
Event Shape Studies at HERA

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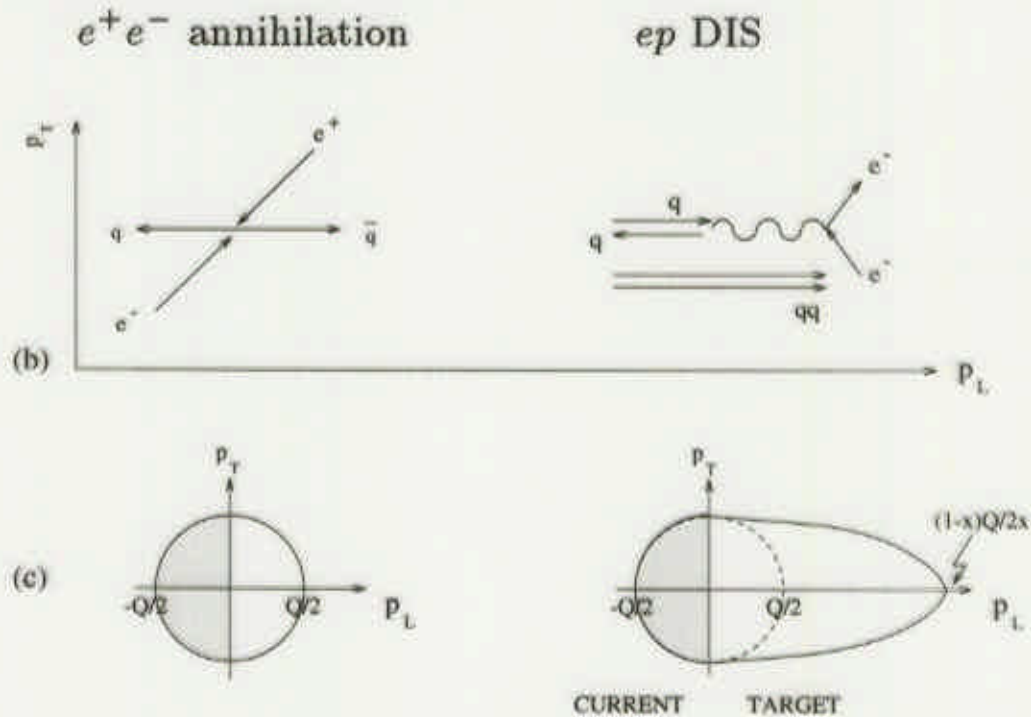
RWTH Aachen

on behalf of ZEUS & H1

- ▷ Data & Analysis Method
- ▷ Event Shapes in Current Hemisphere
- ▷ Power Corrections to Mean Values
- ▷ Jet Rates
- ▷ Event Shape Spectra
- ▷ Conclusions

Data & Analysis Method

• DIS analysis in Breit frame



- clean separation between current and target fragmentation
- particles in current hemisphere $\Leftrightarrow e^+e^-$ hemisphere

▷ QCD studies over large Q range in single experiment

ZEUS #909, preliminary

H1 #1006, EPJC 14, 255

$\mathcal{L} \simeq 45 \text{ pb}^{-1}$

$\mathcal{L} \simeq 38 \text{ pb}^{-1}$

$Q = 9 - 140 \text{ GeV}$

$Q = 7 - 100 \text{ GeV}$

tracks & clusters

calorimeter clusters

$E_{CH} > 0.03 Q$

$E_{CH} > 0.10 Q$

spectra $1/N dn/dF$ unfolded to hadron level

bin-by-bin corrections

Bayes matrix unfolding

▷ QCD analysis

- pQCD calculations to $\mathcal{O}(\alpha_s^2)$ DISENT
- hadronisation treated by analytical power corrections
à la Dokshitzer & Webber

Event Shapes in Current Hemisphere

▷ Infrared & collinear safe observables, calculable in QCD

▷ Event shapes defined in Breit current hemisphere

sum over all $p_h = \{E_h, \mathbf{p}_h\} \in \text{CH}$

• Thrust

$$\tau = 1 - \frac{\sum_h |p_{hz}|}{\sum_h |\mathbf{p}_h|} \quad p_{\parallel} \text{ wrt } \gamma/Z \text{ axis}$$

$$\tau_c = 1 - \max_{\mathbf{n}_T} \frac{\sum_h |\mathbf{p}_h \cdot \mathbf{n}_T|}{\sum_h |\mathbf{p}_h|} \quad p_{\parallel} \text{ wrt thrust axis}$$

• Jet Broadening

$$B = \frac{\sum_h |\mathbf{p}_{h\perp}|}{2 \sum_h |\mathbf{p}_h|} \quad p_{\perp} \text{ wrt } \gamma/Z \text{ axis}$$

