at least one neutrino  $\nu_H$  with mass

$$M_H \geq \sqrt{\delta M_{\text{LSND}}^2} \gtrsim \sqrt{0.2 \text{ eV}^2} \approx 0.4 \text{ eV}.$$

## If LSND is set aside



## If LSND is included



Each mass eigenstate is a superposition of  $\nu_e$ ,  $\nu_\mu$ ,  $\nu_\tau$ , and, in the 4-neutrino case,  $\nu_{\text{sterile}}$ .

Q.6) Some analyses suggest these are the only 4-neutrino spectra allowed by all the data.

(Barger, Pakvasa, Weiler, Whisnant)  
 (Bilenky, Giunti, Grimus, Schwetz)

In these 4-neutrino spectra,  $\nu_{\text{sterile}}$  must play a significant role in either the  $\nu_0$  or  $\nu_{\text{Atmos}}$  oscillation.

SuperK analyses disfavor a dominant  $\nu_{\text{sterile}}$  role in either  $\nu_0$  or  $\nu_{\text{Atmos}}$  oscillation.

Implications ??

$$\text{BR}({}^3\text{H} \rightarrow {}^3\text{He} + e^- + \bar{\nu}_e) \sim |U_{eH}|^2$$

may be large or small.\*

If the LSND oscillation is not genuine, the heaviest mass eigenstate may have a mass no larger than

$$\sqrt{\delta M_{\text{Atmos}}^2} \sim \sqrt{3 \times 10^{-3} \text{ eV}^2} \sim 0.06 \text{ eV}.$$

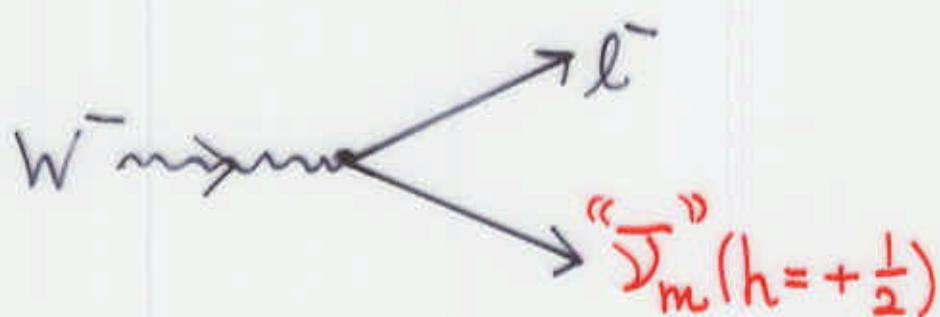
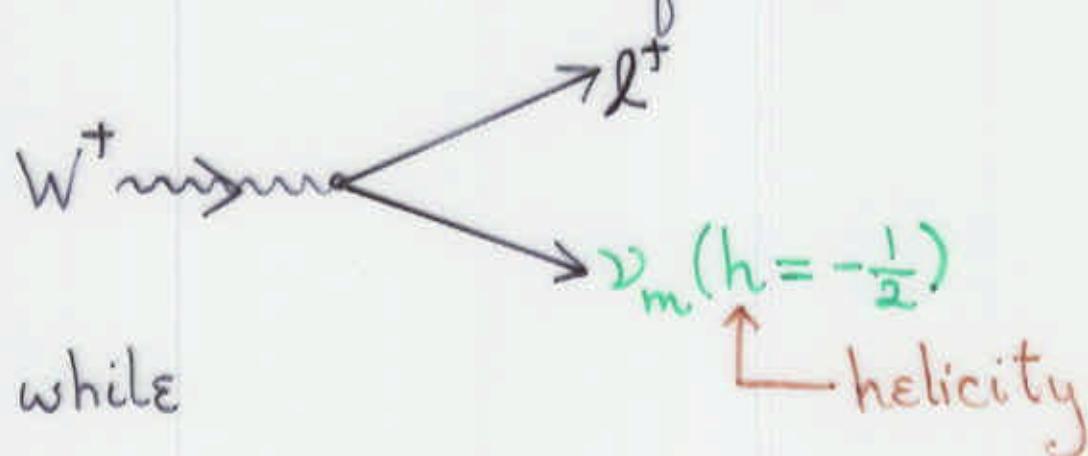
It is important to study tritium decay, but is there a more sensitive probe of absolute masses??