• C Parameter

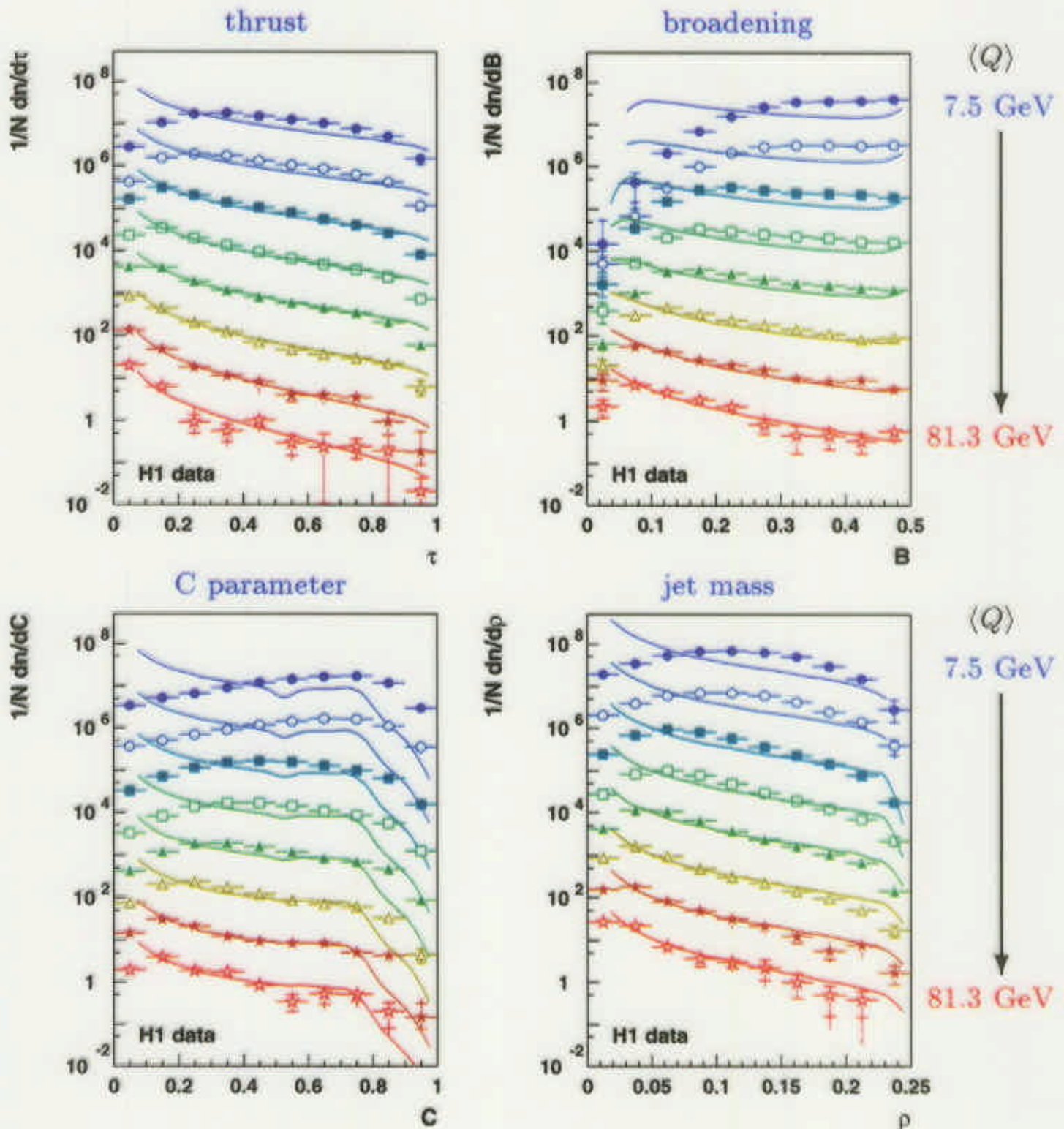
$$C = \frac{3 \sum_{i,j} |\mathbf{p}_i| |\mathbf{p}_j| \sin^2 \theta_{ij}}{(2 \sum_i |\mathbf{p}_i|)^2}$$

• Jet Mass

$$\rho = \frac{(\sum_h p_h)^2}{(2 \sum_h E_h)^2}$$

variant ρ_0 with $E_h = |\mathbf{p}_h|$, i.e. assume $m_h = 0$
motivated by massless QCD calculations

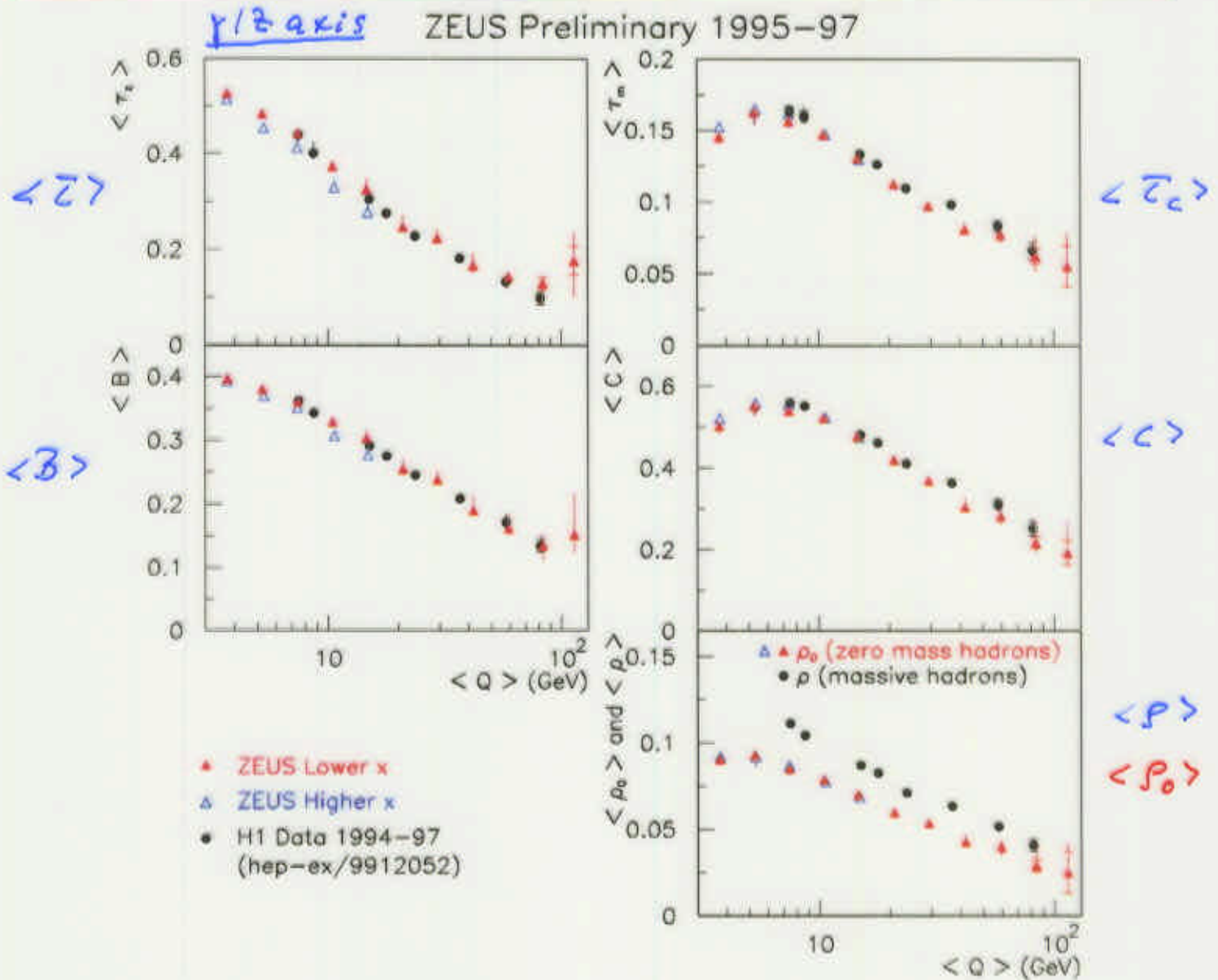
H1 Measurements Spectra



▷ Event shape spectra compared to $\mathcal{O}(\alpha_s^2)$ pQCD

- Hadronisation corrections important, becoming smaller with increasing Q

ZEUS & H1 Measurements Mean Values



▷ Event shape means $\langle F \rangle$ vs Q

event topology gets more collimated with rising Q

- Reasonable agreement between ZEUS and H1 data
- Jet mass different treatment of hadrons

ZEUS ρ_0 massless hadrons

H1 ρ massive hadrons

- ZEUS x dependent measurements most apparent in τ_z, B

pQCD & Power Corrections

- Mean value $\langle F \rangle$ of event shape variable

$$\langle F \rangle = \langle F \rangle^{\text{pert}} + a_F \mathcal{P}$$

- ▷ Perturbative part

$\mathcal{O}(\alpha_s^2)$ DISENT in $\overline{\text{MS}}$

$$\langle F \rangle^{\text{pert}} = c_1(x, Q) \alpha_s(Q) + c_2(x, Q) \alpha_s^2(Q)$$

- ▷ Power corrections

Dokshitzer & Webber

$$\mathcal{P} = \frac{16}{3\pi} \mathcal{M}' \left(\frac{\mu_I}{Q} \right)^p \left[\bar{\alpha}_{p-1}(\mu_I) - \alpha_s(Q) - \frac{\beta_0}{2\pi} \left(\ln \frac{Q}{\mu_I} + \frac{K}{\beta_0} + \frac{1}{p} \right) \alpha_s^2(Q) \right]$$

- * a_F calculable coefficients dependent on F
- * p predictable power $p = 1$ (except y_{k_t})
- * $\bar{\alpha}_0, \bar{\alpha}_1$ non-perturbative universal parameters

$$\bar{\alpha}_{p-1}(\mu_I) = p \mu_I^{-p} \int_0^{\mu_I} dk_{\perp} k_{\perp}^{p-1} \alpha_s(k_{\perp})$$

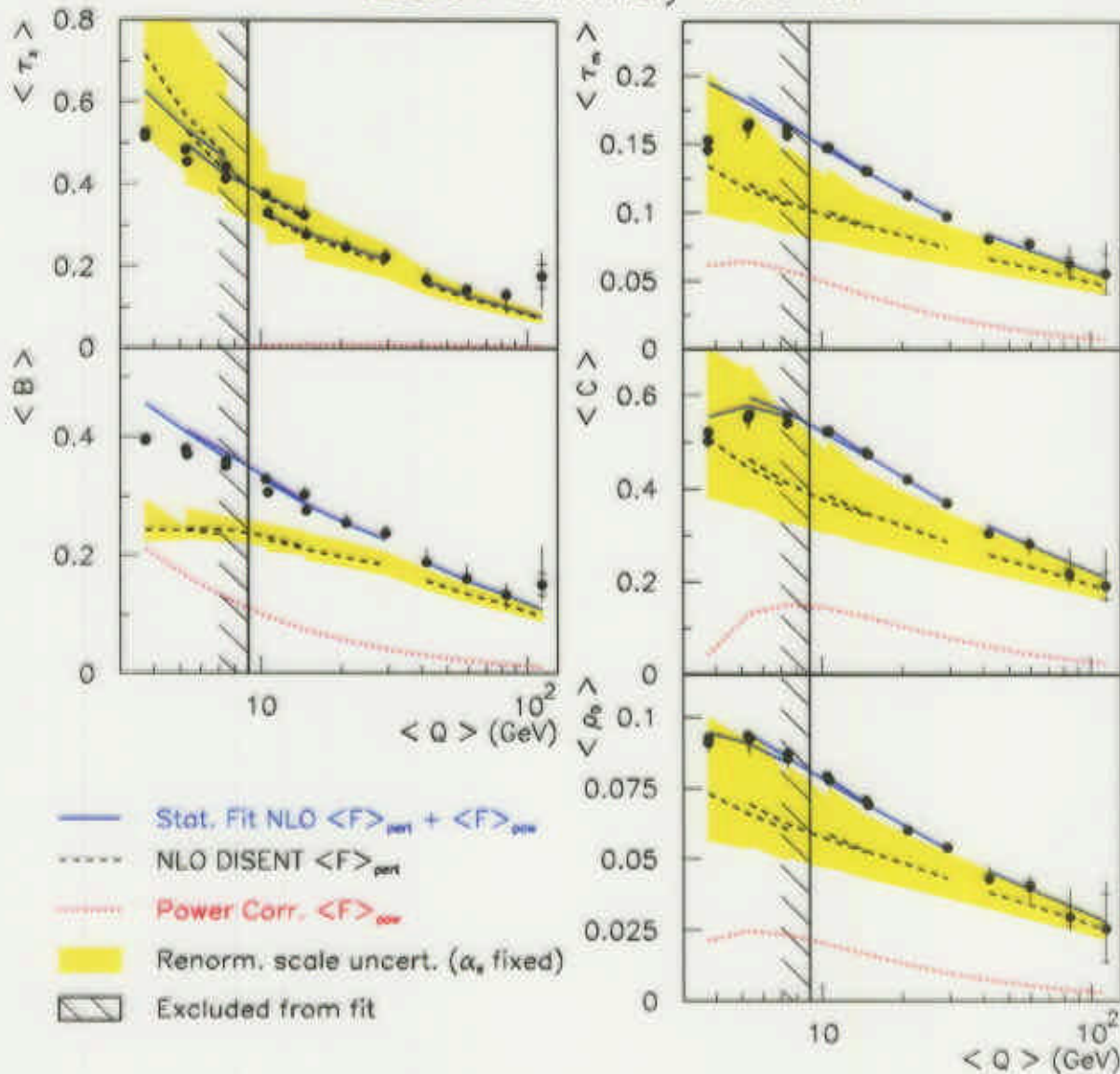
infrared matching scale $\mu_I = 2 \text{ GeV}$