Neutrinoless double beta decay can perhaps shed light on neutrino masses, as we shall see.

\* Studies of supernova neutrinos may be able to probe  $\sim$  few eV masses of neutrinos strongly coupled to  $\mu$  or  $\tau$ . (Beacom, Boyd, Mezzacappa)

91 Does  $\bar{\nu}_m = \nu_m$ ?

What does this question mean?



Is helicity the only difference between  $\nu_m (h = -)$  and  $\bar{\nu}_m (h = +)$ ?

Would a  $\bar{\nu}_m (h = +)$  become a  $\nu_m (h = -)$  if we could somehow reverse its helicity?

10) If so, then

$$\bar{\nu}_m(h) = \nu_m(h).$$

Majorana  
neutrino

However,  $\bar{\nu}_m(h=+)$  and  $\nu_m(h=-)$  may differ by a conserved quantum number (usually the lepton number L), in addition to having opposite helicity.

If they do have this added difference, then

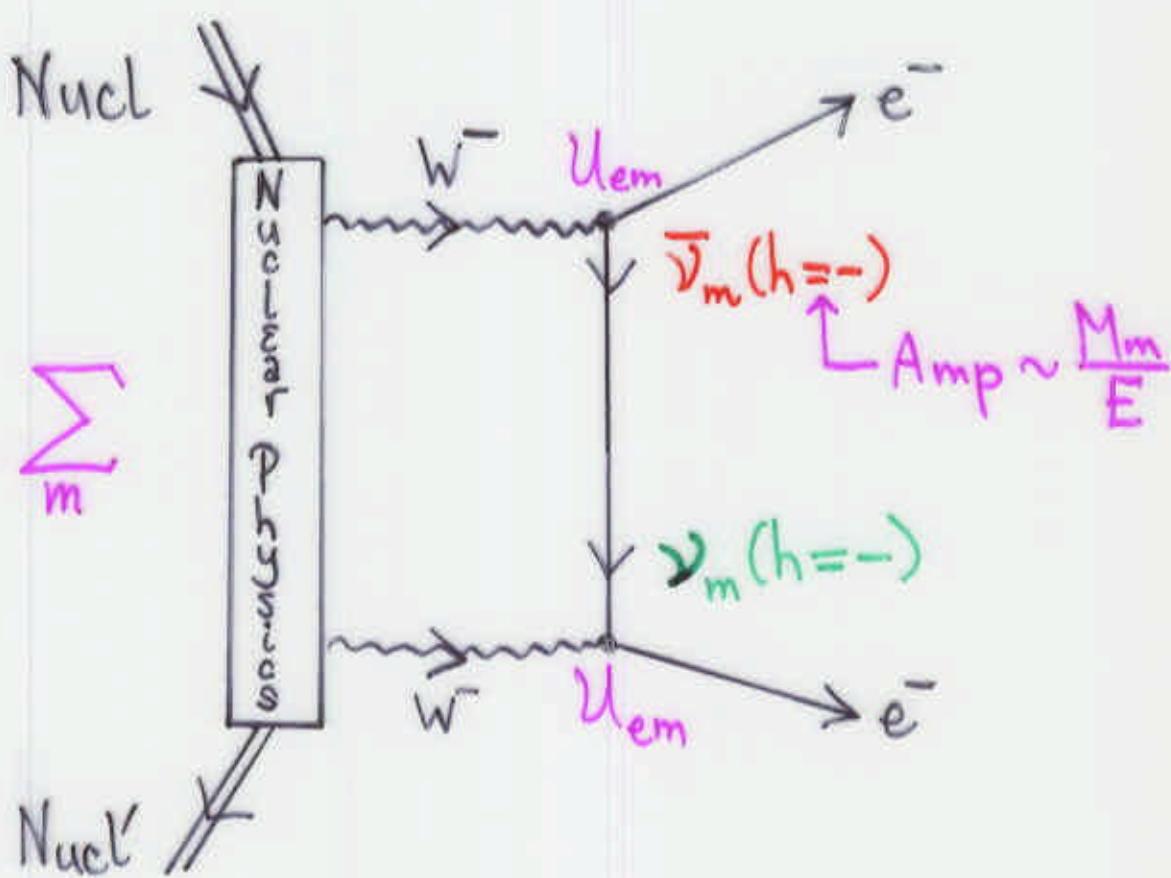
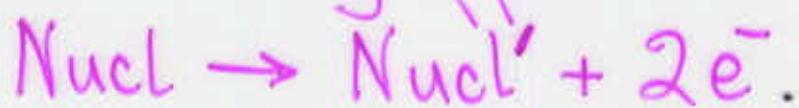
$$\bar{\nu}_m(h) \neq \nu_m(h).$$