- * \mathcal{M}' two-loop corrections ($\simeq 0.95$)

\Rightarrow 2 free parameters $\bar{\alpha}_{p-1}$ & α_s

ZEUS QCD Fits $\bar{\alpha}_0$ & α_s

ZEUS Preliminary 1995–97

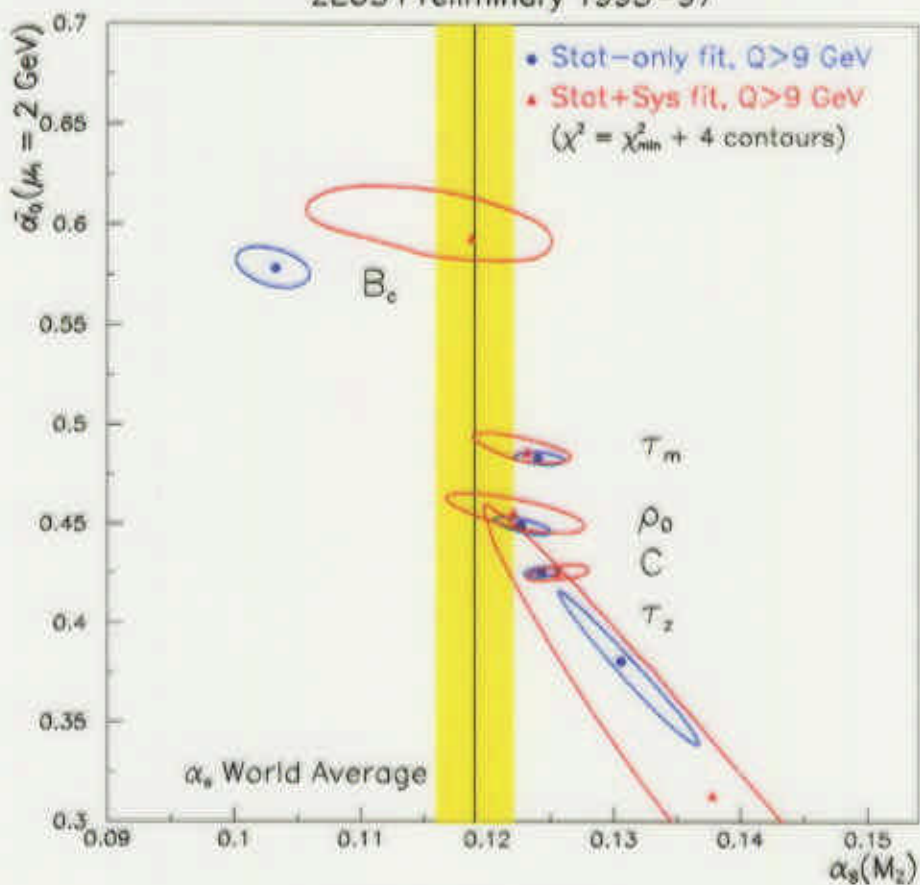


▷ QCD analysis $Q > 9$ GeV, fit 2 parameters $\bar{\alpha}_0$ and α_s

- event shape variables τ_C , C , ρ_0 fit well
common $\bar{\alpha}_0 \sim 0.5$ and α_s
- problems with event shapes B , τ_z (def. wrt γ/Z axis)
apparent x dependence not well reproduced
- τ_z fit requires very small power corrections
but strong correlations between $\bar{\alpha}_0$ and α_s
- large renormalisation scale uncertainties
(exceeding experimental errors by far!)

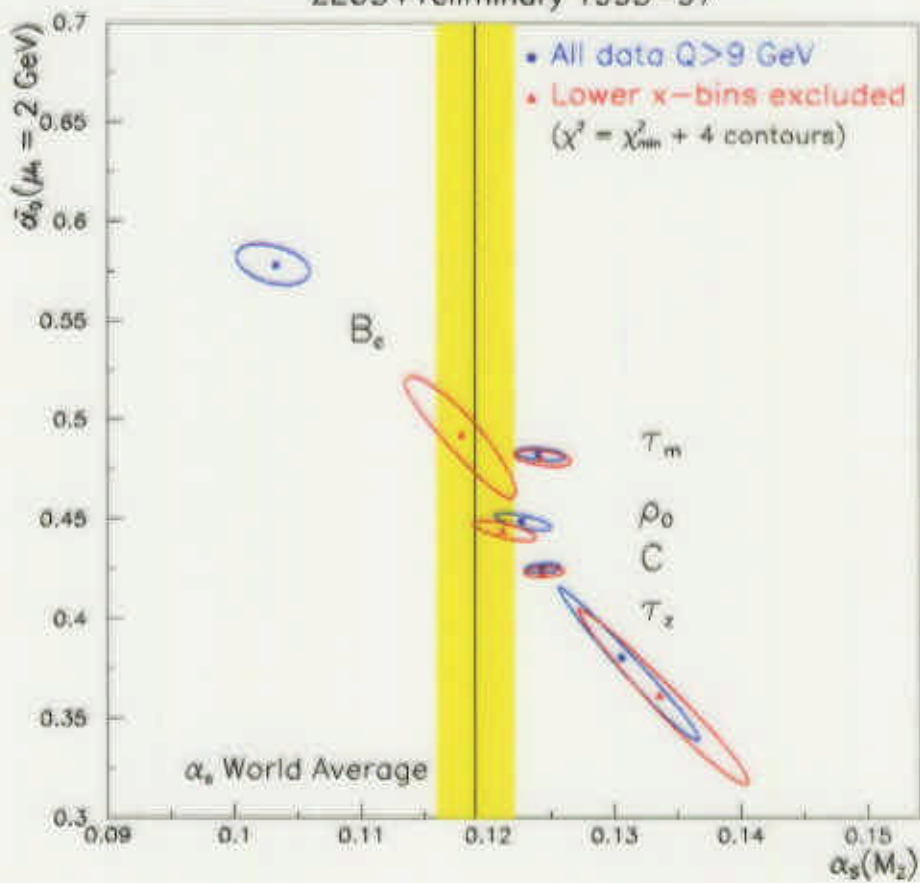
Correlations $\bar{\alpha}_0$ vs $\alpha_s(M_Z)$

ZEUS Preliminary 1995-97

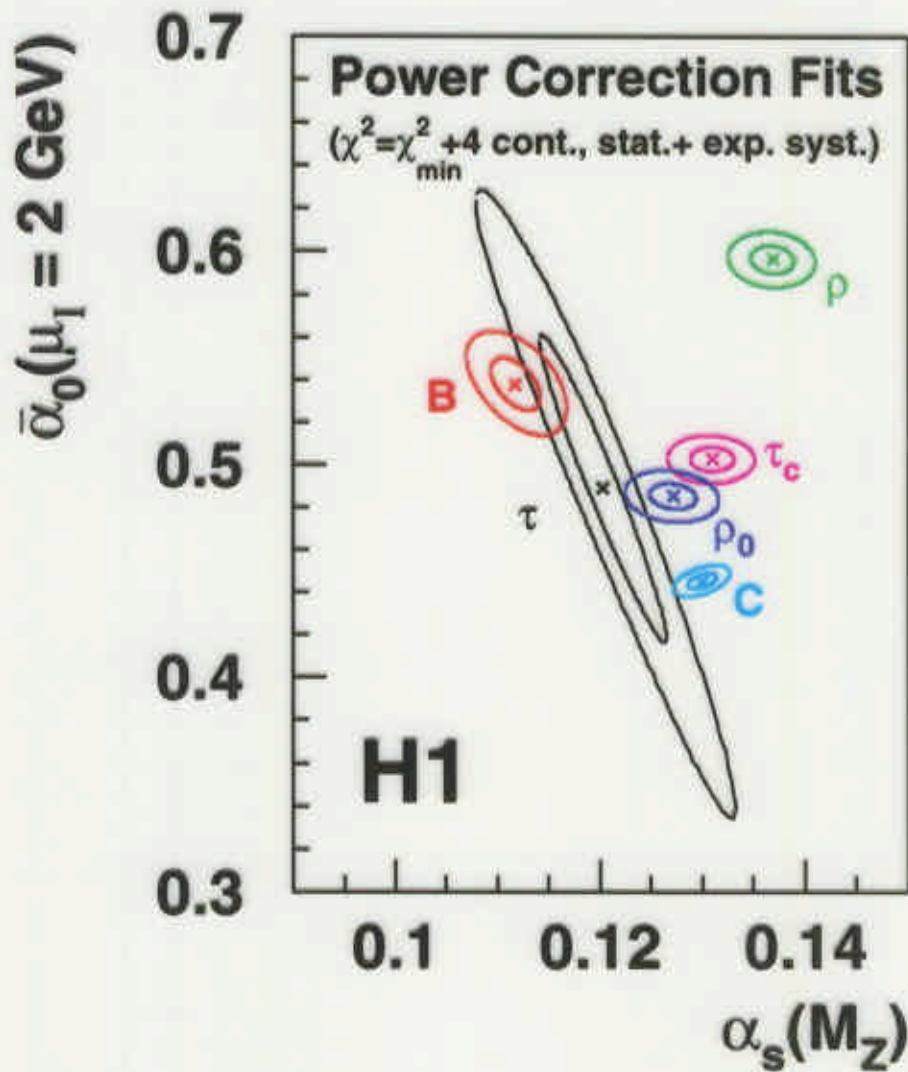


x dependence

ZEUS Preliminary 1995-97



H1 Fits $\bar{\alpha}_0$ & α_s



- $\rho \rightarrow \rho_0$ large effect on massive/massless hadrons
- approximate universal power corrections $\bar{\alpha}_0 \simeq 0.5 \pm 0.1$
- spread in α_s higher order QCD, x dependence?

$\langle F \rangle$	$\bar{\alpha}_0(\mu_I = 2\text{GeV})$	$\alpha_s(M_Z)$
$\langle \tau \rangle$	0.503 $^{+0.043}_{-0.053}$ $^{+0.053}_{-0.068}$	0.1190 $^{+0.0075}_{-0.0054}$ $^{+0.0073}_{-0.0069}$
$\langle B \rangle$	0.537 $^{+0.017}_{-0.012}$ $^{+0.028}_{-0.039}$	0.1113 $^{+0.0036}_{-0.0028}$ $^{+0.0049}_{-0.0051}$
$\langle \tau_C \rangle$	0.503 $^{+0.008}_{-0.010}$ $^{+0.043}_{-0.047}$	0.1310 $^{+0.0023}_{-0.0028}$ $^{+0.0098}_{-0.0089}$
$\langle C \rangle$	0.447 $^{+0.005}_{-0.007}$ $^{+0.032}_{-0.038}$	0.1301 $^{+0.0016}_{-0.0020}$ $^{+0.0103}_{-0.0091}$
$\langle \rho \rangle$	0.597 $^{+0.009}_{-0.010}$ $^{+0.050}_{-0.057}$	0.1374 $^{+0.0024}_{-0.0032}$ $^{+0.0110}_{-0.0096}$
$\langle \rho_0 \rangle$	0.486 $^{+0.008}_{-0.010}$ $^{+0.043}_{-0.047}$	0.1271 $^{+0.0023}_{-0.0030}$ $^{+0.0102}_{-0.0089}$