Dirac  
neutrino

The "see-saw" explanation of why neutrinos are so light predicts that they are Majorana particles.

(Gell-Mann, Ramond, Slansky  
Yanagida  
Mohapatra, Senjanovic)

To try to show that neutrinos are Majorana particles, look for neutrinoless double beta decay ( $\beta\beta_{0\nu}$ ):



If  $\bar{\nu}_m(h) = \nu_m(h)$ ,

$$\text{Amp}[\beta\beta_{0\nu}] = \underbrace{\left( \sum_m M_m U_{em}^2 \right)}_{M_{\beta\beta}} \times (\text{Nuclear Factor}).$$

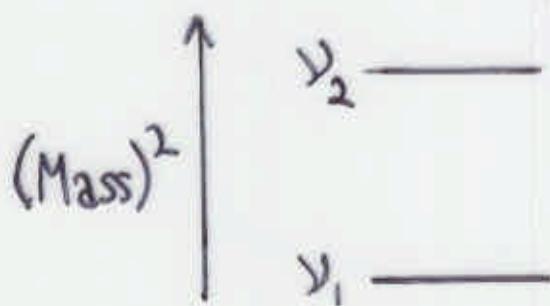
### 13) What Are the Mixing Matrix Elements $U_{\ell m}$ ?

With  $L$  = distance a neutrino travels,  
and  $E$  = neutrino energy,  
the oscillation probability is

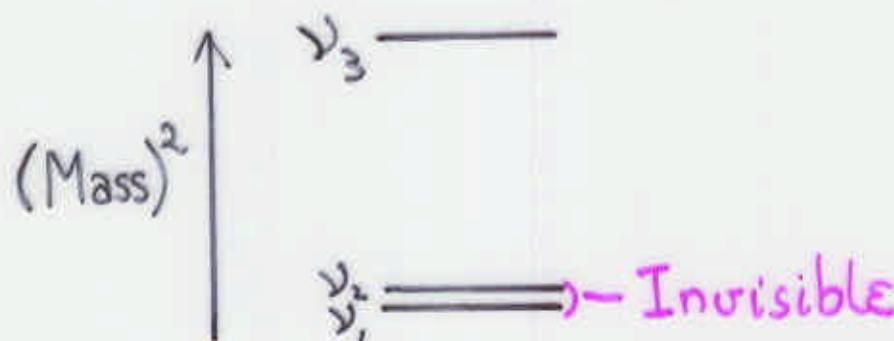
$$\begin{aligned} P(\overleftarrow{\nu}_e \rightarrow \overleftarrow{\nu}_{e'}) &= \\ &= \delta_{\ell\ell'} - 4 \sum_{m>m'} \text{Re}(U_{\ell m}^* U_{\ell' m'} U_{\ell m'} U_{\ell' m}^*) \sin^2(\delta M_{mm'}^2 \frac{L}{4E}) \\ &\quad \pm 2 \sum_{m>m'} \text{Im}(U_{\ell m}^* U_{\ell' m'} U_{\ell m'} U_{\ell' m}^*) \sin(\delta M_{mm'}^2 \frac{L}{2E}) \end{aligned}$$

Complex phases in  $U$  can lead to CP.