Differential Jet Rates

▷ Jet rates defined in whole Breit system of current *and* target region

- Event shapes employing jet algorithms
- Distance measures to combine particles into n jets and with the proton remnant (+1)
 - factorisable JADE algorithm

$$y_{ij} = \frac{2 E_i E_j (1 - \cos \theta_{ij})}{Q^2}$$

$$y_{ir} = \frac{2 E_i x E_p (1 - \cos \theta_i)}{Q^2}$$

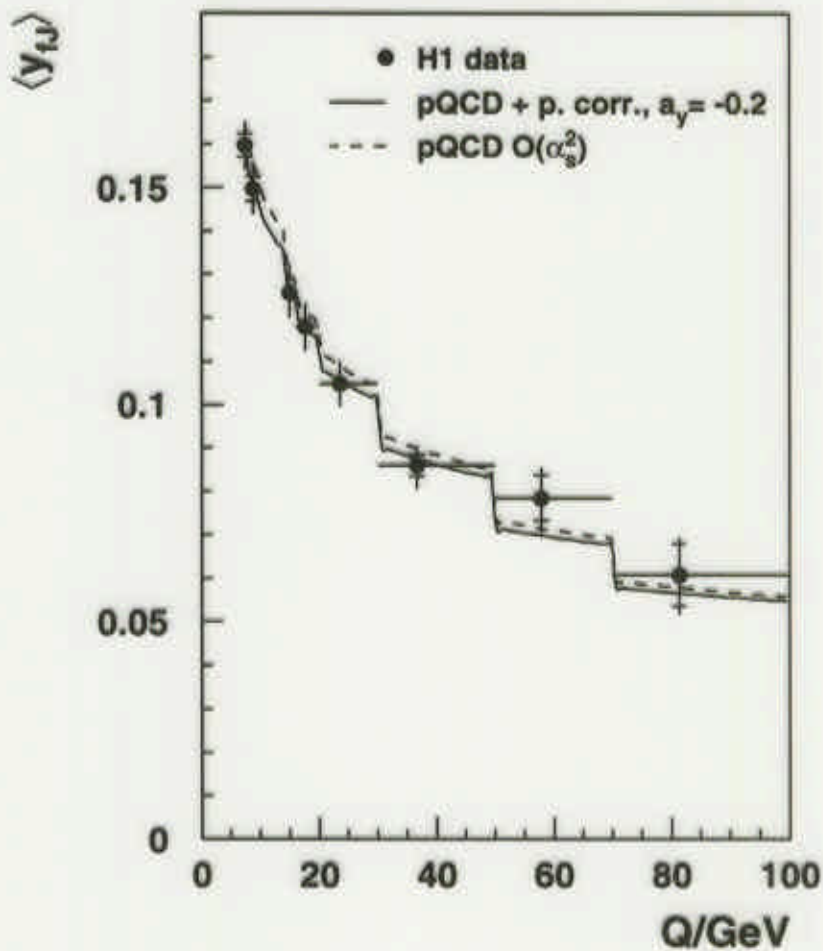
- Durham or k_T algorithm

$$y_{ij} = \frac{2 \min(E_i^2, E_j^2) (1 - \cos \theta_{ij})}{Q^2}$$

$$y_{ir} = \frac{2 E_i^2 (1 - \cos \theta_i)}{Q^2}$$

▷ Event shape variables y_{fJ} and y_{k_i} defined as the y_{ij} values at the transition $(2 + 1) \rightarrow (1 + 1)$ jets

Factorisable JADE Algorithm $\langle y_{fJ} \rangle$



▷ Observation of small hadronisation effects

- Predicted power corrections

$$a_{y_{fJ}} = 1 \quad \text{and} \quad \mathcal{P} \propto 1/Q$$

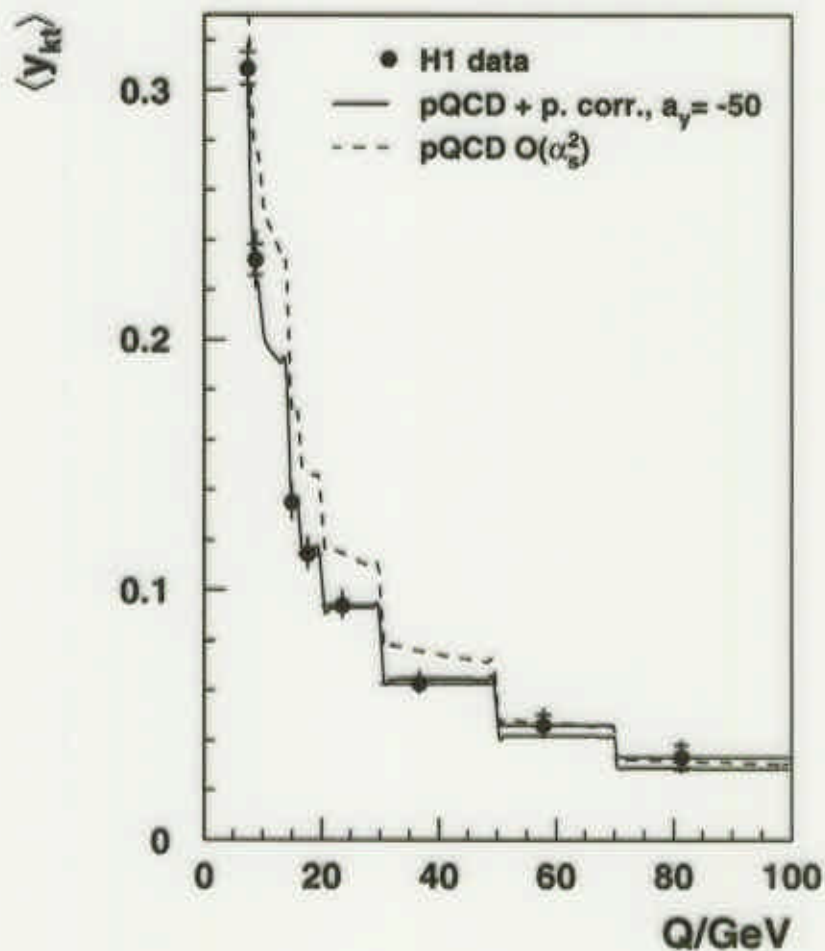
⇒ Conjectured coefficient $a_{y_{fJ}}$ not supported by H1 data
unreasonably low $\bar{\alpha}_0$