14] Oscillation involving only 2 neutrinos



or effectively 2 neutrinos



depends only on the sizes of the  $U_{\ell m}$ .

Sizes  $|U_{\ell m}|$  can be determined this way.

Phases of combinations of  $U$  elements  
could be determined from the CP asymmetries

$$\Delta_{CP}(ll') \equiv P(\nu_\ell \rightarrow \nu_{\ell'}) - P(\bar{\nu}_\ell \rightarrow \bar{\nu}_{\ell'}).$$

# Possible CP Phases in U

Number of Neutrinos	Universal	Majorana ( $\bar{\nu}_m = \nu_m$ )
2	0	1
3	1	2
4	3	3

Why extra phases when  $\bar{\nu}_m = \nu_m$ ?

Because then

$$\text{Charge conjugate } (\bar{\nu}_m) \equiv \gamma_2 \nu_m^* = \nu_m$$

so phases cannot be removed from U by phase-redefining  $\nu_m$ .

CP Phases	Affect $\leftrightarrow$ Oscillation	Affect $\beta\beta_0\nu$
Universal	Yes	No
Majorana	No	Yes

If there are only 3 neutrinos, then,  
with  $P(\nu_e \rightarrow \nu_{e'}) - P(\bar{\nu}_e \rightarrow \bar{\nu}_{e'}) \equiv \Delta_{CP}(ll')$ ,

$$\begin{aligned}\Delta_{CP}(e\mu) &= \Delta_{CP}(\mu\tau) = \Delta_{CP}(\tau e) \\ &= 16 JS_{12}S_{23}S_{31},\end{aligned}$$

where

$$J \equiv \text{Im}(U_{e1} U_{e2}^* U_{\mu 1}^* U_{\mu 2}) ,$$

and

$$S_{mm'} \equiv \sin \left[ 1.27 \delta M_{mm'}^2 (\text{eV}^2) \frac{L (\text{km})}{E (\text{GeV})} \right].$$

Life is simple, but hard.

⑦ Authors who have discussed  $\beta\beta_{0\nu}$ :

Arafune & Sato; Bernabeu; Dick, Freund, Lindner, Romanino; Fisher, B.K., McFarland; Gago, Pleitez, Funchal; Schubert; Many Others.

### What Can $\beta\beta_{0\nu}$ Teach Us?

From a measured  $\tau_{\beta\beta_{0\nu}}$  and a calculated nuclear matrix element, we would know

$$M_{\beta\beta} \equiv \sum_m M_m U_{em}^2$$

$M_{\beta\beta}$  is a different combination of neutrino masses than those measured in neutrino oscillation.

$M_{\beta\beta}$  could test mass spectra suggested by oscillation.

$|M_{\beta\beta}| \gtrsim 0.03 \text{ eV}$  would exclude:

- The 3-neutrino mass hierarchy:
- The 4-neutrino spectrum with  $\delta M^2_\odot$  on the bottom

In the 4-neutrino spectrum with  $\delta M^2_\odot$  on the top,

$$|M_{\beta\beta}| = \sqrt{\delta M^2_{\text{LSND}}} \sqrt{1 - \sin^2 2\theta_0 \sin^2 \alpha_{CP}},$$

where

$\theta_0$  = the mixing angle for  $\nu_0$  oscillation,  
and

$\alpha_{CP}$  = a Majorana ~~CP~~ phase in U.

(Barger, Whisnant; Bilenky, Giunti, Grimus,  
B.K., Petcov; Klapdor-Kleingrothaus, Päs,  
Smirnov)

## Conclusion.

We are just beginning to learn -

- How many neutrinos there are
- How much they weigh
- Their nature
- Their couplings  $U_{\ell m}^*$  to the W boson

In neutrino physics, interesting years lie ahead.

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