- Consistent fit obtainable with small negative coefficient

$\langle F \rangle$	$a_{y_{fJ}}$	$\bar{\alpha}_0$	α_s	χ^2/df
$\langle y_{fJ} \rangle$	1	$0.28^{+0.02}_{-0.02}$	$0.105^{+0.005}_{-0.006}$	0.8
	-0.2	$0.37^{+0.20}_{-0.21}$	$0.116^{+0.008}_{-0.009}$	0.6

▷ Need reevaluation of power correction calculations

Durham or k_t Algorithm $\langle y_{kt} \rangle$



▷ Observation of small hadronisation effects

- Power corrections $\mathcal{P} \propto 1/Q^2$ expected
no calculations for $a_{y_{kt}}$ no estimate for $\bar{\alpha}_1$

⇒ Behaviour $1/Q$ disfavoured by H1 data

- Consistent fit with power $1/Q^2$ and negative coefficient

$\langle F \rangle$	$a_{y_{kt}}$	p	$\bar{\alpha}_{p-1}$	α_s	χ^2/df
$\langle y_{kt} \rangle$	1	1	$0.65^{+0.03}_{-0.04}$	$0.001^{+0.022}_{-0.012}$	7.2
	-50	2	$0.34^{+0.12}_{-0.11}$	$0.124^{+0.015}_{-0.014}$	0.6

▷ Need calculation of $a_{y_{kt}}$

Event Shape Spectra

▷ Power corrections to event shape distribution

$$\frac{1}{\sigma_{\text{tot}}} \frac{d\sigma(F)}{dF} = \frac{1}{\sigma_{\text{tot}}} \frac{d\sigma^{\text{pert}}(F - a_F \mathcal{P})}{dF}$$

→ shift identical to contribution to event shape mean

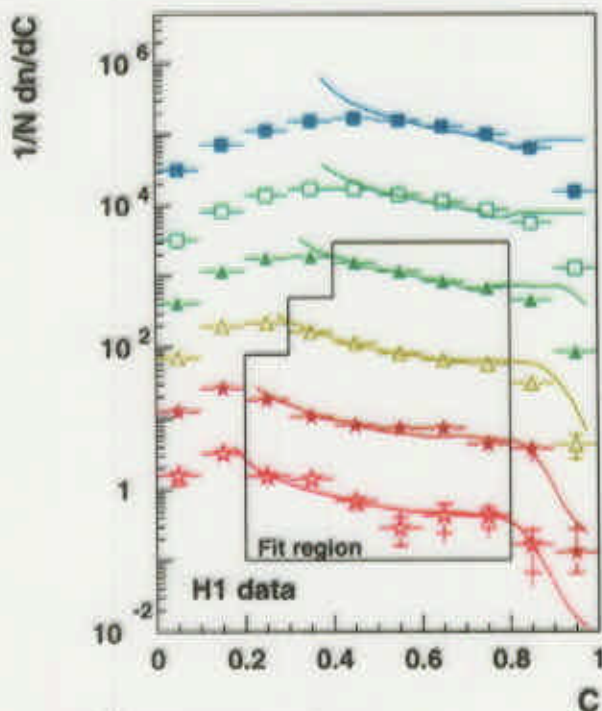
→ applicable in central region $\mu_I/Q \ll F \ll F_{\text{max}}$

- Use data $Q > 14$ GeV to avoid huge hadronisation

▷ Event shapes in current hemisphere

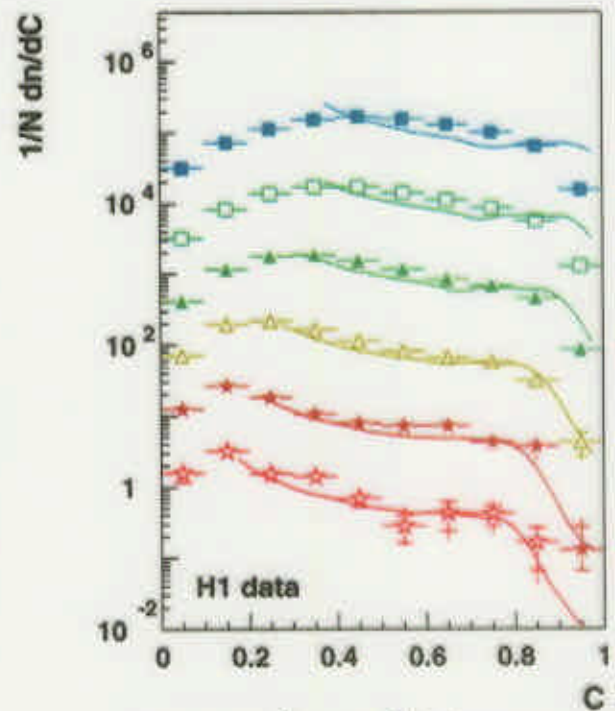
- $\bar{\alpha}_0$ and $\alpha_s(M_Z)$ from fits to spectra in general inconsistent with those from fits to means
(larger values $\bar{\alpha}_0$, α_s preferred)

C parameter



fit to spectra

$$\bar{\alpha}_0 = 0.62, \alpha_s = 0.131$$



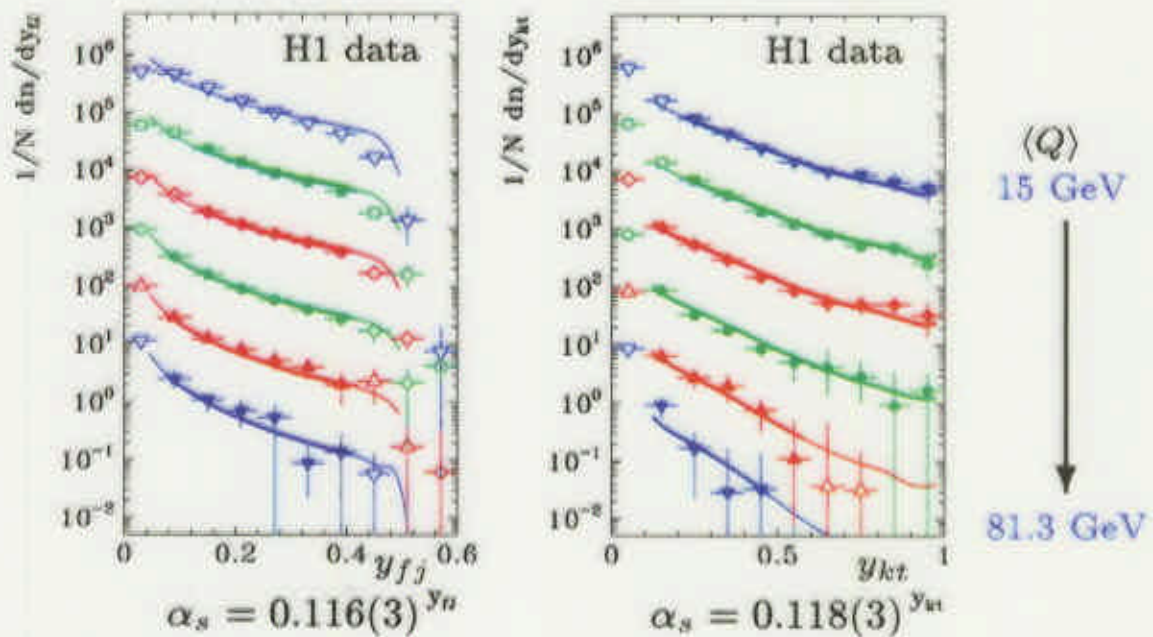
curves from fit to means

$$\bar{\alpha}_0 = 0.45, \alpha_s = 0.130$$

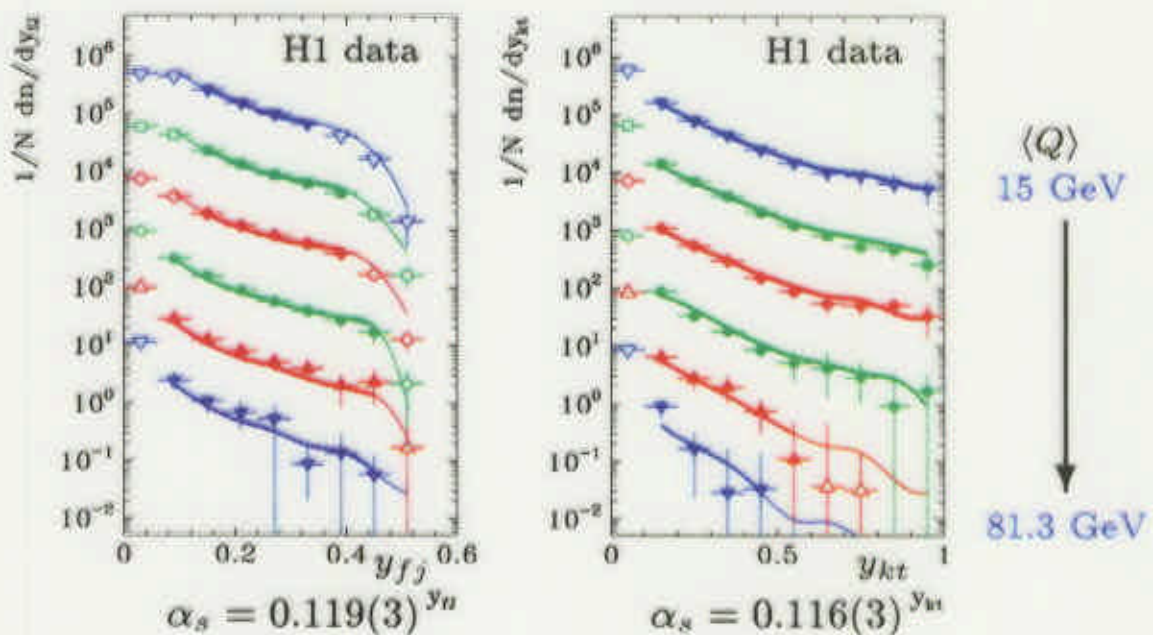
⇒ **Hope: better understanding with resummed calculations**
work in progress theory & experiment

▷ Jet rates y_{fJ} & y_{kt} small hadronisation contributions

a) discard power corrections $a_y \mathcal{P} = 0$



b) apply MC hadronisation corrections (< 20%) to pQCD



▷ Spectra in central part well described by pQCD without and with hadronisation corrections

▷ Encouraging prospects to determine $\alpha_s(M_Z)$

Conclusions

- ▷ Zeus and H1 provide precise and consistent data on event shape variables in DIS over a large range of momentum transfer $Q = 7 - 140 \text{ GeV}$
- ▷ Sizeable hadronisation for τ , τ_c , B , C , ρ , ρ_0
 Q dependence of mean values well described by
 - pQCD & power corrections
 - universal parameter $\bar{\alpha}_0 \simeq 0.5 \pm 20\%$
 Data require refinement of theory
 - spread in $\alpha_s(M_Z)$ missing higher orders?
 - x dependence of B , τ reliability of coeff. a_F ?
- ▷ Small, negative hadronisation for jetrates $y_{f,J}$, y_{kt}
 - Jade $y_{f,J}$ conjectured coeff. $a_{y_{f,J}} = 1$ excluded
 - Durham y_{kt} support $1/Q^2$ power, $a_{y_{kt}}$ unknown
 ⇒ more work to be done for y_2 variables
- ▷ Event shape spectra – a rich potential
 - fits to spectra (without resummation) inconsistent with results from means
 - spectra of jet rates reasonably well described even without power corrections
 ⇒ improvements with resummed calculations?
- ▷ Event shape studies at HERA support the basic concept of approximate universal power corrections